

Utah Baby Watch Early Intervention Program



Individuals with Disabilities Education Act 2004

Part C

FFY 2009-2010

Annual Performance Report



**ANNUAL REPORT CERTIFICATION OF THE
INTERAGENCY COORDINATING COUNCIL
UNDER PART C OF THE
INDIVIDUALS WITH DISABILITIES EDUCATION ACT (IDEA)**

Under IDEA Section 641(e)(1)(D) and 34 CFR §303.654, the Interagency Coordinating Council (ICC) of each jurisdiction that receives funds under Part C of the IDEA must prepare and submit to the Secretary of the U.S. Department of Education (Department) and to the Governor of its jurisdiction an annual report on the status of the early intervention programs for infants and toddlers with disabilities and their families operated within the State. The ICC may either: (1) prepare and submit its own annual report to the Department and the Governor, or (2) provide this certification with the State lead agency's Annual Performance Report (APR)¹ under Part C of the IDEA. This certification (including the annual report or APR) is due no later than February 1, 2011.

On behalf of the ICC of the State/jurisdiction of Utah, I hereby certify that the ICC is: [please check one]

1. [] Submitting its own annual report (which is attached); or
2. [X] Using the State's Part C APR for FFY 2009 in lieu of submitting the ICC's own annual report. By completing this certification, the ICC confirms that it has reviewed the State's Part C APR for accuracy and completeness.²

I hereby further confirm that a copy of this Annual Report Certification and the annual report or APR has been provided to our Governor.



Signature of ICC Chairperson

1/12/11

Date

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¹ Under IDEA Sections 616(b)(2)(C)(ii)(II) and 642 and under 34 CFR §80.40, the lead agency's APR must report on the State's performance under its State performance plan and contain information about the activities and accomplishments of the grant period for a particular Federal fiscal year (FFY).

² If the ICC is using the State's Part C APR and it disagrees with data or other information presented in the State's Part C APR, the ICC must attach to this certification an explanation of the ICC's disagreement and submit the certification and explanation no later than February 1, 2011.

Utah Part C Annual Performance Report FFY 2009-2010 Overview

Utah's Part C State FFY 2009-2010 Annual Performance Plan (APR) was developed in accordance with the Office of Special Education (OSEP) requirements. The initial development of the APR began with the review of Utah's State Performance Plan (SPP), and the consideration of each component using materials provided by OSEP. Staff members of Utah's Part C System, the Baby Watch Early Intervention Program (BWEIP), reviewed all indicators and determined an action plan and timeline to complete the FFY 2009-2010 APR.

BWEIP had discussions regarding the three compliance indicators that deal with timeliness including, Indicators 1 (Timely IFSP Services), 7 (Timely IFSP), and 8c (Timely Transition Conferences). See details under each indicator in the APR.

BWEIP staff participated in monthly OSEP technical assistance (TA) calls and utilized guidance documents and tools such as the indicator check list and the SPP/APR Calendar. BWEIP staff members attended the Part C Data Managers meeting, OSEP Mega Conference, and participated in Mountain Plains Regional Resource Center conference calls regarding the development of the APR. Utah's Part C program received an OSEP Verification Visit October 12-14, 2010. During these meetings, revisions and clarifications to SPP/APR measurement requirements and instructional materials were received.

Stakeholder involvement was solicited for reporting of all indicators. A report and discussion of the APR was presented to the Interagency Coordinating Council (ICC) and BWEIP Provider Consortium in early January 2011. The ICC and BWEIP providers were invited to provide input on improvement strategies for Indicators 1-8. At this meeting, the ICC formed three subcommittees to focus future discussion related to SPP/APR Indicators 1, 2, 4, 5 and 7. BWEIP staff will be working with these subcommittees to provide additional data for their review and analysis, as well as suggestions for development of measurable, rigorous targets and improvement activities. A final draft of the APR was distributed to the ICC and BWEIP providers on January 20, 2011. Comments were taken into consideration for the final SPP/APR documents. The SPP has been revised to include revised targets and new improvement activities planned for FFY 2011-2013.

The 2005-2012 SPP and the FFY 2009 - 2010 APR have been posted to the BWEIP website at www.utahbabywatch.org. The BWEIP will work with the Department of Health's Public Information Officer to distribute the FFY 2009-2010 APR to the media as appropriate. Local program profiles of Indicators 1-8 will be distributed to providers and posted to the BWEIP website by April 2011. Local BWEIP programs will receive their program determinations in June 2011.

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	In 100% of files reviewed, infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Actual Target Data for FFY 2009-2010:

97.2%

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all children with IFSPs who received early intervention (EI) services on their IFSPs during the time period July 1, 2009 through June 30, 2010.

Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (for the time period July 1, 2009 through June 30, 2010)	6,448
b. Total number of infants and toddlers with IFSPs (for the time period July 1, 2009 through June 30, 2010)	6,631
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100) (for the time period July 1, 2009 through June 30, 2010)	97.2%

Explanation of Slippage in FFY 2009-2010:

The percentage of files in compliance decreased from 98.0% in FFY 2008-2009 to 97.2% in FFY 2009-2010, a decrease of 0.8%. The FFY 2008-2009 APR data was based on a selection of files reviewed at on-site compliance monitoring visits at five programs, compared to FFY 2009-2010 data from BTOTS for all 15 programs for an entire year.

Improvement Activities Completed in FFY 2009-2010:

The Baby Watch Early Intervention Program (BWEIP) reviewed the timely services definitions and consolidated them into one standard for all service frequencies. All new services must begin no later than 45 days from the service start date on the IFSP. This change enabled the state to collect data for this indicator through BTOTS for reporting for all programs for the full year in annual APRs.

Adjustments were made to BTOTS and the data reports to match the change in the 45-day timeliness definition.

BWEIP clarified the new timeliness standard to EI providers.

BWEIP encouraged EI providers to run and review BTOTS monitoring reports systematically for the timeliness indicators and bring to their staff's attention alerts from the reports. These activities were incorporated into all EI providers' data accuracy plans.

Family and provider circumstances delay categories were clarified to capture in more detail the reasons for late events. Corresponding changes were made to BTOTS dropdown menus to incorporate the delay reason categories.

BWEIP encouraged providers to systematically run and review the BTOTS delay category reports in order to be aware of reasons for the delayed provision of IFSP services in their programs.

Correction of FFY 2008-2009 Findings of Noncompliance (if State reported less than 100% compliance):

Level of compliance (actual target data) State reported for FFY 2008-2009 for this indicator: 98%

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008, through June 30, 2009)	6
2. Number of FFY 2008-2009 findings the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	6
3. Number of FFY 2008-2009 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2008-2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number from (3) above)	0
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5. Number of FFY 2008-2009 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2008-2009 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 noncompliance or FFY 2008-2009 findings (either timely or subsequent):

There were six findings of noncompliance in one program for this indicator identified through on-site compliance monitoring reviews that occurred in FFY 2008-2009. All corrective action required from FFY 2008-2009 verification visits of this indicator has been completed by the EI programs and verified by BWEI staff within one year of notification of the noncompliance.

EI program compliance with timely service delivery requirements was a component of on-site compliance monitoring reviews. These monitoring reviews included a review by local programs of timely service delivery requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site reviews of data, procedures, and individual child files. When noncompliance was identified, each program was required to submit a Corrective Action Plan (CAP) to address and correct all areas of noncompliance.

No CAP was issued to the program demonstrating noncompliance by BWEIP because the program withdrew as a BWEIP provider due to a school district decision at the end of this fiscal year, June 30, 2009. A new provider assumed the contract on July 1, 2009.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008-2009:

One program showed that for six files of 54 reviewed (11%) had late delivery of IFSP services.

- Files 1-5: IFSP service visits were held between 51 to 89 days late due to provider scheduling circumstances.
- File 6: the child did not receive the indicated service due to provider circumstances and had exited to Part B. (Child had been referred close to his third birthday).

The program withdrew as a BWEIP provider due to a school district decision at the end of this fiscal year. No corrective action was taken with the BWEIP provider that assumed the contract. However, the IFSPs service visits, although late, were conducted for five of the six children reported as having late delivery of IFSPs services during FFY 2008-2009. The sixth child exited to Part B and did not receive the indicated service visit. Data compiled from BTOTS for FFY 2009-2010 APR showed the new program at 100% for this indicator.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance (if applicable): N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2006-2007 or Earlier (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005-2012 (see SPP revision):

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of timely services on the IFSP to a joint Interagency Coordinating Council and Early Intervention Provider meeting.	Annually	Monitoring BTOTS reports APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve timely services on the IFSP	Annually	Monitoring BTOTS reports APR Indicator data
BWEIP will work with information technology staff to collect timely services on the IFSP for all children through the database.	April 2011	BWEIP, MDSC IT staff

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percentage of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	77.5% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or community-based settings.

Actual Target Data for FFY 2009-2010:

84.3% of infants and toddlers with IFSPs primarily received early intervention (EI) services in the home or community-based settings.

(Source: Table 2: Report of Program Settings Where Early Intervention Services are Provided to Infants and Toddlers with Disabilities and Their Families in Accordance With Part C, December 1, 2009. [Original submission on January 28, 2010; revised Table 2 submitted to OSEP and the Data Accountability Center (DAC) on November 15, 2010.])

- Number of infants and toddlers with IFSPs receiving services primarily in the home: 2,647
- Number of infants and toddlers with IFSPs receiving services primarily in community-based settings: 121
- Total number of infants and toddlers with IFSPs: 3,284
- Percentage of infants and toddlers with IFSPs primarily receiving EI services in the home or community-based settings: $[(2,647 + 121) / 3,284] \times 100 = [2,768 / 3,284] = 84.29\% = 84.3\%$

Explanation of Progress for FFY 2009-2010:

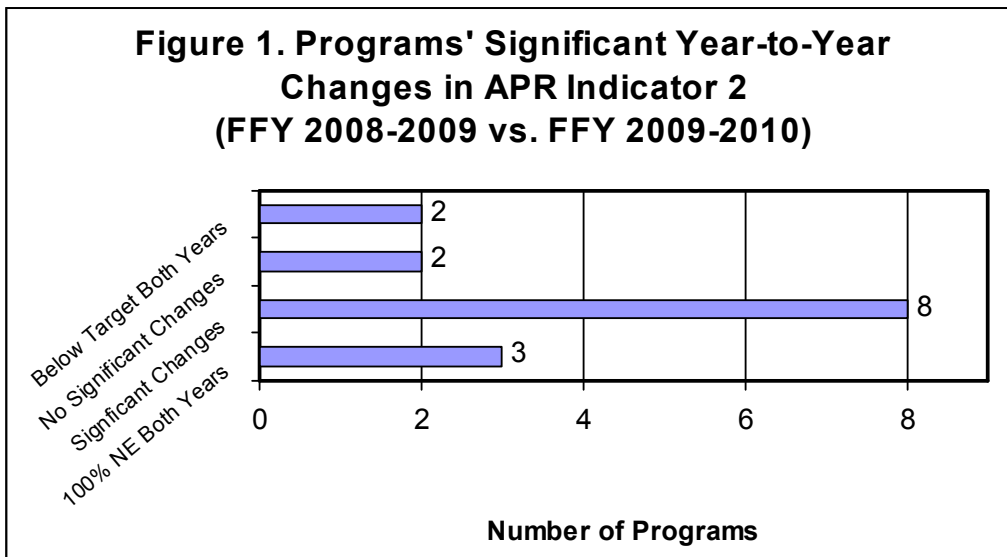
Progress was noted from the FFY 2008-2009 actual target data of 71.0% (and SPP target of 77.0%) and FFY 2009-2010 SPP target of 77.5%, with actual target data of 84.3% of infants and toddlers with IFSPs who primarily received EI services in home or community-based settings. As shown in Table 1, the Indicator 2 target for FFY 2009-2010 was met for the first time in four federal fiscal years.

Table 1. Indicator 2 Targets and Actual Target Data for Previous Four Fiscal Years

FFY (From December 1 Count)	Indicator 2 Target	Indicator 2 Actual Target Data
FFY 2006-2007 (from December 1, 2006)	76.0%	72.0%
FFY 2007-2008 (from December 1, 2007)	76.5%	71.0%
FFY 2008-2009 (from December 1, 2008)	77.0%	71.0%
FFY 2009-2010 (from December 1, 2009)	77.5%	84.3%

For three of the last four reporting years, the percentage of infants and toddlers with IFSPs receiving early intervention services primarily in home or community-based settings was static at approximately 71.0%. Baby Watch Early Intervention Program (BWEIP) Indicator 2 targets for reporting years FFY 2005-2010 were based on “hand-collected” data from years prior to the introduction of the Baby and Toddler Online Tracking System (BTOTS) database in 2005.

However, in FFY 2009-2010, the percentage of infants and toddlers with IFSPs receiving early intervention services primarily in home or community-based settings increased to 84.3% and exceeded the Indicator 2 state target of 77.5%. To understand the reasons for this increase, BWEIP implemented the same methodology and criteria with local programs that the Data Accountability Center uses with states to identify significant year-to-year changes in their 618 data. The BWEIP Data Manager compared programs’ FFY 2008-2009 and FFY 2009-2010 Indicator 2 data and flagged all significant changes (defined as either a frequency change of 10 or more children or percentage change of 10% or more) from the prior to the current reporting year. The results of this comparison are shown in Figure 1 below.



As shown in Figure 1, 100% of infants and toddlers received services in the natural environment in three of 15 programs both federal fiscal years. Two programs’ percentages, although not at 100%, were above Indicator 2 state targets and showed no significant changes from one year to the next. Of the remaining ten programs, two programs were below Indicator 2 state targets both reporting years and eight had significant year-to-year changes in their Indicator 2 data from FFY 2008-2009 to FFY 2009-2010. These ten programs were required to submit to BWEIP, as appropriate, either a strategy for increasing the number of infants and toddlers served in the natural environment or an analysis/explanation of progress in their program’s actual target data from FFY 2008-2009 to FFY 2009-2010.

- The two programs whose Indicator 2 percentage was below the state target reported several strategies, including (1) changing how children referred to EI center-based classes are staffed to examine more closely the need for services with a “group” intensity; (2) working to increase the number of home visits for all children; and (3) eliminating some center-based classes.
- The eight programs with significant year-to-year changes in their Indicator 2 percentages provided different explanations of their progress:
 - One program had phased out center-based services with a “group” intensity over a six-month period.
 - One program began monitoring attendance of services with a “group” intensity and discontinued services due to irregular or non attendance.
 - One program noted its implementation of the Play and Language for Autistic Youngsters (P.L.A.Y.) project had resulted in an increase in the number of home-based services children were receiving.
 - Two programs’ changes were primarily related to many services of “group” intensity ending before the December 1 count was taken. Once services resumed in the new year, the percentage of children served primarily in the natural environment decreased below the December 1 rate.
 - For three programs, progress reported appears to have been related to the incorrect recording of frequency, duration, and/or the setting of services with a “group” intensity on IFSPs and in BTOTS.

BWEIP reviewed the information and prepared a summary of significant year-to-year changes that was presented to providers at their August 2010 meeting. As part of the summary, operational definitions of settings used with “group” intensity services and instructions regarding how to reflect accurately the frequency, intensity, duration, and setting of all services, both on a child’s IFSP and in BTOTS, were reviewed. The three programs with incorrect information were asked to amend IFSPs and BTOTS. Follow up with these programs in November 2010 indicated that all changes had been implemented, as BWEIP had requested.

Improvement Activities Completed for FFY 2009-2010:

July 2009: The BWEIP Comprehensive System of Personnel Development (CSPD) coordinator attended a five-day training on routine-based interviews and functional outcomes offered by the Siskin Children’s Institute and obtained certification in order to conduct in-state training with providers.

