

Utah Baby Watch Early Intervention Program



Individuals with Disabilities Education Act 2004

Part C

FFY 2012

Annual Performance Report



TO: Office of Special Education Programs

FROM: Susan Ord
Utah Part C Coordinator

DATE: January 30, 2014

RE: Documents Submission

Enclosed are the following documents from the Utah Part C program:

1. Utah Part C FFY 2012 Annual Performance Report.
2. Interagency Coordinating Council Certification of Annual Report with original signature.

**ANNUAL REPORT CERTIFICATION OF THE
INTERAGENCY COORDINATING COUNCIL
UNDER PART C OF THE
INDIVIDUALS WITH DISABILITIES EDUCATION ACT (IDEA)**

Under IDEA Section 641(e)(1)(D) and 34 CFR §303.604(c), the Interagency Coordinating Council (ICC) of each jurisdiction that receives funds under Part C of the IDEA must prepare and submit to the Secretary of the U.S. Department of Education (Department) and to the Governor of its jurisdiction an annual report on the status of the early intervention programs for infants and toddlers with disabilities and their families operated within the State. The ICC may either: (1) prepare and submit its own annual report to the Department and the Governor, or (2) provide this certification with the State lead agency's Annual Performance Report (APR)¹ under Part C of the IDEA. This certification (including the annual report or APR) is due no later than February 3, 2014.

On behalf of the ICC of the State/jurisdiction of Utah, I hereby certify that the ICC is: [please check one]

1. Submitting its own annual report for FFY 2012 (which is attached); or
2. Using the State's Part C APR for FFY 2012 in lieu of submitting the ICC's own annual report. By completing this certification, the ICC confirms that it has reviewed the State's Part C APR for accuracy and completeness.²

I hereby further confirm that a copy of this Annual Report Certification and the annual report or APR has been provided to our Governor.

Debbie Ballard
Signature of ICC Chairperson

1/23/14
Date

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¹ Under IDEA Sections 616(b)(2)(C)(ii)(II) and 642 and under 34 CFR §80.40, the lead agency's APR must report on the State's performance under its State performance plan and contain information about the activities and accomplishments of the grant period for a particular Federal fiscal year (FFY).

² If the ICC is using the State's Part C APR and it disagrees with data or other information presented in the State's Part C APR, the ICC must attach to this certification an explanation of the ICC's disagreement and submit the certification and explanation no later than February 3, 2014.

Utah Part C Annual Performance Report FFY 2012 Overview

Utah's Part C State FFY 2012 Annual Performance Plan (APR) was developed in accordance with the Office of Special Education (OSEP) requirements. The initial development of the APR began with the review of Utah's State Performance Plan (SPP), and the consideration of each component using materials provided by OSEP. Staff members of Utah's Part C System, the Baby Watch Early Intervention Program (BWEIP), reviewed all indicators and determined an action plan and a timeline to complete the FFY 2012 APR.

BWEIP had discussions regarding the three compliance indicators that deal with timeliness, including Indicators 1 (Timely IFSP Services), 7 (Timely IFSP), and 8c (Timely Transition Conferences). See details under each indicator in the APR.

On May 1, 2013, BWEIP local data system was moved to the enhanced and comprehensive web version of the data system. BWEIP elected to report on data for the ten months the previous data system was operational (7/01/12-4/30/13). The data is representative of every program in the state and percentages varied only slightly from the previous reporting period.

BWEIP staff participated in OSEP technical assistance (TA) calls and utilized guidance documents and tools such as the Indicator Checklist and The Right IDEA website. BWEIP staff members attended the OSEP Leadership Conference. BWEIP participated in Mountain Plains Regional Resource Center conference calls and meetings.

Stakeholder involvement was solicited for reporting of all indicators. A report and discussion of the APR was presented to the Interagency Coordinating Council (ICC) and BWEIP Provider Consortium in January 2014. The ICC and BWEIP providers were invited to provide input on improvement strategies for Indicators 1-8. Comments were taken into consideration for the final APR documents. At this meeting, the ICC elected to continue the work of the subcommittees focusing on Child Find, Transition/Families, and Comprehensive System of Personnel Development (CSPD). BWEIP staff continues to work with these subcommittees to provide additional data for their review and analysis, as well as suggestions for development of measurable, rigorous targets and improvement activities. A final draft of the APR was distributed to the ICC and BWEIP providers in January 2014. The SPP required no revision.

The FFY 2012 APR along with the FFY 2005-2013 SPP have been posted on the BWEIP website at www.utahbabywatch.org. The BWEIP will work with the Department of Health's Public Information Officer to distribute the FFY 2012 APR to the media, as appropriate. Local program profiles of Indicators 1-8 were distributed to providers and posted to the BWEIP website under the local programs section in January 2014. Local BWEIPs also received their program determinations and notifications of noncompliance in January 2014.

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:
 Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.
 Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012 (7/01/11-6/30/12)	In 100% of files reviewed, infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Actual Target Data for FFY 2012:

98.89%

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all children with IFSPs who received early intervention (EI) services on their IFSPs during the time period July 1, 2012 through April 30, 2013. (See Annual Performance Plan Overview for details; a 10-month reporting period was used due to migration of the BTOTS database to a web-based data system on May 1, 2013.

Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (for the time period July 1, 2012 through April 30, 2013)	4,238
b. Total number of infants and toddlers with IFSPs (for the time period July 1, 2012 through April 30, 2013)	4,286
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100) (for the time period July 1, 2012 through April 30, 2013)	98.89%

Explanation of Slippage in FFY 2012:

The percentage of files in compliance decreased from 99.5% in FFY 2011 to 98.9% in FFY 2012, a decrease of 0.6%. in FFY 2012. Although the statewide percentage of noncompliance increased slightly, the number of programs with findings of noncompliance decreased, six programs in FFY 2011 compared to four programs in FFY 2012.

LATE NEW SERVICE STATUS FFY 2012								
Provider Delay	*Child no longer in Part C	1-5 Days Late	6-15 Days Late	16-25 Days Late	26-35 Days Late	36-45 Days Late	45 + Days Late	Total
Program 2	0	5	2	0	1	2	4	14
Program 4	2	0	0	0	0	0	0	2
Program 6	3	2	5	4	0	1	3	18
Program 15	0	9	3	2	0	0	0	14
Totals	5	16	10	6	1	3	7	48

Improvement Activities Completed in FFY 2012:

During FFY 2012, the timely services standard was reinforced through corrective actions, technical assistance, and self-monitoring.

BWEIP encouraged EI providers to run and review BTOTS monitoring reports systematically for the timeliness indicators and bring alerts from the reports to their staff’s attention. These activities were incorporated into all EI providers’ required data accuracy plans.

During FFY 2012, providers were encouraged to analyze data by drilling down to the child level for reasons for delays and make necessary process adjustments to prevent future delayed service provision.

In late FFY 2012, new contact logs were developed for BTOTS Web deployment in FFY 2013. The contact logs will hold detailed information about family and provider circumstances, delays, and contact history.

Correction of Findings of Noncompliance Made During FFY 2011 for FFY 2011 data (if State reported less than 100% compliance):

BWEIP identified 26 findings of noncompliance for timely services during FFY 2011. All 26 findings of noncompliance and their full correction are detailed below.

1. Number of Findings <u>of Noncompliance the State Made During FFY 2011 for FFY 2011 data.</u>	26
2. Number of FFY2011 findings the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	26
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2011 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2011 findings of noncompliance (either timely or subsequent):

EI program compliance with timely service initiation requirements is a component of the annual database monitoring for each EI program for the full 10-month reporting period. These monitoring activities included a review by local programs of timely service initiation requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site and off-site reviews of data, procedures, and individual child files. When noncompliance was identified, each program was required to respond in writing to a Corrective Action (CA) to address and correct all incidences of noncompliance.

There were 26 findings of noncompliance identified in FFY 2011 for this indicator identified through BTOTS database monitoring. Fourteen EI programs received notifications of noncompliance in FFY 2011. All corrective action required by FFY 2011 identification has been completed by the EI programs and verified by BWEIP staff within one year of notification of the noncompliance.

BWEIP has implemented a two-pronged verification process to ensure that each EI program with a previous finding of noncompliance is (1) meeting regulatory requirements and (2) ensuring that in each instance of noncompliance, the EI program has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the BWEIP.

1. Related to the six programs with findings under Indicator 1, BWEIP verified that each EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) in **34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1)** based on subsequently collected data.

When noncompliance was found, programs were required to submit to BWEIP the results of regular self-monitoring based on subsequently collected data. Programs also submitted narrative reports accounting for each specific instance of noncompliance and provided steps to ensure that the reasons for noncompliance are being addressed in order to prevent future occurrences. Programs demonstrated correction of noncompliance by performance at 100% for all children for at least one month.

By conducting ongoing monitoring, BWEIP further verified that each EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements.

2. For the 26 children/families impacted by noncompliance identified FFY 2011, BWEIP verified that the agency had initiated services, although late, for each child whose services were not initiated in a timely manner, unless the child was no longer within the jurisdiction of BWEIP, consistent with **OSEP Memorandum 09-02, dated October 17, 2008**.

LATE NEW SERVICE STATUS FFY 2011								
Provider Delay	*Child no longer in Part C	1-5 Days Late	6-15 Days Late	16-25 Days Late	26-35 Days Late	36-45 Days Late	45 + Days Late	Total
Program 2	1	3	5	1	1	0	0	11
Program 4	0	0	1	0	0	0	0	1
Program 7	0	1	0	0	0	0	1	2
Program 8	0	1	0	0	0	0	0	1
Program 13	0	3	0	1	0	0	0	4
Program 15	1	2	0	2	0	1	1	7
Totals	2	10	6	4	1	1	2	26

*Child no longer under the jurisdiction of Part C

As EI programs conducted data monitoring, they were required to document the actual number of days after the IFSP service start date that the service was provided, if the service was not provided within 45 days. This was done to assure that services, although late, were provided for the child/family and as a way to encourage EI programs to analyze their data regularly. BWEIP has verified that each EI program with noncompliance under this indicator has met the requirements for each child, although late. The results of EI program data monitoring are validated by BWEIP through ongoing BTOTS and on-site monitoring.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:

BWEIP notified each EI program in writing of their findings of noncompliance and supplied Corrective Action Levels Templates as detailed below.

Document Copy

Noncompliance Corrective Action Process Overview

As per OSEP instruction referenced on the enclosed FFY 2011 Corrective Action Overview, Baby Watch is required to make findings and inform programs of all noncompliance, as well as implement a corrective action and fully correct and verify correction of all noncompliance as soon as possible, but no later than June 30, 2012.

The purpose of the corrective action is to assist the program and BWEIP to understand and correct the underlying causes of the noncompliance so that the program can achieve the **100% Compliance** status. We are using this template to assist with that process. The template includes a corrective action level for each compliance indicator that is less than 100% for FFY 2012 and contains cells for you to supply current indicator data, analysis of the root cause/s for noncompliance, written implementation plan, reviews, reporting, and timelines.

To verify correction of noncompliance, Baby Watch must review data that demonstrate that your program has corrected each individual instance of noncompliance, unless the infant or toddler is no longer within the jurisdiction of your program. For timeline-specific indicators (Indicators 1, 7, 8a, 8b, and 8c), data must demonstrate that the required action (e.g., evaluation and initial IFSP) occurred, although late. In addition, Baby Watch must review subsequent data (following the identification of noncompliance) that demonstrate 100% compliance with the requirements. The requirements for the full correction each level of noncompliance is detailed in the following chart.

