



CORRECTIVE ACTION OVERVIEW

FFY 2009-2010

Corrective Action Overview Based on APR Compliance Indicators Data collected

Please refer to BWEIP policy 1.A.4 General Supervision
System for Monitoring Implementation of Part C

100% Compliance Indicators

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. *IFSPs with transition steps and services*
- B. *Notification to Local Education Agency (LEA)*, if child potentially eligible for Part B: *(compliance expected as of FFY 09 in accordance with OSEP acceptance of Utah's Disclosure of Directory Information Policy) and; (8A and 8B.based on FFY 09-10 onsite compliance monitoring at 5 programs)*
- C. *Transition conference, if child potentially eligible for Part B.*
(20 USC 1416(a)(3)(B) and 1442)

Performance on any compliance indicators of less than 100% is noncompliance and requires corrective action.

The following corrective action requirements and reporting apply to each indicator where noncompliance is identified.

To effectively monitor the implementation of Part C of the IDEA by EI programs/providers, as required by IDEA sections 616, 635(a)(10)(A), and 642,34 CFR §303.500 303.501, the State must have a general supervision system that corrects noncompliance in a timely manner. In addition, as noted in OSEP Memorandum 09-02, Reporting on Correction of Noncompliance in the Annual Performance Report Required under Sections 616 and 642 of the Individuals with Disabilities Education Act, dated October 17, 2008 (OSEP Memo 09-02), in order to verify that previously identified noncompliance has been corrected, the State must verify at the EIS program and/or provider: (1) is correctly implementing the specific regulatory requirements (ie., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected noncompliance for each child, unless the child is no longer within the jurisdiction of the EI program and/or provider.

| Compliance Level | Action Required? | Program Corrective Action Requirements | Corrective Action Reporting to BWEIP |
|--------------------------------|-------------------------|---|---|
| 100% Compliance | No | None | N/A |
| 95% to 99.9% Compliance | Yes | <ul style="list-style-type: none"> • Determine status and cause of each late event, unless the child is no longer in Part C. • Periodic self-review of monitoring report(s) in the indicator(s) of concern. | <ul style="list-style-type: none"> • Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). • Subsequent data report at 100% for each indicator of concern per BWEIP specification. |
| 80% to 94.9% Compliance | Yes | <ul style="list-style-type: none"> • Analysis of cause(s) of noncompliance. • Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. • TA conference call(s) as needed. • Onsite BWEIP TA as needed. | <ul style="list-style-type: none"> • Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). • Subsequent data report at 100% for each indicator of concern per BWEIP specification. • Quarterly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. • Final written report to BWEIP detailing progress by 6/1/11. |
| 70% to 79.9% Compliance | Yes | <ul style="list-style-type: none"> • Analysis of cause(s) of noncompliance • Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. • Bi-monthly TA call with BWEIP. • Onsite monitoring visit. • Onsite BWEIP TA. | <ul style="list-style-type: none"> • Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). • Subsequent data report at 100% for each indicator of concern per BWEIP specification. • Bi-monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. • Monthly written reporting and TA call with BWEIP. • Final written report to BWEIP detailing progress by 6/1/11. |
| < 70% Compliance | Yes | <ul style="list-style-type: none"> • Analysis of cause(s) of noncompliance • Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. • Monthly TA call with BWEIP. • Onsite monitoring visit(s). • Onsite BWEIP TA. | <ul style="list-style-type: none"> • Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). • Subsequent data report at 100% for each indicator of concern per BWEIP specification. • Monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. • Monthly written reporting and TA call with BWEIP. • Final written report to BWEIP detailing progress by 6/1/11. |