September 2009: BWEIP offered two sessions of M’lisa Sheldon and Dathan Rush’s two-day training on providing early intervention services in the natural environment to approximately 180 program staff statewide. BWEIP staff also attended the training.

December 2009: BWEIP presented an in-depth report on the status of Utah’s settings data to a joint Interagency Coordinating Council and early intervention provider meeting in December 2009. BWEIP staff prepared a multi-page document to explain the definitions of the three settings categories, how the primary setting is calculated from IFSPs in BTOTS, and the relationship between 618 settings data and APR Indicator 2, and presented national settings data from the NECTAC summary.

April 2010: The BWEIP CSPD coordinator revised the IFSP/Service Coordination CSPD training module to emphasize natural environments and how to write functional outcomes using routines and activities within the natural environment.

June 2010: The BWEIP CSPD coordinator worked with the Early Childhood Conference planning committee to include a breakout session on providing services in the natural environment at the October 2010 event. Robin McWilliams from the Siskin Children’s Institute has been identified as the keynote

speaker. The Early Childhood Conference, which is held every other year, is jointly sponsored by BWEIP and Part B and is open to all early intervention staff statewide.

BWEIP Resolution of Previously Identified Noncompliance for FFY 2009-2010:

There were no findings of noncompliance in FFY 2009-2010.

Revisions to Improvement Activities for FFY 2010-2012:

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of children served in the natural environment to a joint Interagency Coordinating Council and Early Intervention Provider meeting.	Annually	618 data
BWEIP will solicit stakeholder input for improvement activities to services in the natural environment.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will explore training opportunities for interventions that are embedded in everyday activities and routines within the natural environment.	2010-2012	BWEIP CSPD coordinator
BWEIP will continue to revise CSPD training modules for staff working on the credentialing process to emphasize appropriate use of natural environments.	2010-2012	BWEIP CSPD coordinator, EI providers and staff
BWEIP will work continue to work with the Early Childhood Conference planning committee to include sessions on providing services in the natural environment at the October 2010 conference.	2010	BWEIP CSPD coordinator, EI providers and staff
BWEIP will conduct trainings to providers on Routines Based Interviews (RBI) and functional goal writing, and provide follow-up consultation for staff implementing these skills.	2010-2012	BWEIP CSPD coordinator, EI providers and staff
BWEIP staff will work with individual provider agencies in reviewing their process and policies regarding serving children in their natural environments.	2010-2011	APR, monitoring, BTOTS reports, and program practice
BWEIP staff will assist individual provider agencies in planning and implementing	2010- ongoing	Site visits, training, documentation

agencies in planning and implementing improvement activities regarding serving children in their natural environments.		documentation
BWEIP staff will work with an Interagency Coordinating Council (ICC) subcommittee on serving children in their natural environments.	2011-ongoing	BWEIP staff, ICC subcommittee
BWEIP staff will work with an ICC subcommittee on serving children in their natural environments to develop policy.	2011-ongoing	BWEIP staff, ICC subcommittee.
BWEIP staff will monitor the settings data of individual provider agencies and the state as a whole regarding serving children in their natural environments.	2011-ongoing	APR, monitoring, BTOTS reports, and program practice

Part C State Annual Performance Report (APR) for 2009

Overview of the State Performance Plan/Annual Performance Report Development:

Refer to Overview preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2008-2009 reporting):

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1:

Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers

reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Data for FFY 2009-2010:

Measurement strategies to collect data:

Entry scores for the three outcome categories are entered in the Baby and Toddler Online Tracking System (BTOTS) for all infants and toddlers over six and less than 30 months of age at entry. If the infant/family is served in early intervention at least six months, an exit score as well as whether the infant made progress is also determined for each of the outcome areas.

The team who participates in a child’s evaluation/assessment determines the entry rating for the infant or toddlers close to the time of the development of the IFSP. A variety of tools are used to determine eligibility and strengths and weaknesses, including but not limited to the REEL 2, ELAP, HELP, IDA, Rosetti, Brigance, BDI-II, Mullen, REEL 3, Bayley III, Peabody, CSBS, and PLS 4; different tools may be used at exit. Infants entering early intervention at less than six months chronological age will receive scores from the provider who is the most familiar with them when they reach six months of age. Professionals with the best knowledge of the infant or toddler will complete the exit scoring and determine if progress occurred.

Using the algorithm provided by the Early Childhood Outcome (ECO) Center, BTOTS calculates the number of infants or toddlers in each of the five progress categories for each of the three outcomes. The criteria for comparing to same-aged peers are represented by a score of 6 or 7 on the 7-point ECO Child Outcomes Summary Form (COSF) scale. In addition to the number and percentage of children in each category, BTOTS calculates the percentage of children for Summary Statements 1 and 2.

Outcome data is reviewed for accuracy using BTOTS monitoring reports. To ensure entry and exit scores are entered for the appropriate children in a timely fashion to BTOTS, an “alert” is issued to a program when a child outcome entry score is due. In addition, a BTOTS report is available for local programs to identify those children who have exited early intervention and require a child outcome exit score. At the state level, the report listing alerts indicates a steady or slightly lower rate of alerts over time, indicating that programs are now completing most entry and exit scores in a timely manner.

Progress Data for FFY 2009-2010:

Figure 1 shows the third year’s worth of progress data for children exiting in FFY 2009-2010. The total number of children who exited early intervention FFY 2009-2010 was 3,470, with 2,058 (59.31%) having an entry and exit score along with six months of consecutive services. As part of the monitoring through BTOTS, only 96 children who should have had an exit score did not receive one. From FFY 2008-2009 to FFY 2009-2010, the total number of children exiting with an entry and an exit score and six months of services rose significantly from 1,538 to 2,058, an increase of 33.8%.

As shown in Figure 1, across all three outcome areas, the pattern of the number of children in the five progress categories in FFY 2009-2010 was similar:

- the fewest number of children were in Progress Category a. (“Did not improve”);

- the number of children increased from Progress Category b. (“Improved but not nearer to same-aged peers”) to c. (“Improved nearer to same-aged peers”) to d. (“Reached level of same-aged peers”); and
- the number of children in Progress Category e. (“Maintained level of same-aged peers”) is less than the number who were in Progress Category d. (“Reached level of same-aged peers”) but, except for Outcome B., is more than the number in Progress Category c. (“Improved nearer to same-aged peers”).

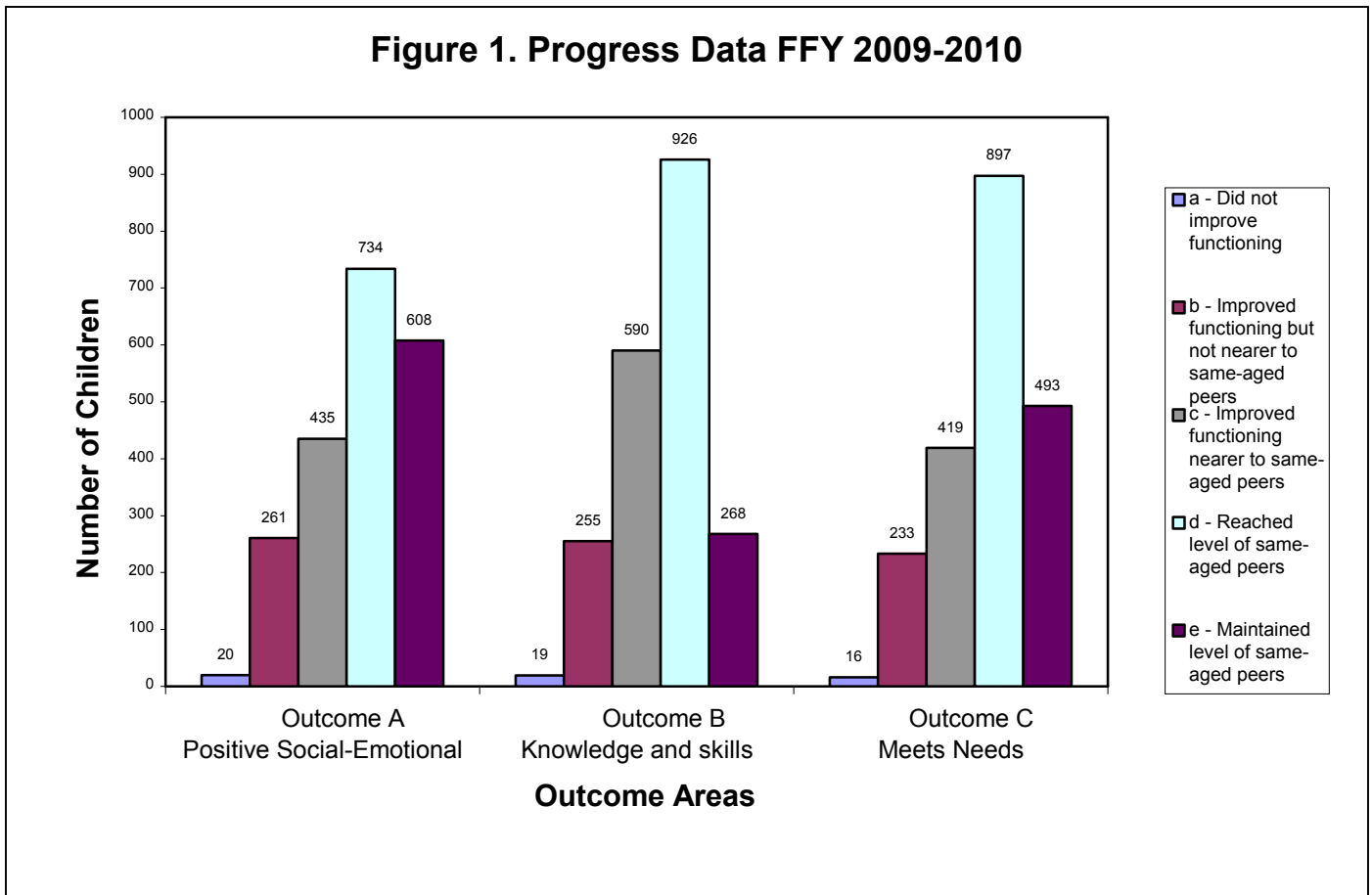


Table 1 shows in tabular format the third year’s worth of progress data presented in Figure 1 for children exiting in FFY 2009-2010. While the pattern in the number of children in the five progress categories was similar across outcome areas, the percentages of children across progress categories showed more variability.

- Percentages across outcome areas for Progress Categories a. and b. were similar in magnitude at less than 1% and in the 11-12% range, respectively.
- Percentages for Progress Categories c. through e. showed differences across outcome areas.
 - Progress Category c. percentages were similar for Outcomes A. (21.14%) and C.(20.36%) but was higher at 28.67% for Outcome B.
 - For Progress Category d., which had the largest percentages of all five progress categories, Outcome B. and C. percentages were similar in magnitude, at 45.00% and 43.59%, respectively; the Outcome A. percentage was approximately 10% lower at 35.67%.

- For Progress Category e., the percentages ranged from a high of 29.54% for Outcome A. to a low of 13.02% for Outcome B., with Outcome C. in the middle at 23.96%.

Table 1. Progress Data for Infants and Toddlers Exiting FFY 2009-2010

Progress Category	Outcome A.		Outcome B.		Outcome C.	
	N*	%	N*	%	N*	%
a. Percent of infants and toddlers who did not improve functioning	20	0.97	19	0.92	16	0.78
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	261	12.68	255	12.29	233	11.32
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	435	21.14	590	28.67	419	20.36
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	734	35.67	926	45.00	897	43.59
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	608	29.54	268	13.02	493	23.96
Total	2,058	100.00	2,058	100.00	2,058	100.00

*Data collected from BTOTS 12-1-10

Progress Data FFY 2007-2008, FFY 2008-2009, and FFY 2009-2010:

Tables 2a., 2b., and 2c. show progress data for the current and past two fiscal years for Outcomes A., B., and C., respectively. From FFY 2008-2009 to FFY 2009-2010, the number of children included in the progress data increased from 1,528 to 2058 or nearly 34%, in contrast to the 428% increase from 291 to 1,528 from FFY 2007-2008 to FFY 2008-2009. Despite the difference in magnitude of the increases, the progress category percentages have varied only a few percentage points across the two years. For Outcomes B. and C., Progress Category e. percentages gradually declined while those for Progress Category d. increased. The opposite trends were observed for Progress Categories d. and e. for Outcome A.

Table 2a. Progress Data for Outcome A. for Infants and Toddlers Exiting FFY 2007-2008, 2008-2009, and 2009-2010

Progress Category	FFY 2007-2008		FFY 2008-2009		FFY 2009-2010	
	N	%	N	%	N	%
a. Percent of infants and toddlers who did not improve functioning	0	0.00	18	1.17	20	0.97
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	35	12.03	192	12.48	261	12.68
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	81	27.84	332	21.59	435	21.14
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	127	43.64	569	37.00	734	35.67
e. Percent of infants and toddlers who maintained functioning at a level	48	16.49	427	27.76	608	29.54

comparable to same-aged peers						
Totals	291	100.00	1,538	100.00	2,058	100.00

Table 2b. Progress Data for Outcome B. for Infants and Toddlers Exiting FFY 2007-2008, 2008-2009, and 2009-2010

Progress Category	FFY 2007-2008		FFY 2008-2009		FFY 2009-2010	
	N	%	N	N	%	N
a. Percent of infants and toddlers who did not improve functioning	0	0.00	15	0.98	19	0.92
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	35	12.03	190	12.35	255	12.39
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	81	27.84	411	26.72	590	28.67
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	127	43.64	725	47.14	926	45.00
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	48	16.49	197	12.81	268	13.02
Totals	291	100.00	1,538	100.00	2,058	100.00

Table 2c. Progress Data for Outcome C. for Infants and Toddlers Exiting FFY 2007-2008, 2008-2009, and 2009-2010

Progress Category	FFY 2007-2008		FFY 2008-2009		FFY 2009-2010	
	N	%	N	%	N	%
a. Percent of infants and toddlers who did not improve functioning	1	0.34	20	1.30	16	0.78
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	22	7.56	160	10.40	233	11.32
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	59	20.27	320	20.81	419	20.36
d. Percent of infants and toddlers who improved functioning 291 to reach a level comparable to same-aged peers	124	42.61	632	41.09	897	43.59
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	85	29.21	406	26.40	493	23.96
Totals	291	100.00	1,538	100.00	2,058	100.00

Discussion of Baseline Data for FFY 2009-2010:

FFY 2009-2010 is the third year's worth of outcome data but the first year measuring the entire population of children receiving early intervention services in Utah. Thus we are recalibrating our baseline data.

Table 3 shows the revised baseline data for FFY 2009-2010 for the third year’s worth of outcome data and the second year in which percentages for the two summary statements are reported. Overall, a larger percentage of children substantially increased their rate of growth than the percentage of children who functioned within age expectations at exit. The percentages indicate a significant change in the development of nearly all 2,058 children receiving services during this fiscal year.

- Summary Statement 1: For Outcomes A., B., and C., 80.62%, 84.69% and 84.09% of children, respectively, who started out below age expectations substantially increased their rate of growth for each outcome area.
- Summary Statement 2: When they turned three when they exited from the program, 65.21%, 58.02%, and 67.54% of children were functioning within age expectations in outcome areas A, B, and C, respectively.