Document Copy

Corrective Action Requirements for Noncompliance Identified for FFY 2011			
Compliance Level	Corrective Action Required?	EI Program Corrective Action Requirements	EI Program Corrective Action Reporting to BWEIP
100% Compliance	No	None	N/A
Meets Requirements 95% to 99.9% Compliance	Yes	<ul style="list-style-type: none"> Determine status and cause of each late event, unless the child is no longer in Part C. Periodic self-review of monitoring report(s) in the indicator(s) of concern. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification.
Needs Assistance 80% to 94.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. TA conference call(s) as needed. Onsite BWEIP TA as needed. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Quarterly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Final written report to BWEIP detailing progress by 6/1/12.
Needs Intervention 70% to 79.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Bi-monthly TA call with BWEIP. Onsite monitoring visit. Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Bi-monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.
Needs Substantial Intervention < 70% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Monthly TA call with BWEIP. Onsite monitoring visit(s). Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.

APR Template – Part C (4)

Utah

Correction of Remaining FFY 2011 Findings of Noncompliance (if applicable): N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2010 or Earlier (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005-2013: N/A

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah's percentage of timely services on the IFSP to a joint Interagency Coordinating Council and Early Intervention Provider meeting.	Annually	Monitoring BTOTS reports APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve timely services on the IFSP.	Annually	Monitoring BTOTS reports APR Indicator data
BWEIP will work with information technology staff to continue to collect timeliness of services on the IFSP for all children through the new web version of the database.	2013	BWEIP, MDSC IT staff
BTOTS Web will include a contact log to record late circumstance details.	2013-2014	BWEIP, MDSC IT staff

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percentage of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2012 (7/01/12- 6/30/13)	79.0% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or community-based settings.

Actual Target Data for FFY 2012:

94.3% of infants and toddlers with IFSPs received early intervention (EI) services primarily in the home or community-based settings.

Source: IDEA Part C Child Count and Setting, Year 2012-2013, EDFacts Metadata and Process System (EMAPS). Original submission to EMAPS on January 30, 2013.

- Number of infants and toddlers with IFSPs receiving services primarily in the home: 3,194
- Number of infants and toddlers with IFSPs receiving services primarily in community-based settings: 162
- Total number of infants and toddlers with IFSPs: 3,557
- Percentage of infants and toddlers with IFSPs primarily receiving EI services in the home or community-based settings: $[(3,194 + 162) / 3,557] \times 100 = [3,356 / 3,557] = 94.3\%$

Explanation of Progress for FFY 2012:

Progress of 6.0% was observed from the FFY 2011 actual target data of 87.4% with actual target data of 93.4% of infants and toddlers with IFSPs who received EI services primarily in home or community-based settings. As shown in Table 1, the Indicator 2 target for FFY 2012 was met and exceeded for the fourth time in seven federal fiscal years.

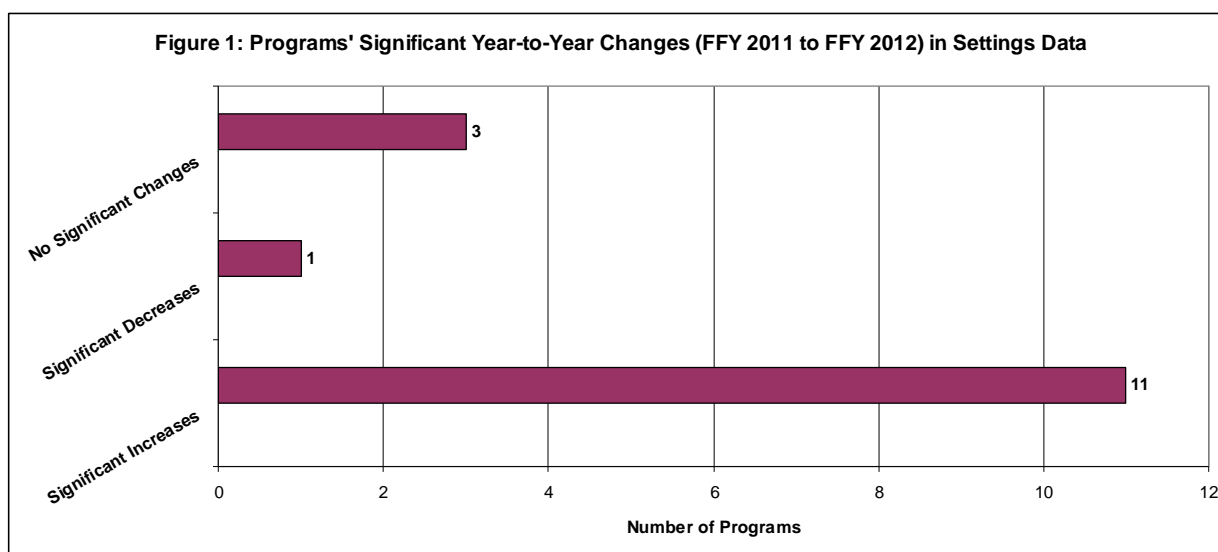
Table 1. Indicator 2 Targets and Actual Target Data for Previous Seven Fiscal Years

FFY (December 1 Count)	Indicator 2 Target	Indicator 2 Actual Target Data
FFY 2006 (December 1, 2006)	76.0%	72.0%
FFY 2007 (December 1, 2007)	76.5%	71.0%
FFY 2008 (December 1, 2008)	77.0%	71.0%
FFY 2009 (December 1, 2009)	77.5%	84.3%
FFY 2010 (December 1, 2010)	78.0%	89.2%
FFY 2011 (December 1, 2011)	78.5%	87.4%
FFY 2012 (December 1, 2012)	79.0%	93.4%

For three of the last seven reporting years (FFY 2006 through FFY 2008), the percentage of infants and toddlers with IFSPs receiving early intervention services primarily in home or community-based settings was static at approximately 71.0%. The Baby Watch Early Intervention Program (BWEIP) Indicator 2 targets for reporting years FFY 20 06 through FFY 2012 were based on previous years’ data, which was “hand-collected” and less systematic (prior to the introduction of the Baby and Toddler Online Tracking System (BTOTS) database in 2005.

At 93.4%, the FFY 2012 percentage of infants and toddlers with IFSPs receiving early intervention services primarily in home or community-based settings exceeded the FFY 2012 Indicator 2 state target of 79.0% and is the highest percentage in reporting years FFY 2006 through FFY 2012. At the local level, only two of 15 Utah programs had percentages below the FFY 2012 Indicator 2 state target of 79.0%.

To analyze this FFY 2012 Indicator 2 data, BWEIP implemented the same methodology and criteria with local programs that OSEP uses with states to identify significant year-to-year changes in their 618 data. The BWEIP Data Manager compared programs’ FFY 2011 and FFY 2012 Indicator 2 data and flagged all significant changes (defined as either a frequency change of 10 or more children or percentage change of 10% or more) from the prior to the current reporting year. The results of this comparison are shown in Figure 1 below.



In four of 15 programs, 100% of infants and toddlers received services in the natural environment (NE) in FFY 2012; two of them in both FFY 2011 and FFY 2012, and two for the first time in FFY 2012. As shown in Figure 1, three programs showed no significant changes, one showed a significant decrease, and eleven showed significant increases from the last to the current fiscal year. Programs with significant increases and decreases were required to submit to BWEIP an analysis and explanation of changes in their program's actual target data from FFY 2011 to FFY 2012.

- The one program with a significant year-to-year decrease in its FFY 2012 Indicator 2 data attributed the change to having fewer children under IFSP on December 1, 2012 compared to 2011.
- The eleven programs with significant year-to-year increases in their FFY 2012 Indicator 2 percentages attributed their progress to the following:
 - Having more children under IFSP on December 1, 2012 compared to 2011 (n=6);
 - Increasing the number and duration of individual intensity, home-based services, relative to group intensity services, on children's IFSPs (n=3); and
 - Increasing the number and duration of individual intensity, home-based services, relative to group intensity services, on children's IFSPs and having more children under IFSP on December 1, 2012 compared to 2011 (n=2).

The BWEIP reviewed the information and prepared a summary of significant year-to-year changes that was presented to providers on the February 2013 call with all grantees.

Discussion of Improvement Activities Completed for FFY 2012:

July 2012-November 2012: The BWEIP Comprehensive Systems of Personnel Development (CSPD) Coordinator participated in preparing and planning for the November 1-2, 2012 Utah Early Childhood Conference, which was jointly sponsored with the Utah State Office of Education. The conference had 56 sessions focused on providing and evaluating services in home, community, and other settings. The keynote presenters were Sarah Mulligan (Division of Early Childhood Executive Director), who discussed interventions within routines and settings, and Daniel Hodgins (an early childhood consultant and former Coordinator of Early Childhood Education at Mott Community College), who covered preferences and interventions in daily routines and settings. There were about 250 participants from early intervention programs throughout Utah.

A booklet entitled "Utah's Early Learning Guidelines, Birth to Age Three" with a focus on typical development and play within routines in home and community settings was distributed at the November 2012 Utah Early Childhood Conference.

FFY 2012: Throughout the year, the BWEIP CSPD Coordinator revised CSPD modules to provide additional emphasis and focus on home and community settings, and particularly on routines-based assessments and interventions. In addition, the Communication and the IFSP/Service Coordination modules were revised to focus on functional goal writing, writing effective goals, outcomes, and interventions for home, routines, and family outcomes.

FFY 2012: The BWEIP CSPD Coordinator developed a family assessment form that included a family routines discussion as part of the family assessment.

February 2012-June 2012: The Utah State Legislature authorized an autism Medicaid waiver program, which BWEIP, with family involvement and other agencies support, engaged in the development and implementation of home services. From July 2012 through June 2013, autism services have been provided to over 300 families with children between two and six years old who have a diagnosis of Autism Spectrum Disorder. Services are provided during home visits using natural environments for generalization of skills.

APR Template – Part C (4)

Utah

August 2012: The BWEIP CSPD Coordinator presented on routines-based interviewing and interventions to Parent Infant Program staff at the Utah Schools for the Deaf and Blind.

November 2012: The BWEIP CSPD Coordinator met with professors in the University of Utah Occupational Therapy (OT) program to discuss coursework to train OT staff in early intervention to provide services within natural environments and routines.

January 12, 2013: The BWEIP Program Director and CSPD Coordinator, along with EI providers, were invited to attend the Utah Infant Mental Health Association’s “The Conscious Parent” conference, which was focused on relationship-based parenting.

BWEIP Resolution of Previously Identified Noncompliance for FFY 2012:

There were no findings of noncompliance in FFY 2012.

Revisions to Improvement Activities for FFY13: N/A

Activity	Timeline	Resources
<i>BWEIP will present an in-depth report on the status of Utah’s percentage of children served in the natural environment to a joint ICC and EI Provider meeting.</i>	Ongoing	618 data, Table 2, APR Indicator #2
<i>BWEIP will solicit stakeholder input for improvement activities to services in the natural environment.</i>	Ongoing	Monitoring BTOTS reports, APR Indicator data
BWEIP will explore training opportunities for interventions that are embedded in everyday activities and routines within the natural environment.	Ongoing	BWEIP CSPD Coordinator
BWEIP will continue to revise CSPD training modules for staff working on the credentialing process to emphasize appropriate use of natural environments.	Ongoing	BWEIP CSPD Coordinator, EI providers and staff
BWEIP will conduct trainings with providers on RBI and functional goal writing, and provide follow-up consultation for staff implementing these skills. Trainings will include the Parent-Infant-Program at the Utah Schools for the Deaf and the Blind.	2010-2013	BWEIP CSPD Coordinator, EI providers and staff
BWEIP staff will work with individual provider agencies in reviewing their process and policies regarding serving children in natural environments.	Ongoing	APR, monitoring, BTOTS reports, and program practice

BWEIP staff will assist individual provider agencies in planning and implementing improvement activities regarding serving children in natural environments.	Ongoing	Site visits, training, documentation
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Part C State Annual Performance Report (APR) for 2012

Overview of the State Performance Plan/Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = $[(\# \text{ of infants and toddlers who did not improve functioning}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = $[(\# \text{ of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = $[(\# \text{ of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = $[(\# \text{ of infants and toddlers who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$.