Table 3. Revised Baseline Data for Infants and Toddlers Exiting FFY 2009-2010

Outcome Areas and Summary Statements	%*
Outcome A.: Positive social-emotional skills (including social relationships)	
Summary Statement 1. Of those children who entered the program below age expectations in Outcome A., the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.	80.62
Summary Statement 2. The percent of children who were functioning within age expectations in Outcome A. by the time they turned 3 years of age or exited the program.	65.21
Outcome B.: Acquisition and use of knowledge and skills (including early language/communication and early literacy)	
Summary Statement 1. Of those children who entered the program below age expectations in Outcome A., the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.	84.69
Summary Statement 2. The percent of children who were functioning within age expectations in Outcome A. by the time they turned 3 years of age or exited the program.	58.02
Outcome C.: Use of appropriate behaviors to meet their needs	
Summary Statement 1. Of those children who entered the program below age expectations in Outcome A., the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.	84.09
Summary Statement 2. The percent of children who were functioning within age expectations in Outcome A. by the time they turned 3 years of age or exited the program.	67.54

*Data collected from BTOTS 12-1-10

Target Data and Actual Target Data for FFY 2009-2010:

Because FFY 2009-2010 data more closely aligns with the entire population served than FFY 2008-2009 and FFY 2007-2008, a revision of the baseline is needed. With three years’ worth of data, any children who stayed in the program for all three years are newly captured this year. Those children who stay in the program longer most likely represent children with more severe disabilities. Reconsidering targets would be appropriate because the data used to establish the previous targets only represented two program years, while FFY 2009-2010 represents the, 0-3 age range in a full three-year span.

Another reason to revise the baseline is that the percentages were rounded up during the last two progress periods, which have made it difficult to discern the true magnitude of changes, and, in turn, has impacted the target setting.

Table 4. Revised Targets for Infants and Toddlers Exiting FFY 2010-2011, FFY 2011-2012, and FFY 2012-2013

Summary Statements	Baseline FFY 2009	Targets for FFY 2010	Targets for FFY 2011	Targets for FFY 2012
1. Of those children who entered the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	80.6%	80.6%	80.6%	80.9%
2. The percent of children who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program	65.2%	65.2%	65.2%	65.5%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)				
1. Of those children who entered the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	84.6%	84.6%	84.6%	84.9%
2. The percent of children who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program	58.6%	58.0%	58.0%	58.3%
Outcome C: Use of appropriate behaviors to meet their needs				
1. Of those children who entered the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	84%	84.0%	84.0%	84.3%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program	68%	67.5%	67.5%	67.8%

Data from the last two years, FFY 2007-2008 and FFY 2008-2009, is start up data. The first year collecting data was when providers were learning how to determine the scores with this very new system. It took some time to become experienced at scoring children. As program staff learned how to score and as staff turnover occurred, determining scores consistently continued to be an issue across the state. Many providers looked to the state for answers as to how to make the scoring flow better and match Part B entry scores.

A review and comparison of summary statements by program showed that the percentages for summary statement 2 for the second outcome had lower percentages than the other outcomes. Only one program was above 70% for the second statement on Outcome B. while the other outcomes showed four or five programs at 70% or better. There were only two or three programs with percentages below 50% in the other outcome statements while in outcome B there were six programs at less than 50% for the second summary statement.

In reviewing at individual program data, it is possible that data are still not as accurate as we would like. BWEIP is not confident about some of the early entry score data that was collected at the beginning of this process when providers were inexperienced in determining scores for entry.

Improvement Activities Completed FFY 2009-2010:

July 2009 – June 2010: Local programs continued to conduct activities to improve data quality by monitoring data locally.

July 2009 – June 2010: Child outcome scores were included in file reviews during compliance monitoring reviews. Entry and exit scores were matched to BTOTS scores and the rationale was reviewed for appropriateness. Often during monitoring reviews in local programs, the process to determine each child's outcome score is reviewed and emphasis is placed on training staff in the process, considering functional skills when using the ECO decision tree, and keeping the individual outcome in mind when deciding on a score.

July 2009 – June 2010: BWEIP staff was available to provide training to providers upon request. Handouts from previous trainings were available on the BWEIP website (www.utahbabywatch.org). Some providers are used their own staff to train their new staff. Resources through the ECO Center and other states' websites were provided to all providers.

January 2010 – June 2010: When a coordinator of an EI program representing the Interagency Coordinating Council went to meetings December 2009, she returned with a greater appreciation for the need to determine child outcomes. BWEIP staff met with her to get information from the meeting. A suggestion was made that she share with other providers her information also and she was influential in encouraging other programs to look at and learn more about child outcome measurements.

As a result of this encouragement, a provider group met in May 2010 to talk about child outcomes in Utah. The possibility of using one assessment tool across the state that would calculate the score for each outcome was discussed. A discussion of how best to determine scores for child outcomes brought out key issues such as the cost of using a tool that would charge for each child entered, the difficulties in using one tool rather than what programs are already doing, and how to best train providers so that the scoring is consistent and realistic especially since using one assessment is not feasible.

The providers who attended the May meeting were assigned to review methodologies and training for different states that were represented on the ECO-Center website: http://www.fpg.unc.edu/~eco/pages/states_approaches.cfm. These states have training on their own Internet web sites. Results of key trainings would be used to design training for staff in Utah. The next meeting was set for August. The rest of the information will be reported in the next year's APR, but after a review of what providers found, favorite states were Alaska and Virginia. However, the ECO Center provided voiced over power points with basic information that is being incorporated into the BWEIP website.

Programs also requested individual data especially on the entry and exit cross tabs that were sent to all programs. Some programs chose to investigate outliers e.g., the children who entered the program with a score of 1, 2, or 3 but left the program scoring 5, 6, or 7. Results of this investigation helped each program see areas of need for training.

Baseline progress data were reported in the FFY 2009-2010 APR. See above APR for Indicator 3 Child Outcomes.

Compliance: Child outcome summary form review has been incorporated into the file reviews that BWEIP does each year as part of compliance monitoring. Scores are verified for data accuracy with the data system and rationale statements are reviewed. When the score does not seem accurate, the rationale is used to help understand the score. Programs have been completing the summary form as required and determining scores well.

Training: Two programs had training in September and October 2009 respectively.

Revisions to and ongoing Improvement Activities / Timelines / Resources for FFY 2010-2012

Activity	Timeline	Resources
Report baseline progress data in 2010 APR (7/1/08 – 6/30/09)	2/10	BWEIP staff
Set measurable and rigorous targets in 2010 APR	2/10	BWEIP staff
Incorporate child outcome ratings into compliance monitoring system.	1/09	BWEIP staff
Add aspects of child outcome process to compliance monitoring system.	1/09	BWEIP staff
Plan to develop continuous training opportunities for all providers at annual conference, online, or self-study.	Ongoing	BWEIP staff
Work with individual providers about their own program data to analyze any issues	Ongoing	BWEIP staff
Assist programs in using information gathered during analysis of their data especially training issues	Ongoing	BWEIP staff
Continue meeting with providers about training and any other data issues	Ongoing	BWEIP staff
Refine website training resources to provide online activities for new staff and as a refresher course	Ongoing	BWEIP staff

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.
(20 USC 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Targets
2009 (2009-2010)	<ul style="list-style-type: none"> A. 78% of respondent families participating in Part C will report that early intervention services have helped the family know their rights. B. 75% of respondent families participating in Part C will report that early intervention services have helped the family effectively communicate their children's needs. C. 81% of respondent families participating in Part C will report that early intervention services have helped the family help their child develop and learn.

Actual Target Data for FFY 2009-2010:

- A. 86% of respondent families participating in Part C report that early intervention services have helped the family know their rights.
- B. 84% of respondent families participating in Part C report that early intervention services have helped the family effectively communicate their children's needs.
- C. 92% of respondent families participating in Part C report that early intervention services have helped the family help their children develop and learn.

PART C Early Intervention Family Survey Report For Data Collected in 2010

SPP/APR Indicator #4a: Percent of families participating in Part C who report that early intervention services have helped the family: **a. Know their rights.**

Standard: A 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family: know about my child’s and family’s rights concerning Early Intervention services.”

2010 Percent at or above indicator 4A standard (539):	86%	(SE of the mean = 1.0%)
2009 Percent at or above indicator 4A standard (539):	84%	(SE of the mean = 1.1%)
2008 Percent at or above indicator 4A standard (539):	78%	(SE of the mean = 1.4%)

SPP/APR Indicator #4b: Percent of families participating in Part C who report that early intervention services have helped the family: **b. Effectively communicate their children’s needs.**

Standard: A 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family: communicate more effectively with the people who work with my child and family.”

2010 Percent at or above indicator 4B standard (556):	84%	(SE of the mean = 1.0%)
2009 Percent at or above indicator 4B standard (556):	81%	(SE of the mean = 1.2%)
2008 Percent at or above indicator 4B standard (556):	74%	(SE of the mean = 1.5%)

SPP/APR Indicator #4c: Percent of families participating in Part C who report that early intervention services have helped the family: **c. Help their children develop and learn.**

Standard: A 0.95 likelihood of a response of “agree,” “strongly agree,” or “very strongly agree” with this item on the NCSEAM survey’s Impact of EI Services on Your Family scale: “Over the past year, Early Intervention services have helped me and/or my family: understand my child’s special needs.”

2010 Percent at or above indicator 4C standard (516):	92%	(SE of the mean = 0.8%)
2009 Percent at or above indicator 4C standard (516):	91%	(SE of the mean = 0.9%)
2008 Percent at or above indicator 4C standard (516):	82%	(SE of the mean = 1.3%)

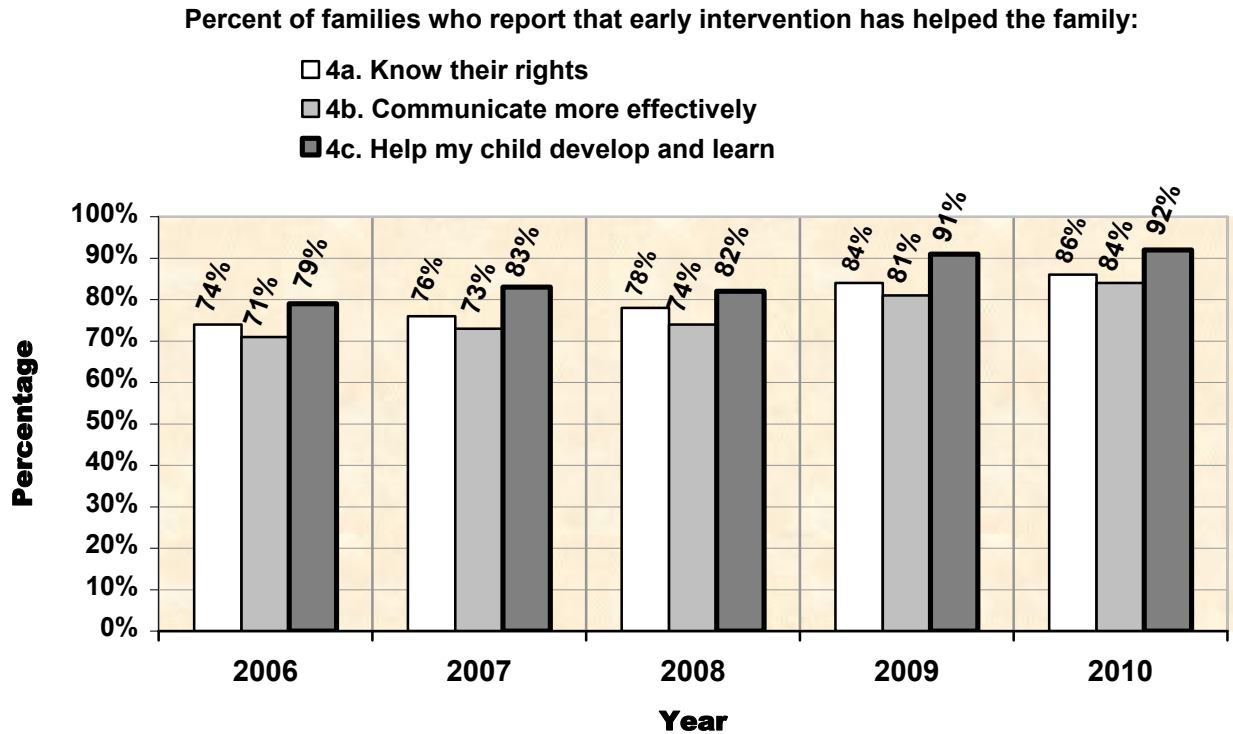
	2009	2008	2007
Number of Valid Responses	1,347	1,073	864
Mean Measure	721	716	676
Measurement SD	175	172	169
Measurement reliability	0.89-0.95	0.89-0.95	0.90-0.95

Averages of eight U.S. states’ 1,750 families participating in the 2005 NCSEAM Pilot Study:

Indicator Value	A	B	C	SE of Mean	Mean Measure	SD
	74%	70%	84%	0.9% - 1.1%	644	158

*AVATAR NCSEAM Results for FFY 2009-2010
9/10

Figure 1. Impact of Early Intervention on the Family Scale, Utah 2006-2010.



Explanation of progress FFY 2009-2010:

On April 30, 2010, 3,218 Utah versions (English and Spanish) of the National Center for Special Education and Accountability Monitoring (NCSEAM) Family Surveys – Part C were mailed or hand-delivered to families of children ages birth to three with disabilities or delays and under an IFSP as of March 15, 2010, in all 29 Utah counties. Of the surveys sent out, 1,347 were returned with measurable data on the survey’s “Impact on Early Intervention Services on the Family” scale used for reporting the SPP/APR indicators 4a, 4b, and 4c. The response rate was 43%, an increase of 6.9% over the FFY 2008-2009 response rate of 37.9%. Individual survey items’ overall agreement percentages are then associated with a 2.3% margin of error, at a 95% confidence level, assuming that 75% of the responses are agreeable. The data met or exceeded the NCSEAM 2005 National Item Validation Study’s standards for the internal consistency, completeness, and overall quality expected from this survey.

In FFY 2009-2010, Spanish language responses represented 12.39% (n= 167) of the total responses, a 1.19% increase from 11.4% (n=124) in FFY 2008-2009.

The percentages reported are calculated as the percent of families whose measures are at or above a standard that is specific to each indicator. In these analyses, the standards applied were those recommended by a nationally representative stakeholder group convened by NCSEAM. This group identified items that most closely represented the content of each of the indicators and recommended the level of agreement that should be required on these items. For Indicators 4a, 4b, and 4c, the recommended standards were operationalized as measures of 539, 556, and 516, respectively, because these are the calibrations of the items most closely related to the indicators. The percentages reported for each indicator is the percent of families with measures on the “Impact of Early Intervention Services on Family” scale that are at or above these levels.

Figure 2. shows the distribution of measures on the “Impact on Families” scale for all families whose data were submitted for the FFY 2009-2010 analysis. The overall average of all the individual family measures is 671.

Figure 2: “Impact of Early Intervention Services on Your Family” scale”

Over the past year, early intervention services have helped me and/or my family:

Calibrations	Item Texts
677	participate in typical activities for children and families in the community.
656	know about services in the community.
639	know where to go for help and support to meet my family's needs.
624	keep up friendships for my child and family.
608	know where to go for help and support to meet my child's needs.
583	be more effective in managing my child's behavior.
576	make changes in our family routines that will benefit my child with special needs.
576	do activities that are good for my child even in times of stress.
569	improve my family's quality of life.
564	feel that I can get the services and supports that my child and family need.
563	get services that my child and family need.
562	feel that my family will be accepted and welcomed in the community.
559	feel more confident in my skills as a parent.
559	feel that my child will be accepted and welcomed in the community.
556	communicate more effectively with people who work with my child and my family.
552	understand how the early intervention system works.
545	understand the roles and responsibilities of the people who work with my child.
540	figure out solutions to problems as they come up.
539	feel that I can handle the challenges of parenting a child with special needs.
539	know about my child's and family's rights concerning early intervention services.
534	be able to evaluate how much progress my child is making.
516	understand my child's special needs.
498	feel that my efforts are helping my child.
497	do things with and for my child that are good for my child's development.