Summary Statements for each of the three outcomes:

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1: Percent = $[\# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and toddlers reported in category (d)} \div (\# \text{ of infants and toddlers reported in progress category (a) plus } \# \text{ of infants and toddlers reported in progress category (b) plus } \# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and$

toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Targets and Actual Data for Part C Children Exiting in FFY 2012

Summary Statements	Actual FFY 2010 (n=2,392) (%)	Actual FFY 2011 (n=2,447) (%)	Target FFY 2011 (%)	Actual FFY 2012 (n=2,698) (%)	Target FFY 2012 (%)
Outcome A: Positive social-emotional skills (including social relationships)					
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program. Formula: (c+d) / (a+b+c+d)	71.37%	69.18%	80.60%	69.00%	80.90%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program. Formula: (d+e) / (a+b+c+d+e)	61.25%	59.54%	65.20%	58.78%	65.50%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)					
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program. Formula: (c+d) / (a+b+c+d)	78.29%	78.14%	84.60%	76.79%	84.90%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program. Formula: (d+e) / (a+b+c+d+e)	54.26%	54.23%	58.00%	52.59%	58.30%
Outcome C: Use of appropriate behaviors to meet their needs					
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program. Formula: (c+d) / (a+b+c+d)	75.50%	77.06%	84.0%	76.33%	84.30%
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program. Formula: (d+e) / (a+b+c+d+e)	62.75%	62.81%	67.50%	61.53%	67.80%

Progress Data for Part C Children Exiting in FFY 2012:

A. Positive social-emotional skills (including social relationships):	Number of children	% of children
a. Percent of children who did not improve functioning	16	0.59%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	583	21.61%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	513	19.01%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	820	30.39%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	766	28.39%
Total	2,698	100%
B. Acquisition and use of knowledge and skills (including early language/communication and early literacy):	Number of children	% of children
a. Percent of children who did not improve functioning	11	0.41%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	532	19.72%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	736	27.28%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	1,061	39.33%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	358	13.27%
Total	2,698	100%
C. Use of appropriate behaviors to meet their needs:	Number of children	% of children
a. Percent of children who did not improve functioning	13	0.48%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	487	18.05%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	538	19.94%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	1,074	39.81%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	586	21.72%
Total	2,698	100%

Discussion of Summary Statements and a-e Progress Data for FFY 2012:

Comparison of last to this year’s actual Summary Statement data.

A comparison of FFY 2011 to FFY 2012 actual summary statement data showed non-significant slippage across the two summary statements and three outcomes. Because these differences are so minimal, the outcomes data is essentially the same for both years. For Outcomes A, B, and C, minimal slippage in Summary Statement 1 and 2 percentages was observed. For Outcome A, slippages were -0.18% and -0.76%; for Outcome B, -1.35% and -1.64%; and Outcome C, -0.73% and -1.28% for Summary

Statements 1 and 2, respectively. Overall, slippage from FFY 2011 to FFY 2012 was somewhat larger for Summary Statement 2 than Summary Statement 1 for all outcomes.

In FFY 2012, the percentages of children reported in Summary Statement 1 ranged from 69.00% (Outcome A) to 76.79% (Outcome B), while for Summary Statement 2, the percentages ranged from 52.59% (Outcome B) to 61.53% (Outcome C). This same pattern and magnitude of the percentages in both summary statements for the three outcomes was also observed in FFY 2010 and FFY 2011.

When compared to the Early Childhood Outcome (ECO) Center 2011-12 analysis of national percentage averages (*Outcomes for Children Served Through IDEA's Early Childhood Programs: 2011-12*, published September 2013), Utah's FFY 2012 summary statement data falls within or above the ranges for Summary Statement 1 (national: 66% [Outcome A] to 73% [Outcome C]; Utah: 69.00% [Outcome A] to 76.79% [Outcome B]) and Summary Statement 2 (national: 52% [Outcome B] to 60% [Outcome A]; Utah: 52.59% [Outcome B] to 61.53% [Outcome C]). Four percentages, Summary Statement 1 for Outcomes A, B, and C, at 69.00%, 76.79% and 76.33%, respectively, as well as Summary Statement 2 for Outcome C at 61.53%, are slightly above the national averages.

From FFY 2011 to FFY 2012, the total number of children with child outcome scores increased significantly from 2,447 to 2,698, an increase of n=251 children or 10.26% $([(2,698 - 2,447) / 2,447] \times 100 = 10.26\%)$. For the second time since Utah began using the ECO Center's methodology for collecting child outcomes information, FFY 2012 data included a full cohort, or three years' worth, of data for all the children entering and exiting early intervention. Despite this increase, however, FFY 2012 targets for Summary Statements 1 and 2 for all outcomes were not met.

Although summary statement targets were not reached, an examination of Utah's FFY 2012 progress category percentage patterns for the three outcomes confirmed they met the quality data progress category definitions outlined in the October 2013 Division of Early Childhood (DEC) Conference ECO Center presentation "National Picture – Child Outcomes for Early Intervention and Preschool Special Education: Implications and Use." These quality data patterns include:

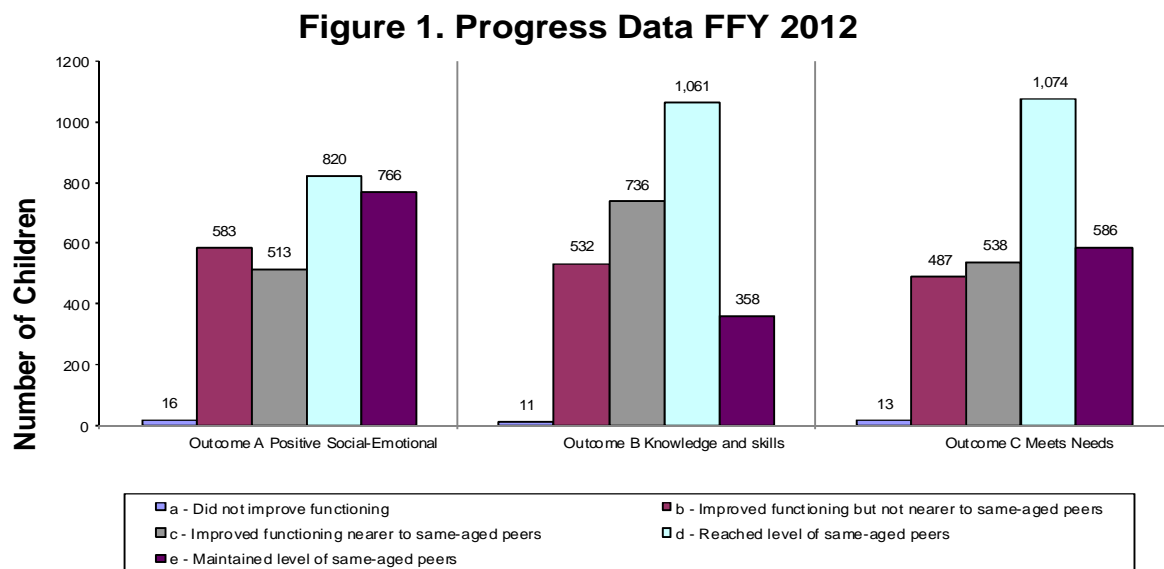
- *At least 28% of the population who exited early intervention is included in the scoring.* In FFY 2012 in Utah, 72% of exited children received entry and exit scores.
- *Not more than 10% of children who exited early intervention are in Category a., which indicates they made no progress.* In FFY 2012 in Utah, less than 1% of children were in Category a. in each of the three outcome areas (Outcome A, 0.59%; Outcome B, 0.41%; and Outcome C, 0.48%).
- *Not more than 65% of children who exited early intervention are in Category e., which indicates they maintained functioning at a level comparable to same-aged peers.* In FFY 2012 in Utah, between 13% and 28% of children are in Category e. across the three outcome areas (Outcome A, 28.39%; Outcome B, 13.27%; and Outcome C, 21.72%).

Utah's target percentages for Summary Statements 1 and 2 in all outcome areas in FFY 2012 and previous fiscal years were determined without having data for a full cohort of children to use as a baseline reference. It is noteworthy that Utah's actual target data has begun to converge over the last couple of fiscal years as it reflects a full cohort of children exiting early intervention in any year. We interpret this convergence to indicate that the data are stabilizing and we are close to reaching a true baseline that will enable BWEIP to identify more accurate and realistic targets for both summary statements in each of the outcome areas.

Discussion of a-e Progress Data for FFY 2012:

The number of children in the dataset and the number/percentage of children missing in the outcomes data.

Figure 1 shows Utah’s sixth year of progress data for children exiting in FFY 2012.



In FFY 2012, the total number of children exiting with an entry and an exit score and at least six consecutive months of service increased 10.26% from 2,447 to 2,698. In FFY 2012, the total number of children who exited early intervention FFY 2012 was 3,748. Thus in FFY 2012, 72.0% $([2,698 / 3,969] \times 100 = 72.0\%)$ of the children who exited early intervention had outcome data.

In FFY 2012, seven children who exited early intervention who should have had an exit score did not receive one, a decrease of 86.5% $([(7 - 52) / 52] \times 100 = -86.5\%)$ compared to FFY 2011. Entry and exit outcome scores are collected in the Baby Toddler Online Tracking System (BTOTS) on all children. A BTOTS report is available to help programs identify children who had an entry score and at least six consecutive months of service but no exit score at the time of exit. Programs are encouraged to run the report at least monthly to locate any children who met the criteria for requiring an exit score but did not get one.

The percentages of children representing each progress category indicate a significant change in the development of the 2,698 children receiving services for FFY 2012. Overall, a larger percentage of children substantially increased their rate of growth than the percentage of children who functioned within age expectations at exit.

The a-e progress data and data patterns.

The patterns in the number and percentages of children in four of the five progress categories (all but Category a.) showed variability across the three outcomes.

- Percentages across the three outcomes for Category a. were similar in magnitude at less than 1%.
- Percentages for Categories b., c., d., and e. showed differences across outcome areas:

- Category b. percentages were similar for Outcomes B (19.72%) and C (18.05%) but higher for Outcome A (21.61%).
- Category c. percentages were similar for Outcomes A (19.01%) and C (19.94%) but higher for Outcome B (27.2%).
- Category d. had the highest percentages of all five progress categories. Percentages ranged from a low of 30.39% for Outcome A to a high of 39.81% for Outcome C. The percentage for Outcome B was 39.33%.
- Category e. percentages ranged from a low of 13.27% for Outcome B to a high of 28.39% for Outcome A. The percentage for Outcome C was 21.72%.

Utah has a low percentage of children in Category a. compared to other states, which average 3-4%. For Categories b. and c., Utah percentages are similar to other states. Utah's Category d. is higher while Category e. is lower than other states.

Discussion of Improvement Activities Completed for FFY 2012:

July 2012 – June 2013: Utah programs continued to conduct activities to improve their child outcomes data quality. For example, several reports are available in BTOTS to identify data red flags (e.g., children who had entry scores of 1, 2, or 3 but exited with scores of 5, 6, or 7) or ensure timely scoring (e.g, children who turned six months of age and need an entry score or children without an exit score who had six months of consecutive services and needed an entry score).

July 2012 – June 2013: BWEIP staff were available to provide training to programs upon request. Handouts from previous trainings were available on the BWEIP website (www.utahbabywatch.org). Some providers used their own staff to train their new staff on child outcomes measurement. Resources through the ECO Center and other states' websites were made available to all providers.