Table 1. FFY 2009-2010 Racial/Ethnic representation of enrolled Baby Watch Early Intervention Program (BWEIP) families versus enrolled BWEIP families responding to the survey.

Race/ Ethnicity		Dec. 2007 Utah 618 Child Count	07-08 Utah NCSEAM Returns	Dec. 2008 Utah 618 Child Count	08-09 Utah NCSEAM Returns	Dec. 2009 Utah 618 Child Count	09-10 Utah NCSEAM Returns
White	#	2,384	744	2,434	836	2,458	1,017
	%	80%	84.6%	78%	77.9%	74.87%	75.51%
Black or African American	#	31	8	26	4	24	5
	%	1.0%	0.9%	1%	0.4%	0.74%	0.36%
Hispanic or Latino	#	437	75	531	171	621	269
	%	15%	8.5%	17%	15.9%	18.92%	19.97%
Asian or Pacific Islander	#	50	10	42	23	55	22
	%	2.0%	1.1%	1%	2.1%	1.68%	1.62%
American Indian or Alaska Native	#	66	24	59	24	65	8
	%	2.0%	2.7%	2%	2.2%	1.98%	0.58%
Other	#		8	25	12	59	26
	%		.9%	1%	1.1%	1.80%	1.97%
Total non- white	#		125		234		330
	%		15.4%		21.8%		24.49%
Total	#	2,989	879	3,117	1,073	3,283	1,347
	%	100%	100%	100%	100%	100%	100%

During FFY 2009-2010, non-white respondents increased to 24.49% (n=330) of the lower rates of 21.8% (n=234) during FFY 2008-2009 and 15.4% (n=125) during FFY 2007-2008.

Hispanic or Latino respondents increased by 4.07% overall from 15.9% (n=171) in FFY 2008-2009, to 19.97% (n=269), while the number of families identifying as Hispanic or Latino increased by 1.92% from 17%, (n=531) on December 1, 2008 to 18.92% on December 1, 2009.

American Indian/Alaska Native respondents decreased by 1.62% overall from 2.2% (n=24) in FFY 2008-2009 to 0.58% (n=8) in FFY 2009-2010, while the number of families identifying as American Indian/Alaska Native remains at 2.00%.

Table 2. Percentages of BWEIP families responding to the family survey grouped by program location, program size and program administration.

Program Location	Number of programs	FFY 08-09 Family Survey Return Rate	FFY 09-10 Family Survey Return Rate	Percentage Increase or Decrease
Urban	7	33%	72%	+39%
Rural	3	48%	21%	-27%
Frontier	5	30%	6%	-24%

In FFY 2009-2010, urban programs had a significant increase of 39% in return rate, while rural and frontier declined by 27% and 24%, respectively. The large increase in the urban return rate appears to be related to hand delivery and collection of sealed surveys by the two largest urban programs, while four of the remaining seven urban programs hand delivered with return by mail. Rural and frontier programs used a combination of mail or hand delivery, with all returns by mail. In prior years, some of the same programs also used hand collection.

Table 3. Survey Results by Age at Referral

Child's Age of First Referral to Early Intervention	Number & Percent of Returns				4a. Parents know their rights		4b. Communicate more effectively		4c. Help my child develop and learn	
	2008		2009		2008	2009	2008	2009	2008	2009
Birth to 1 Year	381	38%	460	37%	86%	87%	84%	85%	93%	92%
1-2 Years	369	37%	494	40%	82%	85%	77%	84%	88%	90%
2-3 Years	243	25%	294	23%	85%	80%	80%	80%	92%	91%
Total	993*	100%	1248*	100%	84%	86%	80%	83%	91%	91%

* The total number of returns for 2008 and 2009 were 1,086 and 1,347, respectively. The totals of 993 and 1,248 represent subsets of surveys returned where the child's age at referral was clearly indicated. Families of children referred from birth through two years of age return the survey in greater numbers. These families also respond more positively to a). *knowing their rights* and b). *communicating their child's needs more effectively*. Families with children referred in all age categories to, c). *helping their children develop and learn*, respond nearly equally at approximately of 91%.

Improvement Activities Completed, Contributing to Progress for FFY 2009-2010:

Indicator 4a: Percent of families participating in Part C who report that early intervention EI services have helped the family: A. Know their rights

FFY 2009-2010: BWEIP conducted file reviews for five programs during this period.

Total number of files reviewed: 309

Total number and percentage receiving parents' rights in writing: 309 (100%)

Total number of programs in compliance with regulations regarding parents' rights: 5

Survey Implementation: FFY 2009-2010:

BWEIP monitored all programs for activities directed toward facilitation of the Utah NCSEAM Family Survey. Each program submits its plan to BWEIP annually for approval. The plans must contain a description of methods for survey promotion, distribution, follow-up, and collection. In general, programs increased the survey's visibility by making announcements and reminders in multiple formats such as newsletters, postcards, letters, flyers, and service providers' individual communications with families, prior to and during survey distribution and collection.

Programs worked with staff to ensure their understanding of the survey concepts and incorporating the principles in their interactions with families.

Programs stressed the importance of survey participation to staff and families.

BWEIP developed four survey announcements in both English and Spanish and distributed to all providers to be used in newsletters, postcards and flyers.

BWEIP shared information on successful strategies and problems experienced with providers.

BWEIP monitored and reported back to each program weekly the number of returned surveys. Individual programs implemented strategies to remind families to return the survey. One provider implemented a prize drawing.

BWEIP also monitored the status of mailing addresses with the contractor AVATAR. Address corrections were made and those dropped from the first mailing were recaptured in a second mailing.

BWEIP provided survey results to each program as compared to the state SPP targets and Utah averages.

BWEIP publicly reported the local program survey results as compared to the state SPP targets and Utah averages in the individual program profiles posted to www.UtahBabyWatch.org website and through a media advisory.

Resolution of previously identified noncompliance for FFY 2009-2010:

Indicator 4a. Parents know their rights

There were no instances of noncompliance for FFY 2008-2009.

Revisions to Improvement Activities for FFY 2010-2012:

Activity	Timeline	Resources
BWEIP will present an in-depth report on the response to Utah’s Family Survey to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	APR Indicator data, Monitoring BTOTS reports, survey results
BWEIP will solicit stakeholder input for improvement activities to improve outcomes on the Utah Family Survey.	Annually	APR Indicator data, Monitoring BTOTS reports, survey results
BWEIP will work with ICC and providers to develop a committee to improve positive results on the family survey.	Ongoing	APR Indicator data, Monitoring BTOTS reports, survey results

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	Baby Watch Early Intervention programs will serve (with IFSP) 0.86% of infants in Utah.

Actual Target Data for FFY 2009-2010:

The Baby Watch Early Intervention Program (BWEIP) served 0.59% of infants in Utah on December 1, 2009.*

With a percentage served of 0.59%, Utah is 0.44% below the FFY 2008-2009 national baseline of 1.03% for infants receiving early intervention under IDEA.

(*Source: Revised Table 1 “Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2009)” submitted to OSEP and the Data Accountability Center (DAC) on April 2, 2010. [Original submission on January 28, 2010; revised Table 1 included 339 infants with IFSPs in Utah as of December 1, 2009.])

Explanation of Slippage for FFY 2009-2010:

The SPP target for December 1, 2009 of 0.86% was not met. As part of the review of Indicator 5 data, BWEIP considers year-to-year changes (from the previous to the current year) in the percentage of infants served and the infant population, as well as the absolute number of infants served. The absolute number of infants served in Utah increased by 4.6% $[(339 - 324) / 324 = 15 / 324 = 4.6\%]$ from December 1, 2008 to December 1, 2009. The infant population in Utah decreased by -0.001% $[(57,018 - 57,069) / 57,069 = -51 / 57,069 = -0.001\%]$ from December 1, 2008 to December 1, 2009.

Year-to-year changes in the number of infants served, infant population, and percentage of infants served for 2004-2009 are shown in Table 1. Over this time period, the number of infants served by providers each year has fluctuated but generally has shown a downward trend since the 2004 high of 360. During this same timeframe, Utah’s infant population has increased each year, with the exception of 2004 to 2005 and again from 2008 to 2009. Targets for this indicator have not been met from 2005-2009.

Another consideration in analyzing and understanding these year-to-year changes is that BWEIP began electronic data collection in 2005, with the introduction of the Baby and Toddler Online Tracking System

(BTOTS) statewide. As with targets for APR Indicator 2, Indicator 5 targets for 2005-2010 were set based on data that was “hand-collected” prior to 2005.

Table 1. Year-To-Year Changes of Number of Infants Served, Infant Population, and Percentage of Infants Served for FFY 2004-2009

Reporting Date	# Infants Served	% Change in # Infants Served	Infant Population¹	% Change in Population	Target	% Infants Served*
December 1, 2004	360**		50,051 (50,755)		N/A	0.72%
December 1, 2005	320***	-11.1%	49,602 (50,813)	-0.9%	0.78%	0.65%
December 1, 2006	349***	9.1%	52,310 (48,886)	5.5%	0.80%	0.67%
December 1, 2007	339***	-2.9%	53,376	2.0%	0.82%	0.64%
December 1, 2008	324****	-4.4%	57,069	6.9%	0.84%	0.57%
December 1, 2009	339*****	4.6%	57,018	-0.001%	0.86%	0.59%

¹ Two sets of population figures are shown, July 2008 and pre-July 2008 Subcounty Population Estimates; pre-July 2008 estimates are shown in parentheses.

*Source: (a) For 2004-2005 and 2006 data, DANS Table 8-4; percentages shown are based on U.S. Census Bureau Subcounty Population Estimates released July 2008. (b) For 2007 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2007. (c) For 2008 data, revised Table 1 “Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2008)” submitted to OSEP and DAC on September 9, 2009. (d) For 2009 data, revised Table 1 “Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2009)” submitted to OSEP and DAC on April 2, 2010.

** Revised Table 1 submitted to OSEP and Westat 10/20/2005.

***Revised Table 1 submitted to OSEP and Westat 4/21/2008.

****Revised Table 1 submitted to OSEP and Westat 9/9/09.

*****Revised Table 1 submitted to OSEP and DAC 4/2/10.

Improvement Activities Completed for FFY 2009-2010:

FFY 2009-2010: BWEIP continued involvement with agencies that potentially refer to early intervention including the Foster Care System, Voices for Utah Children, affiliation with the Governor’s Early Childhood Commission, Utah Family Voices, Family Investment Coalition, Utah Schools for the Deaf and the Blind, local hospitals, the family and pediatric practice communities, Office of Child Care, Hispanic Community Center, migrant farm worker families, Children’s Health Insurance Program, Legislative Coalition for Persons with Disabilities, and homeless outreach.

FFY 2009-2010: Referrals by the Division of Child and Family Services to programs following screening, as required by the Child Abuse Prevention and Treatment Act interagency agreements, continue statewide.

FFY 2009-2010: The BWEIP nurse has been working with the Newborn Intensive Care staff in two hospitals to ensure referrals are made and IFSPs are written prior to discharge. Most of the programs

that have been asked to meet with families prior to discharge have been able to do so. One other hospital has an early intervention provider as hospital staff who coordinates the referrals in a similar fashion.

FFY 2009-2010: The Interagency Coordinating Council (ICC) Parent Committee was able to realize one of their goals of actively recruiting and mentoring several additional parent representatives to serve on the ICC. Three of the ICC parent representatives traveled to North Carolina for the “Inclusion Conference” Two of the parent representatives also serve on the executive committee for “The Expanding Opportunities for Inclusion Initiative” for which Utah made an application and awarded. The committee will host a daylong institute for the community at large in October 2010.

FFY 2009-2010: During this reporting period, BWEIP reviewed BTOTS reports for the state as a whole and each program to determine how families heard about early intervention. Programs are encouraged to review these reports periodically to make necessary child find activity adjustments.

Table 2. How Families Heard about Early Intervention in Utah During FFY 2009-2010, by *Children Referred and by Children found Eligible

HOW FAMILIES HEARD ABOUT EARLY INTERVENTION	*Children Referred FFY09 7/1/09-6/30/10		Children Found Eligible FFY09 7/1/09-6/30/10	
Health Care Provider	2,970	52%	2,624	50%
Family or Friend	743	13%	682	13%
Early Intervention Provider	514	9%	525	10%
Hospital	514	9%	577	11%
Sibling in Early Intervention	286	5%	315	6%
School or Child Care Provider	228	4%	210	4%
Social Service Agency	229	4%	157	3%
Media	229	4%	157	3%
Total	5,713	100%	5,247	100%

*Some children referred during the last two months of the FFY 2009-2010 may not have been found eligible until early FFY2010-2011, while some children found eligible in FFY 2009-2010 may have been referred in late FFY 2008-2009.

As seen in Table 2, referral sources remain fairly constant at referral and at eligibility. Overall 61% of all the children referred to BWEIP come from health care providers and hospitals. The referral source for 61% of the eligible children is also the health care provider and hospital.

Table 3. BWEIP Age at Referral During FFY 2009-2010

Age at Referral	# Referred	% Referred
Birth to one Year	1,523	26%
One to Two Years	2,160	36%
Two to Three Years	2,278	38%
Total	5,961	100%

As seen in Table 3, the majority of children, when referred to BWEIP, are between the ages of one and three (74%), while infants less than 12 months old comprise only 26% of referrals.

Table 4. BWEIP Age at Eligibility During FFY 2009-2010

Age at Eligibility	# Eligible	% Eligible
Birth to one Year	933	23%
One to Two Years	1,413	35%
Two to Three Years	1,702	42%
Total	4,048	100%

The majority 77% of children at eligibility are ages one to three with children between age two and three, the largest group at 42%. Infants are the smallest group at 23% (see Table 4).

Table 5. BWEIP Percentage of Referred Children Found Eligible During FFY 2009-2010

Age at Eligibility	# Referred	# Eligible	% Referred found Eligible
Birth to one Year	1,523	933	61%
One to Two Years	2,160	1,413	65%
Two to Three Years	2,278	1,702	74%
Total	5,961	4,048	68%

In FFY 2009-2010 68% of children referred to early intervention were found eligible (see Table 5). At 61%, infants showed the lowest rate of eligibility of those referred.

Resolution of Previously Identified Noncompliance for FFY 2008-2009:

There were no findings of noncompliance for FFY 2008-2009.

Revisions to Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of the population served for infants and toddlers to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	APR, Monitoring and BTOTS reports
BWEIP will solicit stakeholder input for improvement activities to strengthen child find activities for eligible infants. State staff will complete the Annual APR final draft for approval in January.	Annually	APR Indicator data
BWEIP will work with ICC and providers to develop a committee to analyze serving the infant population	Ongoing	618 data, state population data
BWEIP staff will work with early intervention providers to review their process and policies regarding child find activities, referral and eligibility for infants.	2010-ongoing	APR, Monitoring, BTOTS reports, and program practice
BWEIP staff will assist early intervention providers in planning and implementing improvement activities regarding child find activities, and referral, and eligibility for infants.	2010-ongoing	Site visits, training, documentation
BWEIP staff will monitor the data of early intervention providers and the state as a whole regarding child find activities, referral and eligibility for infants.	2011-ongoing	APR, Monitoring, BTOTS reports, and program practice
BWEIP project manager will develop a targeted plan to encourage relationships with new pediatricians and primary care doctors and provide updates to those who currently refer to programs.	2010-ongoing	BWEIP and local provider staff
BWEIP nurse will begin development of an in-service training curriculum for providers regarding services for fragile infants.	2009-2012	BWEIP nurse
BWEIP nurse will continue to build the Newborn Intensive Care Unit referral relationship process with other hospitals throughout the state.	Ongoing	BWEIP nurse

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	Baby Watch Early Intervention Programs will serve (with IFSP) 1.92% of infants and toddlers through age 2 in Utah.

Actual Target Data for FFY 2009-2010:

The Baby Watch Early Intervention Program (BWEIP) served 1.96% of infants and toddlers through age two in Utah on December 1, 2009.*

With a percentage served of 1.96%, Utah is 0.71% below the FFY 2008-2009 national baseline of 2.67% for infants and toddlers through age two receiving early intervention under IDEA.

(*Source: Revised Table 1 "Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2009)" submitted to and the Data Accountability Center (DAC) on April 2, 2010. [Original submission on January 28, 2010; revised Table 1 included 339 infants with IFSPs in Utah as of December 1, 2009.])

Explanation of Progress for FFY 2009-2010:

The SPP target for December 1, 2009 of 1.92% was met. As part of the review of Indicator 6 data, BWEIP considers year-to-year changes (from the previous to the current year) in the percentage of infants and toddlers served and the 0 to 3 population, as well as the absolute number of infants and toddlers served. The absolute number of 0- to 3-year-olds served in Utah increased by 5.4% [(3,284 – 3,117) / 3,117 = 167 / 3,117 = 5.4%] from December 1, 2008 to December 1, 2009. The 0- to 3-year-old population in Utah increased by 1.2% [(167,932 – 165,985) / 165,985 = 1,947 / 165,985 = 1.2%] from December 1, 2008 to December 1, 2009.

Year-to-year changes in the number of infants and toddlers served, the 0- to 2-year-old population, and percentage of infants and toddlers served for 2004-2009 are shown in Table 1. Over this time period, providers in Utah have increased the number of infants and toddlers they serve every year. During this same timeframe, Utah's 0- to 2-year-old population has also increased each year, showing a marked (6.8%) increase from 2007 to 2008. Targets were met and exceeded every year except for FFY 2006-2007 and FFY 2008-2009.

Another consideration in analyzing and understanding these year-to-year changes is that the BWEIP began electronic data collection in 2005, with the introduction of the Baby Toddler Online Tracking

System (BTOTS) statewide. As with targets for APR Indicator 2, Indicator 5 targets for 2005-2010 were set based on data that was “hand-collected” prior to 2005.

Table 1. Year-To-Year Changes in the Number of 0- to 2-Year-Olds Served, 0- to 2-Year-Olds Population, and 0- to 2-Year-Olds Percentage Served for FFY 2004-2009

Reporting Date	# 0-2 Served	% Change in # 0-2 Served	0-2 Population ¹	% Change in 0-2 Population	Target	% 0-2 Served*
December 1, 2004	2,524**		145,226 (146,965)			1.74%
December 1, 2005	2,676***	6.0%	148,204 (150,943)	2.1%	1.80%	1.81%
December 1, 2006	2,777***	3.8%	152,227 (150,581)	2.7%	1.88%	1.82%
December 1, 2007	2,989***	7.6%	155,483	2.1%	1.86%	1.92%
December 1, 2008	3,117****	4.3%	165,985	6.8%	1.90%	1.88%
December 1, 2009	3,284*****	5.4%	167,932	1.2%	1.92%	1.96%

¹ Two sets of population figures are shown, July 2008 and pre-July 2008 Subcounty Population Estimates; pre-July 2008 estimates are shown in parentheses.

*Source: (a) For 2004-2005 and 2006 data, DANS Table 8-4; percentages shown are based on U.S. Census Bureau Subcounty Population Estimates released July 2008. (b) For 2007 data, U.S. Department of Education, Office of Special Education Programs (OSEP), Data Analysis System (DANS), OMB #1820-0557: “ Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C,” 2007. (c) For 2008 data, revised Table 1 “Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2008)” submitted to OSEP and DAC on September 9, 2009. (d) For 2009 data, revised Table 1 “Report of Children Receiving Early Intervention Services in Accordance with Part C (on December 1, 2009)” submitted to OSEP and DAC on April 2, 2010.

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***Revised Table 1 submitted to OSEP and Westat 4/21/2008.

****Revised Table 1 submitted to OSEP and Westat 9/9/09.

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Improvement Activities Completed for FFY 2009-2010:

FFY 2009-2010: BWEIP continued involvement with agencies that potentially refer to early intervention including the Foster Care System, Voices for Utah Children, affiliation with the Governor’s Early Childhood Commission, Utah Family Voices, Family Investment Coalition, Utah Schools for the Deaf and the Blind, local hospitals, the family and pediatric practice communities, Office of Child Care, Hispanic Community Center, migrant farm worker families, Children’s Health Insurance Program, Legislative Coalition for Persons with Disabilities, and homeless outreach.

FFY 2009-2010: Referrals by the Division of Child and Family Services to programs following screening, as required by the Child Abuse Prevention and Treatment Act interagency agreements, continue statewide.

FFY 2009-2010: The BWEIP nurse has been working with the Newborn Intensive Care staff in two hospitals to ensure referrals are made and IFSPs are written prior to discharge. Most of the nine programs that have been asked to meet with families prior to discharge have been able to do so. One other hospital has an early intervention provider as hospital staff who coordinates the referrals in a similar fashion.

FFY 2009-2010: The Interagency Coordinating Council (ICC) Parent Committee was able to realize one of their goals of actively recruiting and mentoring several additional parent representatives to serve on the ICC. Three of the ICC parent representatives traveled to North Carolina for the “Inclusion Conference” Two of the parent representatives also serve on the executive committee for “The Expanding Opportunities for Inclusion Initiative” for which Utah made an application and awarded. The committee will host a daylong institute for the community at large in October 2010.

FFY 2009-2010: During this reporting period, BWEIP reviewed BTOTS reports for the state as a whole and each program to determine how families heard about early intervention. Programs are encouraged to review these reports periodically to make necessary child find activity adjustments.

Table 2. How Families Heard about Early Intervention in Utah During FFY 2009-2010, by *Children Referred and by Children found Eligible

<i>HOW FAMILIES HEARD ABOUT EARLY INTERVENTION</i>	<i>*Children Referred FFY09 7/1/09-6/30/10</i>		<i>Children Found Eligible FFY09 7/1/09-6/30/10</i>	
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Family or Friend	743	13%	682	13%
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Total	5,713	100%	5,247	100%

*Some children referred during the last two months of the FFY 2009-2010 may not have been found eligible until early FFY2010-2011, while some children found eligible in FFY 2009-2010 may have been referred in late FFY 2008-2009.

As seen in Table 2, referral sources remain fairly constant at referral and at eligibility. Overall 61% of all the children referred to BWEIP come from health care providers and hospitals. The referral source for 61% of the eligible children is also the health care provider and hospital.

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Birth to one Year	1,523	26%
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Total	5,961	100%

As seen in Table 3, the majority of children, when referred to BWEIP, are between the ages of one and three (74%), while infants less than 12 months old comprise only 26% of referrals.

Table 4. BWEIP Age at Eligibility During FFY 2009-2010

Age at Eligibility	# Eligible	% Eligible
Birth to one Year	933	23%
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Two to Three Years	1,702	42%
Total	4,048	100%

The majority 77% of children at eligibility are ages one to three with children between age two and three, the largest group at 42%. Infants are the smallest group at 23% (see Table 4).

Table 5. BWEIP Percentage of Referred Children Found Eligible During FFY 2009-2010

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Two to Three Years	2,278	1,702	74%
Total	5,961	4,048	68%

In FFY 2009-2010 68% of children referred to early intervention were found eligible (see Table 5). At 61%, infants showed the lowest rate of eligibility of those referred.

Resolution of Previously Identified Noncompliance for FFY 2008-2009:

There were no findings of noncompliance for FFY 2008-2009.

Revisions to Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activity	Timeline	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of the population served for infants and toddlers to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	APR, Monitoring and BTOTS reports
BWEIP will solicit stakeholder input for improvement activities to strengthen child find activities for eligible infants. State staff will complete the Annual APR final draft for approval in January.	Annually	APR Indicator data
BWEIP will work with ICC and providers to develop a committee to analyze serving the infant population	Ongoing	618 data, state population data
BWEIP staff will work with early intervention providers to review their process and policies regarding child find activities, referral and eligibility for infants.	2010-ongoing	APR, Monitoring, BTOTS reports, and program practice
BWEIP staff will assist early intervention providers in planning and implementing improvement activities regarding child find activities, and referral, and eligibility for infants.	2010-ongoing	Site visits, training, documentation
BWEIP staff will monitor the data of early intervention providers and the state as a whole regarding child find activities, referral and eligibility for infants.	2011-ongoing	APR, Monitoring, BTOTS reports, and program practice
BWEIP project manager will develop a targeted plan to encourage relationships with new pediatricians and primary care doctors and provide updates to those who currently refer to programs.	2010-ongoing	BWEIP and local provider staff
BWEIP nurse will begin development of an in-service training curriculum for providers regarding services for fragile infants.	2009-2012	BWEIP nurse
BWEIP nurse will continue to build the Newborn Intensive Care Unit referral relationship process with other hospitals throughout the state.	Ongoing	BWEIP nurse

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	All eligible infants and toddlers (100%) will receive an evaluation and an assessment and an IFSP within 45 days of referral.

Actual Target Data for FFY 2009-2010:

98.8%

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all newly referred children who were found eligible and for whom an initial IFSP was required to be conducted during the time period July 1, 2009 through June 30, 2010.

Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C’s 45-day timeline in FFY 2009-2010:

a. Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline (for the time period July 1, 2009 through June 30, 2010)	3,816
b. Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted (for the time period July 1, 2009 through June 30, 2010)	3,853

Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline (Percent = [(a) divided by (b)] times 100) (for the time period July 1, 2009 through June 30, 2010)	98.8%
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Explanation of Progress in FFY 2009-2010:

The percentage of files with IFSPs completed within 45 days increased from 97% in FFY 2008-2009 to 98.8% in FFY 2009-2010, an improvement of 1.8%. However, a total of 47 children (1.2%) were delayed in the provision of the evaluations, assessments, and/or the initial IFSP due to provider circumstances. As shown in Table 1, the primary reasons for delayed IFSPs were provider scheduling issues (i.e., cancellations due to illness or emergencies). All 47 children received an IFSP, although delayed, between one and 34 days late.

Table 1. Provider Circumstances and Length of Delay for Late IFSPs, FFY 2009-2010

	Provider Circumstances for Late IFSPs								Total
	Provider Schedule				Late Evaluations	Illness	Waiting for Records	Late Intakes	
# Days IFSPs Late	1-5	3-8	11-18	34	3-8	2-26	4-17	3-18	
# Files	15	6	7	1	5	6	2	5	47

Early intervention (EI) programs continued to strive for timely performance and have identified improvement strategies such as building capacity to respond to the increased demands for evaluations; streamlining the scheduling process; and increasing their use of BTOTS alerts for upcoming deadlines.

Baby Watch Early Intervention Program (BWEIP) staff monitored data for all EI programs and, when necessary, implemented enforcement and reporting strategies to address noncompliance. The enforcement and reporting activities included local analysis of data to identify specific areas of concern; development of a corrective action plan with data-based targets for correction of noncompliance; and the revision of program policies and/or procedures. BWEIP conducted additional on-site visits to verify the implementation of new procedures and verify correction of noncompliance.

Improvement Activities Completed in FFY 2009-2010:

BWEIP encouraged EI providers to systematically run and review BTOTS monitoring reports for the timeliness indicators and bring to their staff’s attention alerts from the reports. These activities were incorporated into all EI providers’ data accuracy plans.

Family and provider circumstances delay categories were clarified to capture in more detail the reasons for late events. Corresponding changes were made to BTOTS dropdown menus to incorporate the delay reason categories.

BWEIP encouraged providers to run and review systematically the BTOTS delay category reports in order to be aware of reasons for the delayed provision of IFSPs in their programs.

Correction of FFY 2008-2009 Findings of Noncompliance (if State reported less than 100% compliance):

Level of compliance (actual target data) State reported for FFY 2008-2009 for this indicator: 97%

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008, through June 30, 2009)	9
2. Number of FFY 2008-2009 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	9
3. Number of FFY 2008-2009 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2008-2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2008-2009 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2008-2009 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 noncompliance or FFY 2008-2009 findings (either timely or subsequent):

There were a total of nine findings of noncompliance in three programs for this indicator identified through on-site compliance monitoring reviews that occurred in FFY 2008-2009. All corrective action required for FFY 2008-2009 has been completed and the correction of noncompliance verified by BWEIP staff within one year of notification of the noncompliance.

EI program compliance with evaluation and initial IFSP meeting requirements was a component of compliance on-site monitoring reviews. These monitoring reviews included a review by local programs of evaluation and initial IFSP meeting requirements through review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site reviews of data, procedures, and individual child files. If noncompliance was identified, each program was required to submit Corrective Action Plans (CAPs) to address all areas of noncompliance.

No CAP was issued by BWEIP to two of the three programs. One program withdrew as a BWEIP provider at the end of this fiscal year and the corrective action was not transferred to the BWEIP provider that assumed the contract. In the second program, a immediate correction was made for an isolated instance of noncompliance and not require a CAP. The third and remaining program’s CAP was approved by BWEIP and its implementation was verified within one year of issuance of the findings of noncompliance report.

Validation of the implementation of CAPs occurred through a variety of methods depending on the issues identified. BWEIP staff validated correction of noncompliance in the following manner:

- On site-review and sampling of child files to ensure that the correct policies and procedures for timely evaluations, assessments, and initial IFSPs were being implemented.
- Review of data in BTOTS.
- Review of child files of those children who did not have an evaluation or initial IFSP meeting within 45 days to ensure that evaluations, assessments, and initial IFSP meetings, although late, had been conducted.
- EI program submission of details of the activities being conducted by the program to ensure the implementation of appropriate policies and procedures related to evaluation, assessment, and initial IFSP meeting.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008-2009:

Program 1: Four files of 65 reviewed (6.2%) showed initial IFSPs completed after Day 45.

- File 1: IFSP completed 2 days late; delayed due to a death in the provider's family.
- File 2: IFSP completed 3 days late; delay in obtaining eligibility records from outside provider.
- File 3: IFSP completed 5 days late; delayed due to a provider's family emergency.
- File 4: IFSP completed 6 days late; delayed due to illness of provider.

BWEIP issued a finding of noncompliance and a Corrective Action Plan (CAP) was written and implemented to address the noncompliance identified. The CAP activities included, the implementation of a new policy, with requirement that, if the provider with knowledge of assessments is ill, and the meeting cannot be rescheduled with the 45 day timeline, the meeting will be held with documentation of participation by that provider in alternative way (written information, phone, etc.). If the Service Coordinator or parent is ill, then the meeting must be rescheduled. The initial evaluation, assessment, and IFSP meetings, although late, were conducted for the four children reported as delayed during FFY 2008-2009. Noncompliance was fully corrected within one year of the finding.

Consistent with OSEP Memorandum 09-02, Utah verified that the EI program is correctly implementing the specific regulatory requirements by collecting updated data that demonstrated compliance. Two subsequent data reports and an on-site visit review of child files showed that all children were evaluated, assessed, and had their IFSP meeting within 45 days of referral. Data compiled for FFY 2009-2010 APR showed this program at 100% for this indicator.