July 2012 – June 2013: BWEIP began reviewing a child outcomes self- assessment tool that was presented at the October 2011 Child and Family Outcomes conference, with the plan of revising it to fit Part C providers in Utah. The deployment of Utah's new web-based version of BTOTS in May 2013, though successful, required more BWEIP staff involvement than had been anticipated with the unexpected departures of a key BWEIP staff person who oversaw the database and several senior developers with the database contactor, in addition to a threefold increase in the number of BTOTS users. With these challenges, the project has been put on hold again and will be incorporated into future training activities.

Explanation of Progress or Slippage that occurred for FFY 2012:

As noted previously, BWEIP did not attain target percentages for Summary Statements 1 and 2 for any of the outcomes in FFY 2012. This time period is the sixth year of collecting child outcomes data using the same methodology, as well as the third year that slippage occurred and targets were not attained. However, the similarity of actual target data for FFY 2011 and FFY 2012 may provide an indication of what more accurate target levels should be. Two or three more years of data will be needed to establish reliable and stable baseline data. After true baseline data is available, BWEIP will be able to set valid targets. Until that time, BWEIP will continue to train programs, review data, and ensure complete data entry.

Revisions to and ongoing Improvement Activities / Timelines / Resources for FFY 2013:

Activity	Timeline	Resources
Identify continuous training opportunities for all providers at annual conference, online, or self-study.	Ongoing	BWEIP staff
Identify training in typical childhood development as providers have requested.	Ongoing	BWEIP staff
Work with individual providers to analyze any procedural or data collection issues identified.	Ongoing	BWEIP staff
Assist programs in using information gathered during analysis of their data, especially to identify training issues.	Ongoing	BWEIP staff
Continue contacts with providers about training and any other data issues.	Ongoing	BWEIP staff
Refine website training resources to provide online activities for new staff and as a refresher course.	Ongoing	BWEIP staff
Introduce a self assessment tool to programs to enable them to examine their assessment and data collection procedures for child outcomes.	Ongoing	BWEIP staff
Encourage all programs to complete exit scores on all appropriate children.	Ongoing	BWEIP staff
Include child outcomes measurement in the statewide Early Childhood conference.	Ongoing	BWEIP staff

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
 - B. Effectively communicate their children's needs; and
 - C. Help their children develop and learn.
- (20 USC 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Targets
2012 (7/01/12-6/30/13)	<ul style="list-style-type: none"> A. 81% of respondent families participating in Part C will report that early intervention services have helped the family know their rights. B. 78% of respondent families participating in Part C will report that early intervention services have helped the family effectively communicate their children's needs. C. 82.5% of respondent families participating in Part C will report that early intervention services have helped the family help their child develop and learn.

Actual Target Data for FFY 2012:

- A. 87% **Target met.**
1645 / 1900 of respondent families participating in Part C agree or strongly agree that early intervention services have helped the family know their rights.
- B. 85% **Target met.**
1612 / 1900 of respondent families participating in Part C agree or strongly agree that early intervention services have helped the family effectively communicate their children's needs.
- C. 92% **Target met.**
1753 / 1900 of respondent families participating in Part C agree or strongly agree that early intervention services have helped the family help their children develop and learn.

PART C Early Intervention Family Survey Report for Data Collected in 2013 for FFY 2012

SPP/APR Indicator #4a: Percent of families participating in Part C who report that early intervention services have helped the family: **a. Know their rights.**

Standard: A 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family: know about my child’s and family’s rights concerning Early Intervention services.”

2013 Percent at or above indicator 4A standard (539):	87%	(SE of the mean = 0.8%)
2012 Percent at or above indicator 4A standard (539):	87%	(SE of the mean = 0.8%)
2011 Percent at or above indicator 4A standard (539):	87%	(SE of the mean = 0.8%)

SPP/APR Indicator #4b: Percent of families participating in Part C who report that early intervention services have helped the family: **b. Effectively communicate their children’s needs.**

Standard: A 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family: communicate more effectively with the people who work with my child and family.”

2013 Percent at or above indicator 4B standard (556):	85%	(SE of the mean = 0.8%)
2012 Percent at or above indicator 4B standard (556):	85%	(SE of the mean = 0.9%)
2011 Percent at or above indicator 4B standard (556):	84%	(SE of the mean = 0.9%)

SPP/APR Indicator #4c: Percent of families participating in Part C who report that early intervention services have helped the family: **c. Help their children develop and learn.**

Standard: A. 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family **Understand my child’s special needs.**”

2013 Percent at or above indicator 4C standard (516):	92%	(SE of the mean = 0.6%)
2012 Percent at or above indicator 4C standard (516):	92%	(SE of the mean = 0.6%)
2011 Percent at or above indicator 4C standard (516):	93%	(SE of the mean = 0.6%)

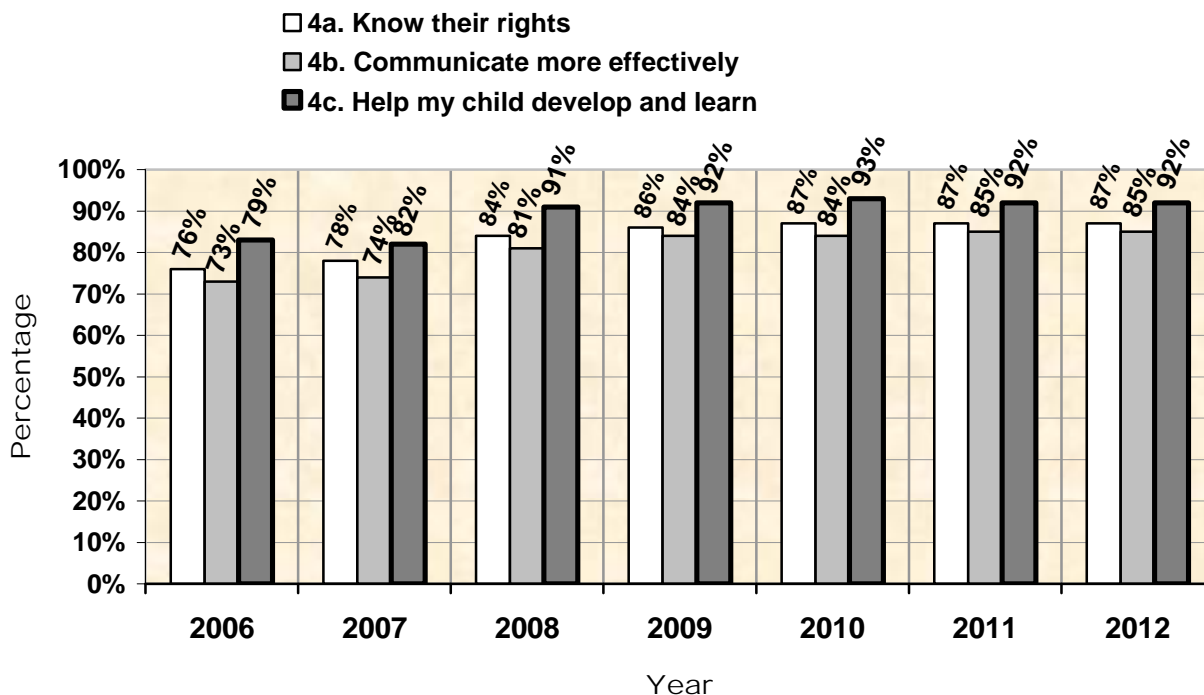
	2009	2010	2011	2012	2013
Number of Valid Responses	1,073	1,347	1,752	1,839	1,936
Mean Measure	716	721	737	735	733
Measurement SD	172	175	179	176	153
Measurement reliability	0.89-0.95	0.89-0.95	0.88-0.95	0.78-00.98	0.78-0.98

Averages of eight U.S. states’ 1,750 families participating in the 2005 NCSEAM Pilot Study:

Indicator Value	A	B	C	SE of Mean	Mean Measure	SD
	74%	70%	84%	0.9% - 1.1%	644	158

*Thoroughbred NCSEAM Results for FFY 2012
5/13

Percent of families who report that early intervention has helped the family:



Explanation of progress FFY 2012:

During February, 2013, 3,461 Utah versions (English and Spanish) of the National Center for Special Education and Accountability Monitoring (NCSEAM) Family Surveys – Part C were mailed or hand-delivered to families of children ages birth to three with disabilities or delays and enrolled in EI with an IFSP as of January 23, 2013, in all 29 Utah counties.

Of the surveys sent out, 1,936 were returned with measurable data on the survey’s “Impact on Early Intervention Services on the Family” scale used for reporting the SPP/APR indicators 4a, 4b, and 4c. The response rate was 56%, an increase of 1% over the FFY 2011 response rate of 55%. Individual survey items’ overall agreement percentages were then associated with a 2.3% margin of error, at a 95% confidence level, assuming that 75% of the responses were agreeable. The data met or exceeded the NCSEAM 2005 National Item Validation Study’s standards for the internal consistency, completeness, and overall quality expected from this survey.

In FFY 2012, Spanish language surveys represented 225 (11.6%) of the total responses, an increase of 26 surveys (0.9%) from 199 (10.7%) in FFY 2011.

The percentages reported are calculated as the percent of families whose measures are at or above a standard that is specific to each indicator. In these analyses, the standards applied were those recommended by a nationally representative stakeholder group convened by NCSEAM. This group identified items that most closely represented the content of each of the indicators and recommended the level of agreement that should be required on these items. For Indicators 4a, 4b, and 4c, the recommended standards were operationalized as measures of 539, 556, and 516, respectively, because these are the calibrations of the items most closely related to the indicators. The percentages reported for each indicator are the percent of families with measures on the “Impact of Early Intervention Services on Family” scale that are at or above these levels.

Figure 2. shows the distribution of measures on the “Impact on Families” scale for all families whose data was submitted for the FFY 2012 analysis. The overall average of all the individual family measures is 671.

Figure 2. “Impact of Early Intervention Services on Your Family” scale

Over the past year, early intervention services have helped me and/or my family:

Calibrations	Item Texts
678	Q25 – be part of activities for children and families in my community.
664	Q32 – provide social and networking opportunities in the Early Intervention program. [Utah Item]
656	Q26 – know about services in the community.
640	Q29 – know where to go for support to meet my family’s needs.
609	Q28 – know where to go for support to meet my child’s needs.
584	Q34 – be more effective in managing my child’s behavior.
576	Q33 – make changes in family routines that will benefit my child with special needs.
576	Q35 – do activities that are good for my child even in times of stress.
570	Q27 – improve my family’s quality of life.
565	Q36 – feel that I can get the services and supports that my child and family need.
563	Q30 – get the services that my child and family need.
559	Q31 – feel more confident in my skills as a parent.
556	Q39 – communicate more effectively with people who work with my child and my family.
553	Q37 – understand how the Early Intervention system works.
546	Q40 – understand the roles of the people who work with my child and my family.
539	Q41 – know about my child’s and family’s rights concerning Early Intervention services.
534	Q38 – be able to evaluate how much progress my child is making.
516	Q43 – understand my child’s special needs.
498	Q42 – do things with and for my child that are good for my child’s development.
498	Q44 – feel that my efforts are helping my child.