Program 2: Two files of 54 reviewed (3.7%) showed initial IFSPs completed after Day 45.

- File 1: IFSP completed 1 day late; delayed due to school district program closure for spring break.
- File 2: IFSP completed 4 days late; delayed due to time needed for completion of a more extensive evaluation.

Corrective action was taken. The program was required to review subsequent data and report to BWEIP. The initial evaluation, assessment, and IFSP meeting, although late, were conducted for the two children reported as delayed during FFY 2008-2009. Noncompliance was fully corrected within one year of the finding.

Consistent with OSEP Memorandum 09-02, Utah verified that the EI program is correctly implementing the specific regulatory requirements by collecting updated data that demonstrated compliance. Subsequent data reports showed that 100% the children were evaluated assessed and had their IFSP meeting within 45 days of referral. Data compiled for FFY 2009-2010 APR showed this program at 100% for this indicator.

Program 3: Three files of 83 reviewed (3.6%) showed initial IFSPs completed after Day 45.

- File 1: IFSP completed 5 days late; delayed due to scheduling difficulties.
- File 2: IFSP completed 6 days late; delayed due to December holiday break.
- File 3: IFSP completed 68 days late; delayed due to provider circumstances.

The program withdrew as a BWEIP provider due to a school district decision at the end of FFY 2008-2009. No corrective action was taken with the BWEIP provider that assumed the contract. However, the initial evaluation, assessment, and IFSP meetings, although late, were conducted for the three children reported as delayed during FFY 2008-2009. BTOTS data compiled for FFY 2009-2010 APR showed the new program was at 100% for this indicator.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance (if applicable): N/A

1. Number of remaining FFY 2007-2008 findings of noncompliance noted in OSEP’s FFY 2008-2009 APR response table of June 2010 for this indicator	0
2. Number of remaining FFY 2007-2008 findings the State has verified as corrected	0
3. Number of remaining FFY 2007-2008 findings the State has NOT verified as corrected [(1) minus (2)]	0

Verification of Remaining FFY 2007-2008 Findings: N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of IFSPs within 45days to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve the percentage of IFSPs within 45 days.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will work with ICC and providers to develop a committee to analyze reason for late IFSPs	Ongoing	Monitoring BTOTS reports, APR Indicator data

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8A: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- A IFSPs with transition steps and services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
 Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;

Actual Target Data for FFY 2009:

95.6%

The Baby Watch Early Intervention Program (BWEIP) collects data for timely transition steps and services through on-site compliance monitoring reviews. Fifteen programs are reviewed on a three-year cycle, with five sites receiving a full compliance monitoring visit each year. At each site, 25% of the files or 25 files—whichever is greater—are reviewed, an increase in the number of files reviewed compared to previous years.

- Total number of programs reviewed: 5
- Total number of applicable files reviewed: 270
- Number of applicable files reviewed with IFSPs with transition steps and services: 258
- Percentage of total reviewed files in compliance: $(258 / 270) \times 100 = 95.55\% = 95.6\%$
- Total number of findings of noncompliance: 12

- Percentage of total findings of noncompliance: $(12 / 270) \times 100 = 4.45\% = 4.5\%$

Children Exiting Part C who Received Timely Transition Planning:

a. Number of children exiting Part C who have an IFSP with transition steps and services	258
b. Number of children exiting Part C	270
Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Percent = [(a) divided by (b)] times 100)	95.6%

Explanation of Slippage in FFY 2009-2010:

The percentage of reviewed files in compliance decreased from 97% in FFY 2008-2009 to 95.6% in FFY 2009-2010, a slippage of 1.4%. Twelve of 270 (4.5%) reviewed files did not include transition steps and services on the IFSP. The status of the files is as follows:

- Files 1 – 2: No documentation of transition steps and services on the six-month IFSP reviews.
- Files 3 –12: Transition steps and services form was blank or missing from file.

Improvement Activities Completed in FFY 2009-2010:

BWEIP developed the capacity to capture data for this indicator through BTOTS, to be completed for FFY 2010-2011 APR.

Correction of FFY 2008-2009 Findings of Noncompliance (if State reported less than 100% compliance):

Level of compliance (actual target data) State reported for FFY 2008-2009 for this indicator: 97%

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008, through June 30, 2009).	10
2. Number of FFY 2008-2009 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	10
3. Number of FFY 2008-2009 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number from (3) above).	0
5. Number of FFY 2008-2009 findings the State has verified as corrected beyond	0

the one-year timeline (“subsequent correction”).	
6. Number of FFY 2008-2009 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 noncompliance or FFY 2008-2009 findings (either timely or subsequent):

There were 10 findings of noncompliance for one program for this indicator identified through on-site compliance monitoring reviews that occurred in FFY 2008-2009. All corrective action required for FFY 2008-2009 has been completed and the correction of noncompliance verified by BWEIP staff within one year of notification of the noncompliance.

Early Intervention (EI) program compliance for IFSPs with transition steps and services requirements was a component of on-site compliance monitoring reviews. These monitoring reviews included a review by local programs for IFSPs with transition steps and services requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site reviews of data, procedures, and individual child files. If noncompliance was identified, each program was required to submit a Corrective Action Plan (CAP) to address all areas of noncompliance.

The program’s CAP was approved by BWEIP and the plan’s implementation was verified within one year of issuance of the findings of noncompliance report. Validation of the implementation of the CAP occurred through a variety of methods depending on the issues identified. BWEIP staff validated correction of noncompliance in the following manner:

- On site-review and sampling of child files to ensure that the correct policies and procedures were being implemented.
- Review of data in BTOTS.
- Review of child files of those children whose IFSPs did not include transition steps and services to ensure that their IFSPs had been updated to include transition steps and services.
- EI program submission of details of the activities being conducted by the program to ensure the implementation of appropriate policies and procedures related to all IFSPs having transition steps and services.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008-2009:

One program showed that 10 files of 55 reviewed (18.2%) showed no transition steps and services on their IFSPs.

- Files 1 – 4: transition conferences were held (only evidence of transition steps and services) and the children exited to Part B.
- Files 5 – 7: the parents withdrew the children prior to when the transition conference was due; no evidence of other transition steps and services.
- File 8: the child completed the IFSP prior to age three and exited the program.
- File 9: the child was not eligible for Part B and exited with no referrals.
- File 10: the child was deceased.

BWEIP issued a finding of noncompliance and a CAP was written and implemented to address the noncompliance identified. CAP activities included the development of a form to be used with all IFSPs to document transition steps and services. The program submitted two subsequent data reports and BWEI verified that 100% of children were receiving transition steps and services on their IFSPs.

Consistent with OSEP Memorandum 09-02, Utah verified that the EI program is correctly implementing the specific regulatory requirements by collecting updated data that demonstrated compliance. Two subsequent reviews of child files by BWEIP showed that all children under IFSP had transition steps and services documented.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance (if applicable): N/A

1. Number of remaining FFY 2007-2008 findings of noncompliance noted in OSEP’s FFY 2008-2009 APR response table of June 2010 for this indicator	0
2. Number of remaining FFY 2007-2008 findings the State has verified as corrected	0
3. Number of remaining FFY 2007-2008 findings the State has NOT verified as corrected [(1) minus (2)]	0

Verification of Correction of Remaining FFY 2007-2008 findings: N/A

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007-2008: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2006-2007 or Earlier (if applicable): N/A

Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable): Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of Transition Steps and Services on the IFSP to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve Transition Steps and Services on the IFSP	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will work with information technology (IT) staff to collect Transition Steps and Services on the IFSP for all children through the database.	April 2011	BWEIP, MDSC IT staff

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8B: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- B Notification to LEA, if child potentially eligible for Part B;

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
 Percent = [(# of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B (unless family opts out);

Actual Target Data for FFY 2009-2010:

99.3%

The Baby Watch Early Intervention Program (BWEIP) collects data for timely notification to the LEA, if a child is potentially eligible for Part B, through on-site compliance monitoring reviews. Fifteen programs are reviewed on a three-year cycle, with five sites receiving a full compliance monitoring visit each year. At each site, 25% of the files or 25 files—whichever is greater—are reviewed, an increase in the number of files reviewed compared to previous years.

- Total number of programs reviewed: 5
- Total number of applicable files reviewed of children exiting Part C and potentially eligible for Part B reviewed: 270
- Total number of applicable files not sent to the LEA: 2
- Percentage of applicable files reviewed in compliance: $(270 - 2) / 270 = (268 / 270) \times 100 = 99.25\% = 99.3\%$
- Total number of findings of noncompliance: 2

- Percentage of applicable files reviewed out of compliance: $(2 / 270) \times 100 = 0.75\% = 0.8\%$

Children Exiting Part C who Received Timely Transition Planning (Notification to LEA):

a. Number of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred	268
b. Number of children exiting Part C who were potentially eligible for Part B	270
Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Notification to LEA) (Percent = [(a) divided by (b)] times 100)	99.3%

Explanation of Progress in FFY 2009-2010:

The percentage of reviewed files in compliance increased from 94% in FFY 2008-2009 to 99.3% in FFY 2009-2010, an improvement of 5.3%.

BWEIP adopted a “Disclosure of Directory Information” or “opt out” policy, which was approved by OSEP in April 30, 2009. One file in each of two programs used an older version of the IFSP form that did not include the notification to LEA language on it. Both programs immediately offered families information on directory notification to the LEA. One family chose to have the directory information sent, while the second family chose to opt out. Subsequent review of child files at both programs showed updated IFSP forms and verified that the required documentation was in both child files.

Improvement Activities Completed in FFY 2009-2010:

April 2009: BWEIP required that all early intervention (EI) programs submit an updated “Disclosure of Directory Information” policy and form for review and approval.

Correction of FFY 2008-2009 Findings of Noncompliance (if State reported less than 100% compliance):

Level of compliance (actual target data) State reported for FFY 2008-2009 for this indicator: 94%

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008, through June 30, 2009)	19
2. Number of FFY 2008-2009 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	19
3. Number of FFY 2008-2009 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2008-2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number	0
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from (3) above)	
5. Number of FFY 2008-2009 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”).	0
6. Number of FFY 2008-2009 findings <u>not</u> verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 noncompliance or FFY 2008-2009 findings (either timely or subsequent):

There were 19 findings of noncompliance in a single program for this indicator identified through on-site compliance monitoring reviews that occurred in FFY 2008-2009. All corrective action required for FFY 2008-2009 has been completed and the correction of noncompliance was verified by BWEIP staff within one year of notification of the noncompliance.

EI program compliance with notification to the LEA and BWEIP’s “Disclosure of Directory Information” policy was a component of on-site compliance monitoring reviews. These monitoring reviews included a review by programs of the notification to the LEA requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site reviews of data, procedures, and individual child files. If noncompliance was identified, each program was required to submit a Corrective Action Plan (CAP) to address and correct any areas of noncompliance.

The program’s CAP was approved by BWEIP and the plan’s implementation was verified within one year of issuance of the findings of noncompliance report. Validation of the implementation of the CAP occurred through a variety of methods depending on the issues identified. BWEIP staff validated correction of noncompliance in the following manner:

- On site-review and sampling of child files to ensure that the correct policies and procedures were being implemented.
- Review of data in BTOTS.
- Review of child files that did not have documentation that BWEIP’s “Disclosure of Directory Information” form was reviewed with the family to ensure that families were subsequently given the information.
- EI program submission to BWEIP of details of the activities being conducted by the program to ensure the implementation of appropriate policies and procedures related to notification to the LEA.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008-2009:

One program showed that 19 files of 22 (86.4%) had no evidence that notification to the LEA and discussion of the BWEIP’s “Disclosure of Directory Information” (“opt out”) policy was discussed with the families.

- Files 1 – 19: “Disclosure of Directory Information” form was missing from the file.

BWEIP issued a finding of noncompliance and a CAP was written and implemented to address and correct the noncompliance identified. CAP activities included (1) a training conducted by the local program for their staff to review the BWEIP “Disclosure of Directory Information” policy and Part C regulations related to notification to the LEA; (2) the requirement that all children whose families were not informed about notification to the LEA and BWEIP’s “Disclosure of Directory Information” policy be offered

the opportunity to do so; and (3) verification that correct documentation was in all child files within two months of issuance of the CAP. Seventeen of the 19 children were still enrolled in EI services and all of them opted out of notification to the LEA. At an on-site follow up visit, BWEIP staff verified that the required documentation was in the 17 child files.

Consistent with OSEP Memorandum 09-02, Utah verified that the EI program is correctly implementing the specific regulatory requirements by collecting updated data that demonstrated compliance. A subsequent review of children’s files by BWEIP showed that the program was discussing notification to the LEA and the BWEIP “Disclosure of Directory Information” policy with all families and that completed forms were in all files.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance (if applicable): N/A

1. Number of remaining FFY 2007-2008 findings of noncompliance noted in OSEP’s FFY 2008 APR response table in June 2010 for this indicator	0
2. Number of remaining FFY 2007-2008 findings the State has verified as corrected	0
3. Number of remaining FFY 2007-2008 findings the State has NOT verified as corrected [(1) minus (2)]	0

Verification of Correction of Remaining FFY 2007-2008 findings: N/A

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007-2008: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2006-2007 or Earlier (if applicable): N/A

Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah's percentage of Notification to the LEA to a joint Interagency Coordinating Council ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve Notification to the LEA.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will work with information technology (IT) staff to collect Notification to the LEA for all children through the database.	April 2011	BWEIP, MDSC IT staff

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8C: Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

- C. Transition conference, if child potentially eligible for Part B
(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:
Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100% of files reviewed of children exiting Part C will receive timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B.

The data was collected for this indicator for all Baby Watch Early Intervention programs through the statewide database, the Baby and Toddler Online Tracking System (BTOTS), and includes all children who were potentially eligible for Part B and for whom transition conferences were due for the full year July 1, 2009 through June 30, 2010.

Actual Target Data for FFY 2009-2010:

93.0%

Children Exiting Part C who Received Timely Transition Planning (Transition Conference):

a. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred (the period from July 1, 2009 through June 30, 2010)	1,917
b. Number of children exiting Part C who were potentially eligible for Part B (the period from July 1, 2009 through June 30, 2010)	2,602 2,062

Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Transition Conference) (Percent = [(a) divided by (b)] times 100) (the period from July 1, 2009 through June 30, 2010)	93%
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Explanation of Slippage in FFY 2009-2010:

The percentage of files in compliance decreased from 95% in FFY 2008-2009 to 93.0% in FFY 2009-2010, a decrease of 2.0%. The FFY 2008-2009 APR data was based on a selection of files reviewed at on-site compliance monitoring visit at five programs, compared to FFY 2009-2010 data from BTOTS for all 15 programs for an entire year.

Of ~~2,602~~ 2,062 records reviewed in BTOTS that required a transition conference, 1,917 showed that a transition conference was held in a timely manner. Nine of 15 early intervention (EI) programs held 100% of the required transition conferences in a timely manner. The 145 late conferences were attributable to the six remaining programs. Table 1 shows the 145 late transition conferences, delay reasons, and the number of days transition conferences were late, unless the child was no longer under the jurisdiction of BWEIP.

Table 1. Delay Reasons and Length of Delay for Late Transition Meetings, FFY 2009-2010

	Delay Reasons for Late Transition Meetings									Total
	Provider Schedule								Child No Longer in EI	
# Days Late	1-15	16-30	31-45	46-60	61-75	76-90	91-105	109	Not Held	
# Files	32	22	11	11	9	4	1	1	54	145

Improvement Activities Completed in FFY 2009-2010:

BWEIP encouraged EI providers to run and review systematically BTOTS monitoring reports for the timeliness indicators and bring to their staff’s attention alerts from the reports. These activities were incorporated into all EI providers’ data accuracy plans.