Table 1.FFY 2009-2012 Racial/Ethnic representation of enrolled Baby Watch Early Intervention Program (BWEIP) families verses enrolled BWEIP families responding to the survey

APR Template – Part C (4)

Utah

Race/ Ethnicity		Dec. 2009 Utah 618 Child Count	FFY 09 Utah NCSEAM Returns	Dec.1 2010 Utah 618 Child Count	FFY 10 Utah NCSEAM Returns	Dec.1 2011 Utah 618 Child Count	FFY 11 Utah NCSEAM Returns	Dec.1 2012 Utah 618 Child Count	FFY 12 Utah NCSEAM Returns
White	#	2,458	1,017	2,499	1,466	2,475	1,371	2616	1,395
	%	74.87%	75.51%	73.85 %	83.69%	72.97%	73.87%	73.55%	72.10%
Black or African American	#	24	5	35	16	38	19	37	24
	%	0.74%	0.36%	1.03%	0.91%	1.12%	1.02%	1.04%	1.23%
Hispanic or Latino	#	621	269	677	163	665	359	704	399
	%	18.92%	19.97%	20.01 %	9.29%	19.60%	19.34%	19.79%	20.61%
Asian or Pacific Islander	#	55	22	48	28	70	32	44 (a)	31 (a)
	%	1.68%	1.62%	1.42%	1.58%	2.06%	1.72%	1.24%	1.60%
Native Hawaiian or Other Pacific Islander	#	N/A	N/A	N/A	N/A	N/A	N/A	30	17
	%	N/A	N/A	N/A	N/A	N/A	N/A	0.84%	0.90%
American Indian or Alaska Native	#	65	8	61	37	71	34	49	30
	%	1.98%	0.58%	1.80%	2.14%	2.09%	1.83%	1.38%	1.55%
Other – Two or More Races	#	59	26	64	42	73	41	77	40
	%	1.80%	1.97%	1.89%	2.40%	2.15%	2.21%	2.16%	2.10%
Total non- white	#	824	330	885	286	917	485	941	541
	%	25.10%	24.49%	26.15 %	16.32%	27.03%	26.13%	26.45%	27.94%
Total	#	3,283	1,347	3,384	1,752	3,392	1,856	3,557	1,936
	%	100%	100%	100%	100%	100%	100%	100%	100%

During FFY 2012, non-white respondents increased to 27.94% (n=541), an increase of 1.81% compared to 26.13% (n=485) during FFY 2011.

Hispanic or Latino respondents increased to 20.6% (n=399), an increase of 1.81% compared to 19.34% (n=359) in FFY 2011.

American Indian/Alaska Native respondents decreased by 0.31% to 1.50% (n=30), compared to 2.14% (n=37) in FFY 2011.

Table 2. Survey Results Grouped by Program Location

Program Location	Number of Programs	FFY 11 Family Survey Return Rate	FFY 12 Family Survey Return Rate	FFY 12 4a. Parents know their rights	FFY 12 4b. Communicate more effectively	FFY 12 4c. Help my child develop and learn
Urban	7	56%	59.1%	87%	85.4%	92.4%
Rural	3	49%	39.5%	83.2%	80.6%	90.3%
Frontier	5	57%	60.5%	87.1%	85.7%	93.5%

In FFY 2012, urban and frontier programs had significant increases in return rates at 59.1% and 60.5%, respectively, compared to FFY 2011, while rural programs declined by 9.5%. Six of the seven urban districts hand delivered surveys to families. Only one rural program delivered by hand in FFY 2012, as compared to 3 rural programs in FFY 2011.

Frontier families’ ratings on all three measures are the highest, followed by urban families, and lastly, rural families. Positive ratings on 4a. “Parents know their rights” and 4b. “Communicate more effectively” areas are somewhat variable throughout the state, while families reporting that “Early Intervention has helped their child develop and learn” is consistently high statewide.

Improvement Activities Completed, Contributing to Progress for FFY 2012:

Survey Implementation:

FFY 2012: BWEIP monitored all programs for activities directed toward facilitation of the Utah NCSEAM Family Survey. Each program submits its plan to BWEIP annually for approval. The plans must contain a description of methods for survey promotion, distribution, follow-up, and collection. In general, programs increased the survey’s visibility by making announcements and reminders in multiple formats such as newsletters, postcards, letters, flyers, and service providers’ individual communications with families, prior to and during survey distribution and collection.

FFY 2012: Programs worked with their staff to ensure their understanding of the survey concepts and incorporating the principles in their interactions with families.

FFY 2012: Programs stressed the importance of survey participation to their staff and families.

FFY 2012: BWEIP distributed four survey announcements in both English and Spanish to all programs to be used in newsletters, postcards, and flyers.

FFY 2012: BWEIP shared information on successful strategies and problems experienced with programs.

FFY 2012: BWEIP monitored and reported back to each program weekly the number of returned surveys. Individual programs implemented strategies to remind families to respond and to return the survey.

FFY 2012: BWEIP also monitored the status of mailing addresses with the contractor, Thoroughbred. Address corrections were made and those dropped from the first mailing were recaptured in a second mailing.

FFY 2012: BWEIP provided survey results to each program as compared to the state SPP targets and Utah averages.

FFY 2012: BWEIP provided technical assistance and item by item review for several programs to determine problem areas.

FFY 2012: BWEIP publicly reported the local program survey results in comparison to the state SPP targets and Utah averages in the individual program profiles posted to www.UtahBabyWatch.org website and through a media advisory.

Resolution of previously identified noncompliance for FFY 2011

N/A

Revisions to Improvement Activities for FFY 2013: N/A

Activity	Timeline	Resources
BWEIP will present an in-depth report on the response to Utah’s Family Survey to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	APR Indicator data, monitoring BTOTS reports, survey results
BWEIP will solicit stakeholder input for improvement activities to improve outcomes on the Utah Family Survey.	Annually	APR Indicator data, monitoring BTOTS reports, survey results
BWEIP will work with ICC and providers to develop a committee to improve positive results on the family survey.	Ongoing	APR Indicator data, monitoring BTOTS reports, survey results

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.
(20 USC 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012 (7/1/12-6/30/13)	Baby Watch Early Intervention programs will serve (with IFSP) 0.92% of infants in Utah.

Actual Target Data for FFY 2012:

The Baby Watch Early Intervention Program (BWEIP) served 0.87% of infants in Utah on December 1, 2012.* With a percentage served of 0.87%, Utah is 0.19% below the FFY 2012 national baseline of 1.06% for infants receiving early intervention under IDEA.

*Source: IDEA Part C Child Count and Setting, Year 2012-2013, EDFacts Metadata and Process System (EMAPS). Original submission on January 30, 2013.

Explanation of Slippage for FFY 2012:

The SPP target for December 1, 2012 of 0.92% was not met. As part of the review of Indicator 5 data, BWEIP considers year-to-year changes (from the previous to the current year) in the percentage of infants served and the infant population, as well as the absolute number of infants served. The absolute number of infants served in Utah increased by 6.8% [(438 - 410) / 410 = 28 / 410= 6.8%] from December 1, 2011 to December 1, 2012. The infant population in Utah decreased by -1.7% [(50,276 - 51,126) / 51,126 = -850 / 51,126 = -1.7%] from December 1, 2011 to December 1, 2012.

Year-to-year changes in the number of infants served, infant population, and percentage of infants served for 2004-2012 are shown in Table 1. Over this time period, the number of infants served by providers each year has fluctuated but generally has shown a downward trend since the 2004 high of 360; however, in 2012, a new high of 438 infants served was reached. Utah experienced significant population growth in the first decade of the 21st century, but the infant population has been trending downward each year since the record high in 2008. Infant population figures from the 2010 Census appear to reflect more accurately the current number of infants in the state than the intercensal estimates used for the latter part of the previous decade. Targets for this indicator have not been met from 2005-2012.

Another consideration in analyzing and understanding these year-to-year changes is that BWEIP began electronic data collection in 2005, with the introduction of the Baby and Toddler Online Tracking System (BTOTS) statewide. As with targets for APR Indicator 2, Indicator 5 targets for 2005-2010 were set based on data that was “hand-collected” prior to 2005.

Table 1. Year-To-Year Changes of Number of Infants Served, Infant Population, and Percentage of Infants Served for FFY 2004-2012

Reporting Date	# Infants Served	% Change in # Infants Served	Infant Population ¹	% Change in Population	Target	% Infants Served ²
December 1, 2004	360 ³		50,051 (50,755)		N/A	0.72%
December 1, 2005	320 ⁴	-11.1%	49,602 (50,813)	-0.9%	0.78%	0.65%
December 1, 2006	349 ⁴	9.1%	52,310 (48,886)	5.5%	0.80%	0.67%
December 1, 2007	339 ⁴	-2.9%	53,376	2.0%	0.82%	0.64%
December 1, 2008	324 ⁵	-4.4%	57,069	6.9%	0.84%	0.57%
December 1, 2009	339 ⁶	4.6%	57,018	-0.1%	0.86%	0.59%
December 1, 2010	344 ⁷	1.5%	52,675	-7.6%	0.88%	0.65%
December 1, 2011	410 ⁸	19.2%	51,126	-2.9%	0.90%	0.80%
December 1, 2012	438	6.8%	50,276	-1.7%	0.92%	0.87%

¹Two sets of population figures are shown, July 2008-2009 and pre-July 2008 Subcounty Population Estimates; pre-July 2008 estimates are shown in parentheses. 2010-2011 population figures come from the 2010 U.S. Bureau of the Census.

²Source: (a) For 2004-2005 and 2006 data, DANS Table 8-4; percentages shown are based on U.S. Census Bureau Subcounty Population Estimates released July 2008. (b) For 2007 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2007. (c) For 2008 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2008. (d) For 2009 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2009. (e) For 2010 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2010. (f) For 2011 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2011. (g) For 2012 data, U.S. Department of Education, Office of Special Education Programs (OSEP), ED Facts Metadata and Process System (EMAPS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2012.

³Revised Table 1 submitted to OSEP and Westat October 20, 2005.

⁴Revised Table 1 submitted to OSEP and Westat April 21, 2008.

⁵Revised Table 1 submitted to OSEP and Westat September 9, 2009.

⁶Revised Table 1 submitted to OSEP and DAC April 2, 2010.

⁷Revised Table 1 submitted to OSEP and DAC May 24, 2011.

⁸Revised Table 1 submitted to OSEP and DAC February 28, 2012.

Improvement Activities Completed for FFY 2012 For APR Indicators 5 and 6:

BWEIP continued involvement with agencies and providers statewide that refer to early intervention including the foster care system; hospitals; NICU's; birthing centers; family and pediatric practices; public clinics; homeless shelters; Children with Special Health Care Needs; Head Start; Women, Infants, and Children; Newborn Hearing Screening; Voices for Utah Children; Utah Family Voices; Family Investment Coalition; Utah Schools for the Deaf and the Blind; Office of Child Care; Office of Home Visiting; Hispanic Community Center; Indian Health Services; United Way "Help Me Grow"; Children's Health Insurance Program; Infant Mental Health; Utah Autism Council; and Legislative Coalition for Persons with Disabilities.

Referrals by the Division of Child and Family Services to EI programs following screening, as required by the Child Abuse Prevention and Treatment Act interagency agreements, continue statewide.

The United Way "Help Me Grow 211" is the central directory for referrals to the BWEIP.

The ICC Baby Find committee continues its focus on improving the numbers of children served birth to 12 months by working on the following goals.

- Increasing appropriate infant referrals;
- Increasing awareness in social media and marketing that includes developmental milestones with information regarding possible developmental red flags, including linking to "Know The Sign Act Early";
- Continuing to analyze BTOTS data in relationship to referrals and enrollment for children birth to one.
- Increasing professional development activities within programs (quality of movement, eligibility, infant development, feeding, prematurity, and clinical opinions etc.);
- Exploring eligibility requirements and assessment measures for infants;
- Providing developmental charts to each area hospital – these charts would have program contact information in a go-home in a packet that would be given to all new moms;
- Assessing barriers to enrollment;
- Exploring impact of program resources of increased referrals and enrollment; and
- Reviewing SPP targets.

During FFY 2012, BWEIP reviewed BTOTS reports for the state as a whole and each program to determine how families heard about early intervention. Programs are encouraged to review these reports periodically to make necessary child find activity adjustments.

As seen in Table 2, referral sources remain fairly constant between all referrals and referrals found eligible. Overall, 62% of all children referred to BWEIP come from health care providers and hospitals. Of those referrals, 70% are found eligible for early intervention services.

Table 2. How Families Heard about Early Intervention in Utah During FFY 2012, by *Children Referred and by Children found Eligible Birth to Age Three

How Families Heard About Early Intervention	*Children Referred		Children Referred and Found Eligible		Eligible Referrals %
	N	%	N	%	
Health Care Provider	3,328	53%	2,315	54%	70%
Family or Friend	751	12%	525	12%	70%
Early Intervention Provider	545	9%	409	9%	75%
Hospital	550	9%	351	8%	64%
Sibling in Early Intervention	374	6%	276	6%	74%
School or Child Care Provider	355	6%	249	6%	70%
Social Service Agency	231	4%	131	3%	57%
Media	144	2%	70	2%	49%
*Total	6,446	100%	4,496	100%	70%

* Referral source may not be available for all children. Some children referred during the last two months of the FFY 2012 may not have been found eligible until early FFY 2013, while some children found eligible in FFY 2012 may have been referred in late FFY 2011.

As seen in Table 3, the majority of children are between the ages of one and three (73%), when referred to BWEIP, while infants less than 12 months old comprise 26% of referrals.

Table 3. BWEIP Age at Referral During FFY 2012

Age at Referral	Number Referred	% Referred
Birth to One Year	1,704	26.4%
One to Two Years	2,215	34.4%
Two to Three Years	2,527	39.2%
Total Birth to Three Years	6,446	100%

As presented in Table 4, the majority (74%) of the total number of children found eligible in FFY 2012 are between ages one and three. Children between ages two and three comprise the largest group at 42%. Infants, while the smallest percentage at 26% has increased from 25% in FFY 2011.

Table 4. BWEIP Age at Eligibility During FFY 2011 and FFY 2012

Age at Eligibility	Number Eligible 2011	Number Eligible 2012	% Eligible 2011	% Eligible 2012
Birth to One Year	1,076	1,162	24.9%	25.8%
One to Two Years	1,460	1,433	33.8%	31.9%
Two to Three Years	1,787	1,901	41.3%	42.3%
Total Birth to Age Three	4,323	4,496	100%	100%

As shown in Table 5, in FFY 2012, 70% of children referred to early intervention were found eligible. Children between two and three years of age show the highest rate of eligibility at 75% followed by infants, birth through 12 months at 68%. Of the infants referred and found eligible the percentage increased by 5% at 68% as compared to 63% in FFY 2011. The number of eligible infants increased from 1,076 in FFY 2011 to 1,162 in FFY 2012, while the number of infants referred increased by 8, 1,696 in FFY 2011 and 1,704 in FFY 2012.

Table 5. BWEIP Percentage of Referred Children Found Eligible During FFY 2012

Age at Eligibility	Number Referred	Number Eligible	% Referred Found Eligible
Birth to One Year	1,704	1,162	68%
One to Two Years	2,215	1,433	65%
Two to Three Years	2,527	1,901	75%
Total Birth to Three Years	6,446	4,496	70%

Resolution of Previously Identified Noncompliance for FFY 2011:

There were no findings of noncompliance for FFY 2011.

Revisions to Improvement Activities / Timelines / Resources for FFY 2013: N/A

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah's percentage of the population served for infants and toddlers to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	APR, monitoring and BTOTS reports
BWEIP will solicit stakeholder input for improvement activities to strengthen child find activities for eligible infants. State staff will complete the Annual APR final draft for approval in January.	Annually	APR Indicator data
BWEIP will continue work with the ICC and providers Baby Find committee goals to increase appropriate infant referrals.	Ongoing	BWEIP, ICC, Providers
BWEIP staff will assist early intervention providers in planning and implementing improvement activities regarding child find activities, and referral, and eligibility for infants.	Ongoing	Site visits, training, documentation
BWEIP staff will monitor the data of early intervention providers and the state as a whole regarding child find activities, referral and eligibility for infants.	Ongoing	APR, monitoring, BTOTS reports, and program practice
BWEIP staff will continue to build the Newborn Intensive Care Unit referral relationship process with other hospitals throughout the state.	Ongoing	BWEIP staff

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-6/30/13)</p>	<p>Baby Watch Early Intervention Programs will serve (with IFSP) 1.97% of infants and toddlers through age 2 in Utah.</p>

Actual Target Data for FFY 2012:

The Baby Watch Early Intervention Program (BWEIP) served 2.34% of infants and toddlers birth to three in Utah on December 1, 2012.* With a percentage served of 2.34%, Utah is 0.43% below the FFY 2012 national baseline of 2.77% for infants and toddlers birth to three receiving early intervention under IDEA.

*Source: IDEA Part C Child Count and Setting, Year 2012-2013, EDFacts Metadata and Process System (EMAPS). Original submission on January 30, 2013.

Explanation of Progress for FFY 2012:

The SPP target for December 1, 2012 of 1.97% was met. As part of the review of Indicator 6 data, BWEIP considers year-to-year changes (from the previous to the current year) in the percentage of infants and toddlers served and the birth to three population, as well as the absolute number of infants and toddlers served. The absolute number of 0- to 3-year-olds served in Utah increased by 5.4% [(3,577-3,392) / 3,392 = 195 / 3,392 = 5.4%] from December 1, 2011 to December 1, 2012. The birth to three population in Utah decreased by -2.5% [(152,262 -156,106) / 156,106 = -3,844 / 156,106 = -2.5%] from December 1, 2011 to December 1, 2012.

Year-to-year changes in the number of infants and toddlers served, the birth to three population, and percentage of infants and toddlers served for 2004-2012 are shown in Table 1. Over this time period, providers in Utah have increased the number of infants and toddlers they served every year. During this same timeframe, Utah experienced significant population growth, with the birth to three population increasing every year until 2010, when it began trending downward. The birth to three population figures from the 2010 Census appear to reflect more accurately the current number of 0- to 3-year-olds in the state than the intercensal estimates used for the latter part of the previous decade. Targets for this indicator were met and exceeded every year except for FFY 2006-2007 and FFY 2008-2009.

Another consideration in analyzing and understanding these year-to-year changes is that the BWEIP began electronic data collection in 2005, with the introduction of the Baby Toddler Online Tracking System (BTOTS) statewide. As with targets for APR Indicator 2, Indicator 5 targets for 2005-2010 were set based on data that was “hand-collected” prior to 2005.

The background and historical information detailed as part of Indicator five applies to Indicator six as well. Please refer to Indicator five, pp 1-2.

Table 1. Year-To-Year Changes in the Number of 0- to 3-Year-Olds Served, 0- to 3-Year-Old Population, and 0- to 3-Year-Old Percentage Served for FFY 2004-2012

Reporting Date	# 0-3 Served	% Change in # 0-3 Served	0-3 Population ¹	% Change in 0-3 Population	Target	% 0-3 Served ²
December 1, 2004	2,524 ³		145,226 (146,965)			1.74%
December 1, 2005	2,676 ⁴	6.0%	148,204 (150,943)	2.1%	1.80%	1.81%
December 1, 2006	2,777 ⁴	3.8%	152,227 (150,581)	2.7%	1.88%	1.82%
December 1, 2007	2,989 ⁴	7.6%	155,483	2.1%	1.86%	1.92%
December 1, 2008	3,117 ⁵	4.3%	165,985	6.8%	1.90%	1.88%
December 1, 2009	3,284 ⁶	5.4%	167,932	1.2%	1.92%	1.96%
December 1, 2010	3,384 ⁷	3.1%	159,028	-5.3%	1.95%	2.13%
December 1, 2011	3,392 ⁸	0.24%	156,106	-1.8%	1.96%	2.17%
December 1, 2012	3,577	5.4%	152,262	-2.5%	1.97%	2.34%

¹Two sets of population figures are shown, July 2008-2009 and pre-July 2008 Subcounty Population Estimates; pre-July 2008 estimates are shown in parentheses. 2010-2011 population figures come from the 2010 U.S. Bureau of the Census.

²Source: (a) For 2004-2005 and 2006 data, DANS Table 8-4; percentages shown are based on U.S. Census Bureau Subcounty Population Estimates released July 2008. (b) For 2007 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2007. (c) For 2008 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2008. (d) For 2009 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2009. (e) For 2010 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2010. (f) For 2011 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2011. (g) For 2012 data, U.S. Department of Education, Office of Special Education Programs (OSEP), EDFacts Metadata and Process System (EMAPS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2012.

³Revised Table 1 submitted to OSEP and Westat October 20, 2005.
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⁷Revised Table 1 submitted to OSEP and DAC May 24, 2011.
⁸Revised Table 1 submitted to OSEP and DAC February 28, 2012.

The improvement activities information is detailed as part of Indicator five applies to Indicator six as well. Please refer to Indicator five, pp. 3-5.

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
<p>2012 7/1/12-6/30/13</p>	<p>All eligible infants and toddlers (100%) will receive an evaluation and an assessment and an IFSP within 45 days of referral.</p>

Actual Target Data for FFY 2012:

<p>100%</p>

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all newly-referred children who were found eligible and for whom an initial IFSP was required to be conducted during the time period July 1, 2012 through April 30, 2013. See Annual Performance Plan Overview for details; a 10-month reporting period was used due to the deployment of the web-based version of BTOTS on May 1, 2013.

Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C’s 45-day timeline in FFY 2012:

<p>a. Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline (for the time period July 1, 2012 through April 30, 2013)</p>	<p>3,323</p>
<p>b. Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted (for the time period July 1, 2012 through April 30, 2013)</p>	<p>3,323</p>
<p>Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day</p>	<p>100%</p>

timeline (Percent = [(a) divided by (b)] times 100) (for the time period July 1, 2012 through April 30, 2013)	
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Correction of Findings of Noncompliance Made During FFY 2011 (if State reported less than 100% compliance):

BWEIP identified one finding of noncompliance for timely IFSP's during FFY 2011. The one finding of noncompliance and its full correction is detailed below.

1. Number of findings of noncompliance the State made during FFY 2011 for FFY 2011 data.	1
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	1
3. Number of FFY 2011 findings not verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	0
6. Number of FFY 2011 findings not verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2011 findings of noncompliance (either timely or subsequent):

EI program compliance with evaluations, assessments, and initial IFSP meeting requirements is a component of the annual database monitoring for each EI program for the full 12-month reporting period. These monitoring reviews included a review by each local program of timely service initiation requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site and off-site reviews of data, procedures, and individual child files. When noncompliance was identified, each program was required to respond in writing to a Corrective Action (CA) to address and correct all incidences of noncompliance.

One finding of noncompliance was identified in FFY 2011 for this indicator identified through BTOTS monitoring. One EI program received a notification of noncompliance for FFY 2011. All corrective action required by FFY 2011 identification has been completed by the EI program and verified by BWEIP staff within one year of notification of the noncompliance.

BWEIP has implemented a two-pronged verification process to ensure that each EI program with a previous finding of noncompliance is (1) meeting regulatory requirements and (2) ensuring that in each instance of noncompliance, the EI program has conducted evaluations, assessments, and an IFSP meeting, although late, for any child whose IFSP was not initiated in a timely manner, unless the child is no longer within the jurisdiction of the BWEIP.

1. Related to the EI program with findings under Indicator 7, BWEIP verified that the EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) in **34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1)** based on subsequently collected data.

When noncompliance was found, the program was required to report to BWEIP the results of regular self- monitoring based on subsequently-collected data. The program must also submit a narrative report accounting for each specific instance of noncompliance and provide steps to ensure that the reasons for noncompliance are being addressed in order to prevent future recurrences. The program demonstrated correction of noncompliance by performance at 100% for all children for at least one month.

By conducting ongoing monitoring, BWEIP further verified that the EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements.