Family and provider circumstances delay categories were clarified to capture in more detail the reasons for late events. Corresponding changes were made to BTOTS dropdown menus to incorporate the delay reason categories.

BWEIP encouraged providers to systematically run and review the BTOTS delay category reports t in order to be aware of reasons for the delayed transition conferences services in their programs.

Correction of FFY 2008-2009 Findings of Noncompliance (if State reported less than 100% compliance):

Level of compliance (actual target data) reported for FFY 2008-2009 for this indicator: 95%

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008 through June 30, 2009)	5
2. Number of FFY 2008-2009 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	5

3. Number of FFY 2008-2009 findings <u>not</u> verified as corrected within one year [(1.) minus (2.)]	0
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FFY 2008-2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number from (3.) above)	0
5. Number of FFY 2008-2009 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
6. Number of FFY 2008-2009 findings <u>not</u> verified as corrected [(4.) minus (5.)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 noncompliance or FFY 2008-2009 findings (either timely or subsequent):

There were five findings of noncompliance in a single program for this indicator identified through on-site compliance monitoring reviews that occurred in FFY 2008-2009. All corrective action required for FFY 2008-2009 has been completed and the correction of noncompliance verified by BWEIP staff within one year of notification of the noncompliance.

EI program compliance with transition conference requirements was a component of on-site compliance monitoring reviews. These monitoring reviews included a review by programs of transition conference requirements through the review of data, written policies, and individual child files. Similarly, BWEIP staff also conducted on-site reviews of data, procedures, and individual child files. If noncompliance was identified, each program was required to submit a Corrective Action Plan (CAP) to address and correct any areas of noncompliance.

The program’s CAP was approved by BWEIP and the plan’s implementation was verified within one year of issuance of the findings of noncompliance report. Validation of the implementation of the CAP occurred through a variety of methods depending on the issues identified. BWEIP staff validated correction of noncompliance in the following manner:

- On site-review and sampling of child files to ensure that the correct policies and procedures were being implemented.
- Review of data in BTOTS.
- Review of child files of those children who did not have timely transition conferences to ensure that the meeting did occur, as well as for a subsequent selection of children.
- EI program submission of details of the activities being conducted by the program to ensure the implementation of appropriate policies and procedures related to transition conferences.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008-2009:

One program showed that for five files of 26 reviewed (19%), transition conferences were not held in a timely manner.

- Files 1-3: Children exited to Part B without a transition conference.

- File 4: Transition conference held 39 days late; no documentation seen in file to support the delay.
- File 5: Transition conference held 116 days late; no documentation in file to support the delay.

BWEIP issued a finding of noncompliance and a CAP was written and implemented to address and correct the noncompliance identified. CAP activities included (1) providing intensive training about transition to the existing Transition Coordinator; (2) requiring the Transition Coordinator to report the status of all transition conferences on a monthly basis to his supervisor; (3) revising the transition process to meet requirements that all meetings due by 90 days are to be held between 120 to 90 days before child’s third birthday; and (4) adopting a new system to keep documentation of all aspects of transition together and enable staff to comply with all time lines. Transition conferences were subsequently held for two of the five children potentially eligible for Part B reported as being late in FFY 2008-2009. The three remaining children exited EI services without a transition conference. The program found the Transition Coordinator at his performance review to be performing at an unsatisfactory level and, when efforts to improve his performance failed, a replacement coordinator was hired and trained. This program successfully implemented new supervisory procedures to monitor timeliness of transition conferences and currently documents all attempts to schedule the transition conference in BTOTS.

Consistent with OSEP Memorandum 09-02, BWEIP has ver2ed that the EI program with noncompliance reported in the FFY 2008-2009 APR is correctly implementing the specific regulatory requirements by collecting updated data that demonstrated compliance. An on-site visit and a final BTOTS report verified full correction of the noncompliance within one year of identification of the noncompliance.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance (if applicable): N/A

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007-2008: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2006-2007 or Earlier (if applicable): N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activities	Timelines	Resources
BWEIP will present an in-depth report on the status of Utah’s percentage of Timely Transition Conferences to a joint Interagency Coordinating Council (ICC) and early intervention provider meeting.	Annually	Monitoring BTOTS reports, APR Indicator data
BWEIP will solicit stakeholder input for improvement activities to improve Timely Transition Conferences.	Annually	Monitoring BTOTS reports, APR Indicator data

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B) and 1442)

Measurement:
 Percent of noncompliance corrected within one year of identification:
 a. # of findings of noncompliance.
 b. # of corrections completed as soon as possible but in no case later than one year from identification.
 Percent = [(b) divided by (a)] times 100.
States are required to use the “Indicator C 9 Worksheet” to report data for this indicator (see Attachment A).

FFY	Measurable and Rigorous Target
2009 (2009-2010)	General supervision system (including monitoring, complaints, hearings, etc.) will identify and correct 100% of all noncompliance as soon as possible but in no case later than one year from identification.

Actual Target Data for FFY 2009-2010:

100%

Describe the process for selecting EIS programs for Monitoring:

The Baby Watch Early Intervention Program (BWEIP) collected data for compliance in FFY 2008-2009 through on-site compliance monitoring reviews. The 15 programs are reviewed on a three-year cycle, with five sites receiving a full compliance monitoring visit each year. At each site, 25% of the files or 25 files—whichever is greater—are reviewed, an increase in the number of files reviewed compared to previous years. See the State Performance Plan (SPP) 2005-2012 Indicator 9 for updated information on the revisions to the BWEIP general supervision system to include using the statewide database, the Baby and Toddler Online Tracking System (BTOTS), to collect an entire year’s worth of data for compliance monitoring.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that Occurred for FFY 2009-2010:

BWEIP encouraged early intervention (EI) programs to use monitoring and data reports available in BTOTS to track the correction of all noncompliance. When noncompliance was identified, Corrective Action Plans (CAPs) were issued by BWEIP and completed as soon as possible but no later than one year from notification of the noncompliance.

Timely Correction of FFY 2008-2009 Findings of Noncompliance (corrected within one year from identification of the noncompliance):

1. Number of findings of noncompliance the State made during FFY 2008-2009 (the period from July 1, 2008, through June 30, 2009) (Sum of Column a on the Indicator C 9 Worksheet)	49
2. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	49
3. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2008-2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

4. Number of FFY 2008-2009 findings not timely corrected (same as the number from (3) above)	0
5. Number of FFY 2008-2009 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”).	0
6. Number of FFY 2008-2009 findings <u>not</u> yet verified as corrected [(4) minus (5)]	0

Actions Taken if Noncompliance Not Corrected: N/A

Verification of Correction of FFY 2008-2009 findings (either timely or subsequent):

BWEIP verified that each EI program with noncompliance identified in FFY 2008-2009 (1) was correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data (e.g., data subsequently collected through on-site monitoring or BTOTS) and (2) corrected each individual case of noncompliance, unless the child was no longer within the jurisdiction of the BWEIP, as consistent with OSEP Memorandum 09-02.

Describe the specific actions that the State took to verify the correction in FFY 2009-2010 of findings of noncompliance identified in FFY 2008-2009:

Indicator 1: Timely IFSP Services – Six Findings of Noncompliance

Program 1: A finding of noncompliance was made for six files of 54 (11%) reviewed with late delivery of IFSP services.

- Files 1-5: the required service visits were held between 21 to 58 days late due to provider circumstances.
- File 6: the child did not receive the indicated service as he had exited to Part B and was no longer under the jurisdiction of BWEIP.

The program was notified in writing of the finding of noncompliance for timely delivery of IFSP services. BWEIP did not issue a CAP because the program withdrew as a BWEIP provider due to school district decision at the end of FFY 2008-2009. **As a result, there is no outstanding finding of noncompliance for timely delivery of IFSP services in FFY 2008-2009.** BWEIP reviewed updated subsequent data from IFSPs for the provider that assumed the contract and determined that 100% of services for children referred after the noncompliance was identified received their services in a timely manner as documented on the IFSP. These visits fully demonstrated and documented that current BWEIP contractor is implementing the statutory/regulatory requirements consistent with the timely provision of services.

Indicator 7: IFSP within 45 days - Nine Findings of Noncompliance

Program 1: Four files of 65 files reviewed (6%) had IFSPs completed after Day 45. A finding of noncompliance was made for the program and BWEIP worked with the program to develop a CAP. The delays for the files are as follows:

- File 1: IFSP completed 2 days late due to a death in the provider's family.
- File 2: IFSP completed 3 days late due to a delay in obtaining eligibility records from an outside provider.
- File 3: IFSP completed 5 days late due to a provider's family emergency.
- File 4: IFSP completed 6 days late due to provider illness.

The EI program instructed its staff to report monthly to their supervisor on the completion of IFSPs, and provide proper documentation, including specific reason and timeline of events, for all IFSPs that were late. BWEIP reviewed updated data (subsequent data from IFSPs) and determined that 100% of IFSPs for children referred after the noncompliance was identified were completed within 45 days of referral. The review fully demonstrated and documented that the program is currently implementing the statutory/regulatory requirements consistent with the 45-day timely completion of IFSPs.

Program 2: Two files of 54 reviewed (3.7%) had IFSPs completed after Day 45. The delays for the files are as follows:

- File 5: IFSP completed one day late due to provider schedule (school district program closure for spring break).
- File 6: IFSP completed four days late due to the provider needing additional time for a more extensive evaluation.

Program 3: Three files of 83 reviewed (3.6%) had IFSPs completed after Day 45. The delays for the files are as follows:

- File 7: IFSP completed five days late due to provider scheduling difficulties.
- File 8: IFSP completed six days late due to provider schedule for holiday break.
- File 9: IFSP completed 68 days late due to provider circumstances.

BWEIP reviewed updated data (subsequent data from IFSPs) for both EI programs and determined that 100% of the IFSPs for children referred after noncompliance was identified were completed within 45 days of referral. These reviews fully demonstrated and documented that the programs are currently implementing the statutory/regulatory requirements consistent with the 45-day timely completion of IFSPs.

Indicator 8A: IFSPs with Transition Steps and Services - Ten Findings of Noncompliance

Of 294 files reviewed, IFSPs included transition steps and services in 284 files (97.0%). Noncompliance was identified in one program, where ten files of 55 reviewed (18.2%) did not include transition steps on the IFSP. The exit status of the files was as follows:

- Files 1 – 4: the transition conferences were held and the children exited to Part B and are no longer under the jurisdiction of BWEIP.
- Files 5 – 7: the parent withdrew the child prior to transition.
- File 8: child completed the IFSP prior to age three and exited the program.
- File 9: the child was not eligible for Part B and exited with no referrals.
- File 10: the child was deceased.

BWEIP issued a finding of noncompliance and a CAP was written and implemented to correct the noncompliance identified. CAP activities included the development of a form to be used with all IFSPs to document transition steps and services. The program submitted two subsequent data reports and BWEIP verified that 100% of children were receiving transition steps and services on their IFSPs.

BWEIP reviewed updated data (subsequent data from IFSPs) for the program and determined that 100% of the IFSPs contained transition steps and services. The review fully demonstrated and documented that the program is currently implementing the statutory/regulatory requirements consistent IFSP transition steps and services.

Indicator 8B: Notification to LEA, if child potentially eligible for Part B. – Nineteen Findings of Noncompliance

During FFY 2008-2009, BWEIP's "Disclosure of Directory Information" policy was written with input from program directors. OSEP approved BWEIP's on April 30, 2009 **after** the five programs' on-site compliance monitoring reviews were completed. BWEIP distributed the approved policy to all programs. All programs submitted their revised policies and forms to BWEIP for review and approval in June 2009.

Of 302 files reviewed, the LEAs were notified that 283 children (94%) were potentially eligible for Part B. Noncompliance was identified in one program, where for 19 files of 22 reviewed (86.4%), directory information was not sent to the LEA.

- Files 1 – 19: "Disclosure of Directory Information" form was missing from the file.

BWEIP issued a finding of noncompliance and a CAP was written and implemented to address and correct the noncompliance identified. Program staff was trained on regulatory requirements and BWEIP's "Disclosure of Directory Information" policy, and required to discuss with all families still under the jurisdiction of BWEIP the notification to the LEA. Seventeen of the 19 children were still enrolled in EI services and all of them opted out of sending directory information to the LEA.

BWEIP reviewed updated data (subsequent data from IFSPs) for the program and determined that 100% of IFSPs contained information on the notification to the LEA for all children who were potentially eligible for Part B. The review fully demonstrated and documented that the program was currently implementing the statutory/regulatory requirements consistent with notification to the LEA for children who are potentially eligible for Part B.

Indicator 8C: Transition Conference – Five Findings of Noncompliance

Of 96 files reviewed that required a transition conference, 91 children (94.8%) had a timely transition conference. Noncompliance was identified in one program, where for five files of 26 reviewed (19%), transition conferences were not held in a timely manner. The transition conference outcomes for these five files were as follows:

- Files 1 – 3: Exited to Part B without transition conference and children were no longer under the jurisdiction of BWEIP.
- File 4: Transition conference held 39 days late; no documentation seen in file to support the delay.
- File 5: Transition conference held 116 days late; no documentation in file to support the delay.

BWEIP worked with the program to develop a CAP. The program's Transition Coordinator was given appropriate training, supervision, and progressive discipline, but his performance was determined to be at an unsatisfactory level by the program and he was replaced. This program has implemented new processes and supervisory procedures to monitor timeliness of transition conferences.

BWEIP reviewed updated data (subsequent data for transition conferences) for the program and determined that 100% of the children who were potentially eligible for Part B received a transition conference in a timely manner. The review fully demonstrated and documented that the program is currently implementing the statutory/regulatory requirements consistent with the holding transition conferences in a timely manner.

Correction of Remaining FFY 2007-2008 Findings of Noncompliance: N/A

Correction of Any Remaining Findings of Noncompliance from FFY 2006-2007 or Earlier: N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010-2012:

Activity	Timeline	Resources
Apply both prongs of 09-02 Memo to all findings of noncompliance.	2010 -2013	BWEIP Staff
Monitor Corrective Actions Plans (CAPs) and correction of all noncompliance through BTOTS, child record reviews, and EI provider policy and procedures.	2010-2013	BWEIP Staff

Refer also to Improvement Activities/Timelines/Resources for Indicator 10 (Comp

INDICATOR C-9 WORKSHEET

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2008-2009 (7/1/08 through 6/30/09)	(a) # of Findings of noncompliance identified in FFY 2008-2009 (7/1/08 through 6/30/09)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2008-2009 (7/1/08 through 6/30/09)	(a) # of Findings of noncompliance identified in FFY 2008-2009 (7/1/08 through 6/30/09)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	6	6
	Dispute Resolution: Complaints, Hearings	0	0	0
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2008-2009 (7/1/08 through 6/30/09)	(a) # of Findings of noncompliance identified in FFY 2008-2009 (7/1/08 through 6/30/09)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
6. Percent of infants and toddlers birth to 3 with IFSPs		0	0	0
		Dispute Resolution: Complaints, Hearings	0	0
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	3	9	9
	Dispute Resolution: Complaints, Hearings	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	10	10
	Dispute Resolution: Complaints, Hearings	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B; and	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	19	19
	Dispute Resolution: Complaints, Hearings	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	5	5

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2008-2009 (7/1/08 through 6/30/09)	(a) # of Findings of noncompliance identified in FFY 2008-2009 (7/1/08 through 6/30/09)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B.	Dispute Resolution: Complaints, Hearings	0	0	0
Sum the numbers down Column a and Column b			49	49

Percent of Noncompliance corrected within one year of identification = $(49/49) \times 100 = 100\%$
 (column (b) sum divided by column (a) sum) times 100)

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(1.1(b) + 1.1(c)) divided by (1.1)] times 100.