- For the child/family impacted by noncompliance found in FFY 2011, BWEIP verified that the agency had conducted evaluations, assessments, and an IFSP meeting, although late, for the child whose IFSP was not initiated in a timely manner, unless the child was no longer within the jurisdiction of BWEIP, consistent with **OSEP Memorandum 09-02, dated October 17, 2008.**

Table 1. Provider Circumstance and Length of Delay for Late IFSP, FFY 2011

	Provider Circumstances for Late IFSPs FFY 2011	Total
	Provider Schedule	
# Days IFSPs Late	1-5	
# Files	1	1

As the EI program conducted data monitoring, it was required to document the actual number of days and reasons why evaluations, assessments, and IFSP meeting were late (if the IFSP was not provided within 45 days). This is done to assure that IFSP requirements although late were provided for the child/family and as a way to encourage the EI program to analyze their data regularly. BWEIP has verified that the EI program with noncompliance under this indicator has met the requirements for the child, although late. The results of EI program data monitoring are validated by BWEIP through ongoing BTOTS and on-site monitoring.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:

BWEIP notified the EI program in writing of its findings of noncompliance, as well as Corrective Action Levels Templates detailed below.

Noncompliance Corrective Action Process Overview

As per OSEP instruction referenced on the enclosed FFY 2011 Corrective Action Overview, Baby Watch is required to make findings and inform programs of all noncompliance, as well as implement a corrective action and fully correct and verify correction of all noncompliance as soon as possible, but no later than June 30, 2012

The purpose of the corrective action is to assist the program and BWEIP to understand and correct the underlying causes of the noncompliance so that the program can achieve the **100% Compliance** status. We are using this template to assist with that process. The template includes a corrective action level for each compliance indicator that is less than 100% for FFY 2011-2012 and contains cells for you to supply current indicator data, analysis of the root cause/s for noncompliance, written implementation plan, reviews, reporting, and timelines.

To verify correction of noncompliance, Baby Watch must review data that demonstrate that your program has corrected each individual instance of noncompliance, unless the infant or toddler is no longer within the jurisdiction of your program. For timeline-specific indicators (Indicators 1, 7, 8a, 8b, and 8c), data must demonstrate that the required action (e.g., evaluation and initial IFSP) occurred, although late. In addition, Baby Watch must review subsequent data (following the identification of noncompliance) that demonstrate 100% compliance with the requirements. The requirements for the full correction each level of noncompliance is detailed in the following chart.

Corrective Action Requirements for Noncompliance Identified in FFY 2011			
Compliance Level	Corrective Action Required?	<i>EI Program Corrective Action Requirements</i>	EI Program Corrective Action Reporting to BWEIP
100% Compliance	No	None	N/A
Meets Requirements 95% to 99.9% Compliance	Yes	<ul style="list-style-type: none"> Determine status and cause of each late event, unless the child is no longer in Part C. Periodic self-review of monitoring report(s) in the indicator(s) of concern. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification.
Needs Assistance 80% to 94.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. TA conference call(s) as needed. Onsite BWEIP TA as needed. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Quarterly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Final written report to BWEIP detailing progress by 6/1/12.
Needs Intervention 70% to 79.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Bi-monthly TA call with BWEIP. Onsite monitoring visit. Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Bi-monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.
Needs Substantial Intervention < 70% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Monthly TA call with BWEIP. Onsite monitoring visit(s). Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.

Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable): N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2013: N/A

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of IFSPs within 45days to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve the percentage of IFSPs within 45 days.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will work with ICC and providers to develop a committee to analyze reason for late IFSPs	Ongoing	Monitoring BTOTS reports, APR Indicator data

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8A: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-4/30/13)</p>	<p>100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community by their third birthday including:</p> <p>A. IFSPs with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the their third birthday.;</p>

Actual Target Data for FFY 2012:

<p>100%</p>

The data for the FFY 2012 APR submission for this indicator includes all children exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the their third birthday . These children were at least 33 months old and exited EI from July 1, 2012 through April 30, 2013. See Annual Performance Plan Overview for details; a 10-month reporting period was used due to the deployment of a web-based version of BTOTS on May 1, 2013.

Children Exiting Part C who Received Timely Transition Planning:

<p>a. Number of children exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the their third birthday</p>	<p>2,126</p>
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b. Number of children exiting Part C	2,126
Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community by their third birthday (Percent = [(a) divided by (b)] times 100)	100%

Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):
 Level of compliance (actual target data) State reported for FFY 2011: 100%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	0
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	0
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2011 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2011 noncompliance or FFY 2011 findings (either timely or subsequent): N/A

Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable): N/A

1. Number of remaining FFY 2010 findings of noncompliance noted in OSEP’s FFY 2010	0
2. Number of remaining FFY 2010 findings the State has verified as corrected	0
3. Number of remaining FFY 2010 findings the State has NOT verified as corrected [(1) minus (2)]	0

Verification of Correction of Remaining FFY 2010 findings: N/A

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable): N/A

**Additional Information required by the OSEP APR Response Table for this Indicator (if applicable):
N/A**

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines /
Resources for FFY 2010-2013: N/A**

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8B: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- B. Notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B;

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of toddlers with disabilities exiting Part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-6/30/13)</p>	<p>100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <ul style="list-style-type: none"> B. Notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B (unless family opted out);

Actual Target Data for FFY 2012:

<p>100%</p>

The data for the FFY 2012 APR submission for this indicator includes all children where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers exiting Part C where These children that were at least 33 months old and exited EI from July 1, 2012 through April 30, 2013. See Annual Performance Plan Overview for details; a 10-month reporting period was used due to the deployment of a web-based version of BTOTS on May 1, 2013.

Children Exiting Part C who Received Timely Transition Planning (Notification consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers to LEA):

<p>a. Number of children exiting Part C and potentially eligible for Part B where the notification (consistent with any opt-out policy adopted by the State) to the SEA</p>	<p>1,990</p>
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<u>and LEA</u> occurred at least 90 days prior to their third birthday	
b. Number of children exiting Part C who were potentially eligible for Part B	1,990
Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday <u>where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday)</u> (Percent = [(a) divided by (b)] times 100)	100%

Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):
Level of compliance (actual target data) State reported for FFY 2011 for this indicator: 100%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	0
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	0
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2011 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A
Verification of Correction of FFY 2011 noncompliance or FFY 2011 findings (either timely or subsequent):N/A

Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable): N/A

1. Number of remaining FFY 2010 findings of noncompliance noted in OSEP’s FFY 2010 APR response table in June 2011 for this indicator	0
2. Number of remaining FFY 2010 findings the State has verified as corrected	0
3. Number of remaining FFY 2010 findings the State has NOT verified as corrected [(1) minus (2)]	0

Verification of Correction of Remaining FFY 2010 findings: N/A

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010: N/A

**Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable):
N/A**

Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2013: N/A

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8C: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

C. Transition conference, if child potentially eligible for Part B
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-6/30/13)</p>	<p>100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p>

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all children who were potentially eligible for Part B and for whom transition conferences were due from July 1, 2012 through April 30, 2013. See Annual Performance Plan Overview for details; a 10-month reporting period was used due to the deployment of a web-based version of BTOTS on May 1, 2013.

Actual Target Data for FFY 2012:

<p>99.51%</p>

Children Exiting Part C who received Timely Transition Planning (Transition Conference):

<p>a. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred (the period from July 1, 2011 through April 30, 2012)</p>	<p>1,635</p>
<p>b. Number of children exiting Part C who were potentially eligible for Part B (the period from July 1, 2011 through April 30, 2012)</p>	<p>1,643</p>

Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Transition Conference) (Percent = [(a) divided by (b)] times 100) (the period from July 1, 2011 through June 30, 2012)	99.51%
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Explanation of Slippage in FFY 2012:

The percentage of files in compliance decreased from 99.6% in 2011 to 99.5% in 2012, a decrease of 0.1%.

Of 1,643 records reviewed in BTOTS that required a transition conference, 1,635 showed that a transition conference was held in a timely manner. Thirteen of 15 early intervention (EI) programs held 100% of the required transition conferences in a timely manner. The eight late conferences were attributable to the two remaining programs. Table 1 shows the eight late transition conferences, delay reasons, and the number of days transition conferences were late, unless the child was no longer under the jurisdiction of BWEIP.

Table 1. Delay Reasons and Length of Delay for Late Transition Meetings, FFY 2012

	Delay Reasons for Late Transition Meetings							Total	
	Provider Schedule								Child No Longer in EI
# Days Late	1-15	16-30	31-45	46-60	61-75	76-90	Over 90	Not Held	
# Files	0	1	0	1	1	0	0	5	8

Improvement Activities Completed in FFY 2012:

FFY 2012: BWEIP developed and received OSEP approval on a new transition policy, including timely transition conference for all children exiting Part C in accordance with the 2011 Part C Regulations.

FFY 2012: BWEIP continues to encourage EI providers to analyze data by drilling down to the child level for reasons for delays and make necessary process adjustments to prevent future delayed transition conferences. These activities were incorporated into all EI providers’ data accuracy plans.

FFY 2012: new contact logs were developed for BTOTS Web deployment in FFY 2013. The contact logs will hold detailed information about family and provider circumstances, delays, and contact history.

Correction of Findings of Noncompliance Made During FFY 2011 data (if State reported less than 100% compliance):

BWEIP identified nine findings of noncompliance for timely transition conferences in FFY 2011. All nine findings of noncompliance and their full correction are detailed below.

1. Number of findings of noncompliance the State made during FFY 2011.	9
2. Number of FFY 2011 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	9
3. Number of FFY 2011 findings not verified as corrected within one year [(1.) minus (2.)]	0

FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3.) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2011 findings not verified as corrected [(4.) minus (5.)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2011 findings of noncompliance (either timely or subsequent):

EI program compliance with transition conference requirements is a component of the annual database monitoring for each EI program for the full 12-month reporting period. These monitoring reviews included a review by each local program of timely transition conference requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site and off-site reviews of data, procedures, and individual child files. When noncompliance was identified, each program was required to respond in writing to a Corrective Action (CA) to address and correct all incidences of noncompliance.

There were nine findings of noncompliance in two EI programs for this indicator identified through the data base monitoring. The two EI programs received notification in FFY 2011. All corrective action required by FFY 2011 notification has been completed by EI programs and the correction of noncompliance verified by BWEIP staff within one year of notification of the noncompliance.

BWEIP has implemented a two-pronged verification process to ensure that each EI program with a previous finding of noncompliance is (1) meeting regulatory requirements and (2) ensuring that in each instance of noncompliance, the EI program held a transition conference, although late, for any child whose transition conference was not conducted in a timely manner, unless the child is no longer within the jurisdiction of the BWEIP.

1. Related to the two programs with findings under Indicator 8c. BWEIP verified that each EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) in **34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1)** based on subsequently-collected data.

When noncompliance was found, programs were required to submit to BWEIP the results of regular self-monitoring based on subsequently collected data. Programs also submitted narrative reports accounting for each specific instance of noncompliance and provided steps to ensure that the reasons for noncompliance are being addressed in order to prevent future recurrences. Programs demonstrated correction of noncompliance by performance at 100% for all children for at least one month.

By conducting ongoing monitoring, BWEIP further verified that each EI program with noncompliance under this indicator is correctly implementing the specific regulatory requirements.

2. For the nine children/families impacted by noncompliance identified in FFY 2011, BWEIP verified that the agency had conducted a transition conference, although late, for each child whose conference was not conducted in a timely manner, unless the child was no longer within the jurisdiction of BWEIP, consistent with **OSEP Memorandum 09-02, dated October 17, 2008.**

Table 2. Delay Reasons and Length of Delay for Late Transition Conferences, FFY 2011

	Delay Reasons for Late Transition Meetings							Total	
	Provider Schedule						Child No Longer in EI		
# Days Late	1-5	6-10	31-45	46-60	61-75	76-90	Over 90	Not Held	
# Files	1	2	0	2	0	0	0	4	9

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:

BWEIP notified each EI program in writing of its findings of noncompliance, as well as supplied Corrective Action Levels Templates as detailed below.