FFY	Measurable and Rigorous Target
<p>2009 (2009-2010)</p>	<p>100% of signed written complaints with reports issued will be resolved within a 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p>

Actual Target Data for FFY 2009-2010: N/A

The Baby Watch Early Intervention Program (BWEIP) received no signed written complaints with a report issued within 60 days during the FFY 2009-2010 reporting period.

Improvement Activities Completed for FFY 2009-2010: N/A

Resolution of Previously Identified Noncompliance for FFY 2008-2009: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for 2010-2012:

Activity	Timeline	Resources
<p>Create and keep a log to document informal concerns raised by parents of children receiving early intervention services who contact the State</p>	<p>2011 - ongoing</p>	<p>BWEIP program director</p>
<p>Require all local programs to create and keep a log to document informal concerns raised by parents of children receiving early intervention services</p>	<p>2011 - ongoing</p>	<p>BWEIP staff and all local programs</p>

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(3.2(a) + 3.2(b)) divided by (3.2)] times 100.

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.

Actual Target Data for FFY 2008-2009: N/A

The Baby Watch Early Intervention Program (BWEIP) received no due process hearing requests were filed during FFY 2009-2010.

Improvement Activities Completed for FFY 2009-2010: N/A

Resolution of Previously Identified Noncompliance for FFY 2008-2009: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for 2010-2012:

Activity	Timeline	Resources
Create and keep a log to document informal concerns raised by parents of children receiving early intervention services who contact the State	2011 - ongoing	BWEIP program director
Require all local programs to create and keep a log to document informal concerns raised by parents of children receiving early intervention services	2011 - ongoing	BWEIP staff and all local programs

Part C Annual Performance Report (APR) for 2009

Overview of the State Performance Plan Development:

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (**applicable if Part B due process procedures are adopted**).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = 3.1(a) divided by (3.1) times 100.

Utah Part C has not adopted Part B due process procedures

N/A

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by (2.1)] times 100.

FFY	Measurable and Rigorous Target
<p>2009 (2008-2009)</p>	<p>100% percent of mediations held resulted in mediation agreements.</p>

Actual Target Data for FFY 2009-2010: N/A

The Baby Watch Early Intervention Program (BWEIP) received no requests for mediations during the reporting period of FFY 2009-2010.

Improvement Activities Completed for FFY 2009-2010: N/A

Resolution of Previously Identified Noncompliance for FFY 2008-2010: N/A

Revisions, with Justification, Improvement Activities / Timelines / Resources for 2010-2012:

<i>Activity</i>	<i>Timeline</i>	<i>Resources</i>
<p>Create and keep a log to document informal concerns raised by parents of children receiving early intervention services who contact the State.</p>	<p>2011 - ongoing</p>	<p>BWEIP program director</p>
<p>Require all local programs to create and keep a log to document informal concerns raised by parents of children receiving early intervention services.</p>	<p>2011 - ongoing</p>	<p>BWEIP staff and all local programs</p>
<p>Work with Utah State Office of Education to increase the number of hearing officers trained on Part C issues in case of a due process hearing request.</p>	<p>2011 - ongoing</p>	<p>BWEIP program director</p>

Part C State Annual Performance Report (APR) for 2009

Overview of the Annual Performance Report Development:

Refer to Overview of Annual Performance Plan preceding this document.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State-reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

State-reported data, including 618 data, State Performance Plan, and Annual Performance Reports, are:

- a. Submitted on or before due dates (February 2 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring accuracy).

States are required to use the “Indicator 14 Data Rubric” for reporting data for this indicator (see Attachment B).

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100% of the state-reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

Actual Target Data for FFY 2009-2010:

100% of state-reported data (618 and State Performance Plan and Annual Performance report) are timely and accurate.

- 618 Table 1: original submission January 28, 2010. Revision submitted April 2, 2010 (response to email from the Data Accountability Center (DAC) regarding the use of zeros or -9's).
- 618 Table 2: original submission January 28, 2010. Revisions submitted April 2, 2010 (response to email from the Data Accountability Center (DAC) regarding the use of zeros or -9's); and August 30, 2010 (data notes added in response to “Year-to-Year Changes”).
- 618 Table 3: original submission October 26, 2010.
- 618 Table 4: original submission October 26, 2010.

The Baby Watch Early Intervention Program (BWEIP) data manager contacted all program directors six to eight weeks prior to the 618 data submission dates to initiate the process of verifying their data were complete and accurate prior to being compiled and submitted to DAC. Through this process, the BWEIP data manager worked closely with the local programs and was available to answer questions and check on operational definitions, as needed. All program directors were required to submit to BWEIP a written, signed verification of data completeness and accuracy.

Progress for FFY 2009-2010:

FFY 2009-2010: Data verification continued as part of BWEIP general supervision. As in previous years, hard copy file data were compared against data entered in Baby and Toddler Online Tracking System (BTOTS) and verified for accuracy at all programs reviewed. If required, technical assistance was provided to facilitate accuracy of data entry, as well as understanding of the interaction between data, files, and compliance. This ongoing process ensures that data quality for state-reported data is addressed directly with providers and data entry staff throughout the year, not just when data are submitted to OSEP.

FFY 2009-2010: BWEIP provides support to ensure data accuracy for BTOTS users through a BTOTS HELP line and a BTOTS User's Guide, which has been available to providers since the initial installation of the application. During this year, 237 calls and emails were submitted through the BTOTS HELP line. These inquiries typically consist of data entry and policy questions, along with application and report enhancement requests.

FFY 2009-2010: BWEIP also conducts BTOTS User Group (BUG) meetings throughout the year as a support to BTOTS users. Existing BTOTS features and enhancements are demonstrated using a GoToMeeting format and questions are solicited from all participants as part of the meeting. During this year, key discussion points included:

August 2009

- Reminders: database backups, joining the listserv, properly using the Parent-Infant Program (PIP) child checkbox.
- Reviewed 2.7.0 release
 - Health screening and assessment
 - Parent rights at annual assessment
 - Child Health Advanced Records Management (CHARM) tab
 - Transition from Early Intervention (to Preschool) Data Information system (TEDI) update
 - 'Changed by' display
 - Forcing assessments for children who did not qualify getting deactivated
- Announced plans to convert current desktop application to a web-based database system.

September 2009

- Reviewed child transfer feature
- Fielded questions regarding assessment parent rights vs. IFSP parent rights, tracking contacts, and transition steps

October 2009

- Reviewed 2.8.0 release
 - Medical conditions
 - Removed 'other' medical diagnosis
 - Updates to alerts

November 2009

- Reminders: state synchronization, made providers aware of six-month review and print screen bugs
- Reviewed results of BUG survey
- Discussed upcoming updates

January 2010

- Introduced the BTOTS Web blog to providers, which will be used to share information regarding the development of the new web-based system and allow users to give their comments and opinions during the development process
- Reminder to providers to make sure they deactivate the accounts of former employees
- Reviewed results of BTOTS support services survey
- Discussed upcoming updates

February 2010

- Reviewed 2.8.1 update
 - Reconciled TEDI exit reasons
 - Bug fixes
 - Fielded questions on how to record an interpreter going on an IFSP visit, and the procedure when a medical diagnosis is not in the official drop down box

April 2010

- Reviewed recent BTOTS Web blog posts and how to enter comments on the blog
- Added a previously obsoleted state exit reason back into database and reviewed with providers
- Discussed error in a COSF alert ('COSF entry not recorded for child')
- Fielded questions regarding child transfers, received enhancement requests regarding 'visit reassignment' during annual reviews
- Reviewed 2.8.2 update
 - Assessment teams now optional
 - Ending a PIP service will now prompt the user to uncheck the 'PIP child' checkbox
 - Changing the address of who the child resides with will result in the user being prompted to determine whether the school district needs to be updated
 - Bug fixes

May 2010

- Quick review of BTOTS on Windows 7 machines
- Discussed the difference between exiting and deactivating a child in BTOTS
- Fielded questions from providers regarding transferring an IFSP; adding a Concerns, Priorities, and Resources date to the auto transfer; and when to enter a child's medical condition
- Informed providers of a bug related to initial IFSPs

Improvement Activities Completed for FFY 2009-2010:

August 2010: BWEIP staff conducted a survey with BUG participants to solicit feedback on relevance and usefulness of BUG meetings. Fifty-seven participants completed the survey, 45 from local programs, nine BWEIP staff, and three Multimedia Data Services Corporation (MDSC) staff. (MDSC is the entity that created and maintains the BTOTS system.) Survey participants viewed BUG meetings as a forum primarily for training and discussing BTOTS changes, with less emphasis on the mechanics of data entry and program management. These functions fit with participants' views of the key target audiences of the meetings, data entry staff and program managers. Feedback to BWEIP was to continue to use "GoToMeeting" format in conjunction with conference calls at monthly meetings; set the meeting schedule in advance and post on the BWEIP website; solicit input from providers on topics of interest; review existing BTOTS reports for use and usefulness; and develop operational definitions for all fields in BTOTS. Survey results were presented to BUG meeting participants at the November 2010 BUG meeting.

August 2010: BWEIP staff conducted a second survey of BUG meeting participants to solicit feedback on relevance and usefulness of BTOTS support services. Forty-five individuals from local programs completed the survey. BTOTS support services include the monthly BUG meetings and the BTOTS

HELP line and email (available Monday through Thursday from 7 a.m. to 5 p.m.). Survey respondents BTOTS users were very satisfied with the current level of support they were receiving from BWEIP for BTOTS and felt it met their needs. They had some suggestions for other BTOTS supports such as program manager specific training on how to use BTOTS for management and monitoring; having an annual “hands-on” review of BTOTS; and a written manual for training new staff. Survey results were presented to BUG meeting participants in December 2010.

FFY 2009-2010: The BWEIP data manager worked with the five programs that had a file review and the five programs with an outstanding Corrective Action Plan (CAP) to assist them in updating their data accuracy protocols. Typical program activities included on the protocols were using BTOTS reports to track all aspects of their early intervention work (referrals, IFSPs, service delivery, etc.); reviewing paper files using the BTOTS-generated file review worksheet; and attending the BUG meetings in order to stay up to date with BTOTS changes and processes. Programs are required to keep 12 months of documentation related to data accuracy activities for BWEIP staff to review when they are on site.

Revisions, with Justification, Improvement Activities / Timelines / Resources for 2010-2012:

Activity	Timeline	Resources
Assist programs in revising their data accuracy plans in their program applications.	2009-2011	BWEIP data manager
Continue development of the BTOTS Web database	Ongoing	BWEIP staff
Write operational definitions for all data elements in BTOTS and add them to the BTOTS Web database.	2010-2012	BWEIP staff
Begin development of a compliance database.	2010-2012	BWEIP staff

SPP/APR Data - Indicator 14			
APR Indicator	Valid and Reliable	Correct Calculation	Total
1	1	1	2
2	1	1	2
3	1	1	2
4	1	1	2
5	1	1	2
6	1	1	2
7	1	1	2
8a	1	1	2
8b	1	1	2
8c	1	1	2
9	1	1	2
10	1	1	2
11	1	1	2
12	1	1	2
13	1	1	2
		Subtotal	30
APR Score Calculation	Timely Submission Points - If the FFY 2009 APR was submitted on-time, place the number 5 in the cell on the right.		5
	Grand Total - (Sum of subtotal and Timely Submission Points) =		35

618 Data - Indicator 14					
Table	Timely	Complete Data	Passed Edit Check	Responded to Data Note Requests	Total
Table 1 - Child Count Due Date: 2/1/10	1	1	1	N/A	3
Table 2 - Program Settings Due Date: 2/1/10	1	1	1	1	4
Table 3 - Exiting Due Date: 11/1/10	1	1	1	N/A	3
Table 4 - Dispute Resolution Due Date: 11/1/10	1	1	1	N/A	3
				Subtotal	13
618 Score Calculation			Grand Total (Subtotal X 2.5)		32.5

Indicator #14 Calculation	
A. APR Grand Total	35.00
B. 618 Grand Total	32.50
C. APR Grand Total (A) + 618 Grand Total (B) =	67.50
Total NA in APR	0.00
Total NA in 618	2.50
Base	67.50
D. Subtotal (C divided by Base*) =	1.000
E. Indicator Score (Subtotal D x 100) =	100.0

*Note any cell marked as N/A will decrease the denominator by 1 for APR and 2.5 for 618

Rubric omitted from original APR submission in error



FAMILY SURVEY - EARLY INTERVENTION

This survey is for families receiving Early Intervention services. Your responses will help guide efforts to improve services and results for children and families.

You may skip any item that does not apply to your family.

INSTRUCTIONS

- Do not use pens that soak through the paper.
- Fill in circle completely: This: ● Not This: ⊗ ⊘

For each statement below, please select one of the following response choices:

Very Strongly Disagree
 Strongly Disagree
 Disagree
 Agree
 Strongly Agree
 Very Strongly Agree

FAMILY-CENTERED SERVICES

The Early Intervention service provider(s) that work with my child:

- are dependable.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- are easy for me to talk to about my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- are good at working with my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

My service coordinator is available to speak with me on a regular basis.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My service coordinator is knowledgeable and professional.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Written information I receive is written in an understandable way.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was given help and information about the transition (when my child will leave Early Intervention for other settings or services).	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My family's needs (such as transportation, child care, etc.) were considered when planning for my child's services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was asked whether I wanted help in dealing with stressful situations.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I was given choices concerning my family's services and supports.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My family's daily routines were considered when planning for my child's services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
I have felt part of the team when meeting to discuss my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The services on our Individualized Family Service Plan (IFSP) have been provided in a timely way.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

My family was given information about:

- changing routines, activities, and the physical setting that would help my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- the rights of parents regarding Early Intervention services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- community programs that are open to all children.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- resources offered that support for parents of children participating in this program.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- how to be part of programs and get other services in the community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- how to advocate (speak up to get support) for my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- who to call if I am not satisfied with the Early Intervention services my child receives.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Very Strongly Disagree Strongly Disagree Disagree Agree Strongly Agree Very Strongly Agree

FAMILY-CENTERED SERVICES Continued...

Someone from the Early Intervention program:

- asked what else the Early Intervention program could do to support my child and my family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- helped me get in touch with other parents for help and support.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- asked whether the services my family was receiving were meeting our needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- went out into the community with me and my child to help us get involved in community activities and services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Early Intervention services and Your Family

Over the past year, Early Intervention services have helped me and/or my family:

- be part of activities for children and families in my community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know about services in the community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- improve my family's quality of life.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know where to go for support to meet my child's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know where to go for support to meet my family's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- get the services that my child and family need.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel more confident in my skills as a parent.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- provide social and networking opportunities in the Early Intervention program.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- make changes in family routines that will benefit my child with special needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- be more effective in managing my child's behavior.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- do activities that are good for my child even in times of stress.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel that I can get the services and supports that my child and family need.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand how the Early Intervention system works.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- be able to evaluate how much progress my child is making.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- communicate more effectively with the people who work with my child and family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand the roles of the people who work with my child and family.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- know about my child's and family's rights concerning Early Intervention services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- do things with and for my child that are good for my child's development.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- understand my child's special needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
- feel that my efforts are helping my child.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Child's Age at Time of Survey Completion

- Birth to 1 year
- 1-2 years
- 2-3 years
- Over 3 years

Child's Age when First Referred to Special Education

- Birth to 1 year
- 1-2 years
- 2-3 years