Document Copy

Noncompliance Corrective Action Process Overview
 As per OSEP instruction referenced on the enclosed FFY 2011 Corrective Action Overview, Baby Watch is required to make findings and inform programs of all noncompliance, as well as implement a corrective action and fully correct and verify correction of all noncompliance as soon as possible, but no later than June 30, 2012.

The purpose of the corrective action is to assist the program and BWEIP to understand and correct the underlying causes of the noncompliance so that the program can achieve the **100% Compliance** status. We are using this template to assist with that process. The template includes a corrective action level for each compliance indicator that is less than 100% for FFY 2012 and contains cells for you to supply current indicator data, analysis of the root cause/s for noncompliance, written implementation plan, reviews, reporting, and timelines.

To verify correction of noncompliance, Baby Watch must review data that demonstrate that your program has corrected each individual instance of noncompliance, unless the infant or toddler is no longer within the jurisdiction of your program. For timeline-specific indicators (Indicators 1, 7, 8a, 8b, and 8c), data must demonstrate that the required action (e.g., evaluation and initial IFSP) occurred, although late. In addition, Baby Watch must review subsequent data (following the identification of noncompliance) that demonstrate 100% compliance with the requirements. The requirements for the full correction each level of noncompliance is detailed in the following chart.

Corrective Action Requirements for Noncompliance Identified in FFY 2012

Compliance Level	Corrective Action Required?	EI Program Corrective Action Requirements	EI Program Corrective Action Reporting to BWEIP
100% Compliance	No	None	N/A
Meets Requirements 95% to 99.9% Compliance	Yes	<ul style="list-style-type: none"> Determine status and cause of each late event, unless the child is no longer in Part C. Periodic self-review of monitoring report(s) in the indicator(s) of concern. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c)). Subsequent data report at 100% for each indicator of concern per BWEIP specification.
Needs Assistance 80% to 94.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. TA conference call(s) as needed. Onsite BWEIP TA as needed. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Quarterly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Final written report to BWEIP detailing progress by 6/1/12.
Needs Intervention 70% to 79.9% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Bi-monthly TA call with BWEIP. Onsite monitoring visit. Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Bi-monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.
Needs Substantial Intervention < 70% Compliance	Yes	<ul style="list-style-type: none"> Analysis of cause(s) of noncompliance Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Monthly TA call with BWEIP. Onsite monitoring visit(s). Onsite BWEIP TA. 	<ul style="list-style-type: none"> Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8a-c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/12.

Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable): N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable):
N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013: N/A

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of Timely Transition Conferences to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve Timely Transition Conferences.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will work with information technology (IT) staff to document timely transition conference on the IFSP for all children exiting Part C through web based BTOTS	Ongoing	BWEIP, MDSC IT staff

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B) and 1442)

Measurement:
 Percent of noncompliance corrected within one year of identification:
 a. # of findings of noncompliance.
 b. # of corrections completed as soon as possible but in no case later than one year from identification.
 Percent = [(b) divided by (a)] times 100.
States are required to use the “Indicator C 9 Worksheet” to report data for this indicator (see Attachment A).

FFY	Measurable and Rigorous Target
2012 (7/1/12-6/30/13)	General supervision system (including monitoring, complaints, hearings, etc.) will identify and correct 100% of all noncompliance as soon as possible but in no case later than one year from identification.

Actual Target Data for FFY 2012

100%

Describe the process for selecting EIS programs for Monitoring:

See the State Performance Plan (SPP) 2005-2013 Indicator 9 for updated information on the revisions to the BWEIP general supervision system to include using the statewide database, the Baby and Toddler Online Tracking System (BTOTS), to collect an entire year’s worth of data for compliance monitoring.

The FFY 2011 APR compliance indicator was based on data from BTOTS for all 15 programs for a full program year. Prior to FFY 2009, compliance for this indicator was based on monitoring reviews at five programs annually. The use of the data for the entire state through BTOTS strengthened the awareness

and ability of all providers to self-monitor on an ongoing basis. This change is directly related to the progress demonstrated.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that Occurred for FFY 2011:

BWEIP encouraged early intervention (EI) programs to use monitoring and data reports available in BTOTS to track the correction of all noncompliance. When noncompliance was identified, Corrective Actions (CAs) were issued by BWEIP and completed as soon as possible but no later than one year from notification of the noncompliance. See Indicator 1: pages 4 and 5.

Timely Correction of FFY 2010 Findings of Noncompliance (corrected within one year from identification of the noncompliance):

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012) (Sum of Column a on the Indicator C 9 Worksheet)	36
2. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	36
3. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”).	0
6. Number of FFY 2011 findings <u>not</u> yet verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2011 findings (either timely or subsequent):

BWEIP verified that each EI program with noncompliance identified in FFY 2011 (1) was correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data (e.g., data subsequently collected through on-site monitoring or BTOTS) and (2) corrected each individual case of noncompliance, unless the child was no longer within the jurisdiction of the BWEIP, as consistent with OSEP Memorandum 09-02.

Describe the specific actions that State took to verify the correction in FFY 2012 of findings of noncompliance identified in FFY 2011:

See indicators 1, 7, and 8c for the description of the action BWEIP took to verify the correction of FFY 2011 findings of noncompliance in FFY 2012.

Correction of Remaining FFY 2008-2009 Findings of Noncompliance: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2007-2008 or Earlier: N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2013:

Activity	Timeline	Resources
Apply both prongs of 09-02 Memo to all findings of noncompliance.	2010-2013	BWEIP Staff
Monitor Corrective Actions Plans (CAPs) and correction of all noncompliance through BTOTS, child record reviews, and EI provider policy and procedures.	2010-2013	BWEIP Staff

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011(7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	6	26	26
	Dispute Resolution: Complaints, Hearings	0	0	0
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0

Optional APR Template – Part C (4)

Utah

4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
6. Percent of infants and toddlers birth to 3 with IFSPs	Dispute Resolution: Complaints, Hearings	0	0	0
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;	Dispute Resolution: Complaints, Hearings	0	0	0

Optional APR Template – Part C (4)

Utah

<p>8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:</p>	<p>Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other</p>	<p>01</p>	<p>0</p>	<p>0</p>
<p>B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and</p>	<p>Dispute Resolution: Complaints, Hearings</p>	<p>0</p>	<p>0</p>	<p>0</p>
<p>8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:</p>	<p>Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other</p>	<p>2</p>	<p>9</p>	<p>9</p>
<p>C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.</p>	<p>Dispute Resolution: Complaints, Hearings</p>	<p>0</p>	<p>0</p>	<p>0</p>
<p>OTHER AREAS OF NONCOMPLIANCE:</p>	<p>Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other</p>	<p>0</p>	<p>0</p>	<p>0</p>

Optional APR Template – Part C (4)

Utah

	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self- Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self- Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
			36	36
Sum the numbers down Column a and Column b				
Percent of noncompliance corrected within one year of identification =			(b) / (a) X 100 =	100.00%
(column (b) sum divided by column (a) sum) times 100.				

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(1.1(b) + 1.1(c)) divided by (1.1)] times 100.

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-6/30/13)</p>	<p>100% of signed written complaints with reports issued will be resolved within a 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p>

Actual Target Data for FFY 2012: N/A

The Baby Watch Early Intervention Program (BWEIP) received no signed written complaints with a report issued within 60 days during the FFY 2012 reporting period.

Improvement Activities Completed for FFY 2012: N/A

Resolution of Previously Identified Noncompliance for FFY 2011: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for FFY 2012: N/A

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(3.2(a) + 3.2(b)) divided by (3.2)] times 100.

FFY	Measurable and Rigorous Target
2012 (7/1/12-6/30/13)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.

Actual Target Data for FFY 2012: N/A

The Baby Watch Early Intervention Program (BWEIP) received no due process hearing requests were filed during FFY 2012.

Improvement Activities Completed for FFY 2012: N/A

Resolution of Previously Identified Noncompliance for FFY 2011: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for FFY 2012: N/A

Part C State Annual Performance Report (APR) for 2012

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by (2.1)] times 100.

FFY	Measurable and Rigorous Target
<p>2012 (7/1/12-6/30/13)</p>	<p>100% percent of mediations held resulted in mediation agreements.</p>

Actual Target Data for FFY 2012: N/A

The Baby Watch Early Intervention Program (BWEIP) received no requests for mediations during the reporting period of FFY 2012.

Improvement Activities Completed for FFY 2012: N/A

Resolution of Previously Identified Noncompliance for FFY 2011: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for FFY 2012: N/A



FAMILY SURVEY - EARLY INTERVENTION

This survey is for families receiving Early Intervention services. Your responses will help guide efforts to improve services and results for children and families.

You may skip any item that does not apply to your family.

INSTRUCTIONS

- Do not use pens that soak through the paper.
- Fill in circle completely: This: ● Not This: ⊗ ⊘

For each statement below, please select one of the following response choices:

Very Strongly Disagree Strongly Disagree Disagree Agree Strongly Agree Very Strongly Agree

FAMILY-CENTERED SERVICES

The Early Intervention service provider(s) that work with my child:

- are dependable.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- are easy for me to talk to about my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- are good at working with my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

My service coordinator is available to speak with me on a regular basis.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My service coordinator is knowledgeable and professional.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Written information I receive is written in an understandable way.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was given help and information about the transition (when my child will leave Early Intervention for other settings or services).	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My family's needs (such as transportation, child care, etc.) were considered when planning for my child's services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was asked whether I wanted help in dealing with stressful situations.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was given choices concerning my family's services and supports.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My family's daily routines were considered when planning for my child's services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I have felt part of the team when meeting to discuss my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The services on our Individualized Family Service Plan (IFSP) have been provided in a timely way.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

My family was given information about:

- changing routines, activities, and the physical setting that would help my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- the rights of parents regarding Early Intervention services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- community programs that are open to all children.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- resources offered that support for parents of children participating in this program.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- how to be part of programs and get other services in the community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- how to advocate (speak up to get support) for my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- who to call if I am not satisfied with the Early Intervention services my child receives.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Very Strongly Disagree
Strongly Disagree
Disagree
Agree
Strongly Agree
Very Strongly Agree

FAMILY-CENTERED SERVICES Continued...

Someone from the Early Intervention program:

- asked what else the Early Intervention program could do to support my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- helped me get in touch with other parents for help and support.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- asked whether the services my family was receiving were meeting our needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- went out into the community with me and my child to help us get involved in community activities and services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Early Intervention services and Your Family

Over the past year, Early Intervention services have helped me and/or my family:

- be part of activities for children and families in my community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know about services in the community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- improve my family's quality of life.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know where to go for support to meet my child's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know where to go for support to meet my family's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- get the services that my child and family need.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel more confident in my skills as a parent.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- provide social and networking opportunities in the Early Intervention program.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- make changes in family routines that will benefit my child with special needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- be more effective in managing my child's behavior.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- do activities that are good for my child even in times of stress.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel that I can get the services and supports that my child and family need.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand how the Early Intervention system works.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- be able to evaluate how much progress my child is making.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- communicate more effectively with the people who work with my child and family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand the roles of the people who work with my child and family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know about my child's and family's rights concerning Early Intervention services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- do things with and for my child that are good for my child's development.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand my child's special needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel that my efforts are helping my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Child's Age at Time of Survey Completion

- Birth to 1 year
- 1-2 years
- 2-3 years
- Over 3 years

Child's Age when First Referred to Special Education

- Birth to 1 year
- 1-2 years
- 2-3 years